Retinal amyloid pathology and proof-of-concept imaging trial in Alzheimer’s disease

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BACKGROUND. Noninvasive detection of Alzheimer’s disease (AD) with high specificity and sensitivity can greatly facilitate identification of at-risk populations for earlier, more effective intervention. AD patients exhibit a myriad of retinal pathologies, including hallmark amyloid β-protein (Aβ) deposits.

METHODS. Burden, distribution, cellular layer, and structure of retinal Aβ plaques were analyzed in flat mounts and cross sections of definite AD patients and controls (n = 37). In a proof-of-concept retinal imaging trial (n = 16), amyloid probe curcumin formulation was determined and protocol was established for retinal amyloid imaging in live patients.

RESULTS. Histological examination uncovered classical and neuritic-like Aβ deposits with increased retinal Aβ1-40 plaques (4.7-fold; P = 0.0063) and neuronal loss (P = 0.0023) in AD patients versus matched controls. Retinal Aβ plaque mirrored brain pathology, especially in the primary visual cortex (P = 0.0097 to P = 0.0018; Pearson’s r = 0.84–0.91). Retinal deposits often associated with blood vessels and occurred in hot spot peripheral regions of the superior quadrant and innermost retinal layers. Transmission electron microscopy revealed retinal Aβ assembled into protofibrils and fibrils. Moreover, the ability to image retinal amyloid deposits with solid-lipid curcumin and a modified scanning laser ophthalmoscope was demonstrated in live patients. A fully automated calculation of the retinal amyloid index (RAI), a quantitative measure of increased curcumin fluorescence, was constructed. Analysis of RAI scores showed a 2.1-fold increase in AD patients versus controls (P = 0.0031).

CONCLUSION. The geometric distribution and increased burden of retinal amyloid pathology in AD, together with the feasibility to noninvasively detect discrete retinal amyloid deposits in living patients, may lead to a practical approach for large-scale AD diagnosis and monitoring.

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**Introduction**

Alzheimer’s disease (AD) is an invariably fatal neurodegenerative disorder and the leading cause of senile dementia worldwide (1). There is no effective treatment and limited functionality for early unequivocal diagnosis. Aggregation and propagation of misfolded amyloid β-protein (Aβ) and hyperphosphorylated tau protein (pTau) present in neurofibrillary tangles (NFTs) are pathological hallmarks that distinctly contribute to AD diagnosis (2, 3). Cerebral Aβ accumulation may occur as early as 20 years before the onset of clinical dementia, an insidious phase denoted as prodromal AD (4–6). With the development of promising disease-modifying therapies for AD, intervention during the prodromal phase, when damage to synapses and neuronal tissue is minimal, would offer significantly increased treatment efficacy. Existing brain amyloid–imaging tools are invaluable for research and diagnosis (7), yet they present challenges for screening large-scale populations in clinical settings and predicting disease progression (8, 9). In part, this is due to high costs, limited availability, and exposure to radioactive isotopes (8–10). These factors prompted the investigation of amyloid pathology in the retina, a CNS tissue readily accessible for direct, noninvasive imaging at high resolution and low cost, to define at-risk populations for further clinical evaluation with gold-standard brain imaging.

Mounting evidence indicates that patients with AD and mild cognitive impairment (MCI) exhibit a wide spectrum of ocular abnormalities, circadian rhythm disturbances, and visual impairments (reviewed in Hart et al., ref. 11). In addition to afflicting the brain, AD also affects the retina, a developmental outgrowth of the brain (12–14), possibly causing these symptoms. The retinas of AD patients display pathologies such as nerve fiber layer (NFL) thinning, retinal ganglion cell (RGC) degeneration, reduction of blood flow and vascular alterations, astrogliosis, and abnormal electroretinogram patterns (11, 15–33). Among the many characteristics it shares with the brain, the retina contains neurons, astroglia, microglia, microvasculature with similar morphological and physiological properties, and a blood barrier (12–14, 34, 35). Axons of the optic nerve connect the retina and brain directly and facilitate vesicular transportation of amyloid precursor protein (APP) synthesized in RGCs (36). Further, retinal neurons and glia express proteins that have been implicated in the amyloid cascade (e.g., BACE1, γ-secretase, APOE) (35, 37, 38).

While the hallmark pathologies of AD have long been established in the brain, their manifestation in the human retina is a recent finding (11, 26, 39–42). We previously identified Aβ plaque pathology in postmortem retinas of definite AD patients as well as in early-stage cases (40). Neuropathological examination revealed that retinal Aβ plaque burden qualitatively corresponded to cerebral amyloid burden in these patients, in stark contrast to the minimal Aβ plaque findings in age-matched healthy controls (26, 40). Subsequent studies have corroborated these findings by demonstrating the existence of retinal Aβ deposits, identifying increased Aβ$_{1-42}$ peptides in the human retina by biochemical analysis (albeit to a lower extent than those seen in neocortical tissues), and, moreover, showing the presence of pTau in retinal tissues of AD patients (39, 41, 42). Although a few studies were unable to detect Aβ (41, 43) or pTau (43) in the human AD retina, several independent groups confirmed their existence by applying specific tissue processing and immunostaining protocols and screening large retinal regions that had previously been overlooked (11, 26, 39–42). In a recent study, notable Aβ accumulation inside and around degenerating melanopsin-containing RGCs (mRGCs), photoreceptors known to drive circadian photoentrainment, was found in AD patients (26). The presence of Aβ may be responsible for loss of this photoreceptor subtype and could possibly explain sleep disturbances seen in these patients (44).

In line with the above findings, numerous studies examining the retinas of sporadic and transgenic animal models of AD have reported Aβ deposits, vascular Aβ, pTau, and paired helical filament-tau (PHF-tau), often in association with RGC degeneration, local inflammation (i.e., microglial activation), and impairments of retinal structure and function (11, 39, 40, 42, 45–61). These studies, which included a variety of transgenic rat and mouse models, as well as the sporadic rodent model of AD, *Octodon degus*, demonstrated abundant Aβ deposits, mainly in the innermost retinal layers (RGCs and NFL) (40, 42, 45, 49, 52, 54, 57). Furthermore, several publications, including ours, have reported a positive response to therapy in reducing retinal Aβ plaque burden in transgenic (ADtg) murine models, often reflecting the reaction observed in the respective brains (40, 48, 51, 52, 56, 60). To visualize retinal Aβ pathology in vivo, we had previously developed a noninvasive retinal amyloid imaging method for rodent ADtg models, using curcumin as a contrast agent (40, 51). Curcumin is a natural and safe phytopolyphenol fluorochrome that binds to Aβ fibrils and oligomers with high affinity and specificity (62–68). This approach enabled noninvasive detection and monitoring of individual retinal Aβ deposits in live ADtg mice (40, 51).
Here, we investigated the burden and geometrical and layer distribution of retinal Aβ deposits and their ultrastructures in definite AD patients and healthy controls. In a subset of human samples, we quantitatively assessed the correlation between retinal and cerebral plaques and coexistence of retinal neuronal loss. These findings supported translation of our retinal optical imaging approach to humans, which demonstrated the feasibility to detect and quantify retinal amyloid deposits in living patients in a proof-of-concept human trial.

**Results**

*Geometric distribution of retinal Aβ deposits in AD patients.*

Eyes and brains were collected from 23 clinically and neuropathologically confirmed AD patients (mean age ± SEM: 78.8 ± 2.9 years; range 48–98 years; 14 females and 9 males) and 14 healthy controls (mean age ± SEM: 76.7 ± 3.4 years; range: 58–95 years; 6 females and 8 males), as detailed in Supplemental Table 1 (supplemental material available online with this article; https://doi.org/10.1172/jci.insight.93621DS1). Retinas were isolated and processed as illustrated in Figure 1. Retinal flat mounts and cross sections were stained with various anti-Aβ compounds and mAbs (12F4, 6E10, 4G8, 11A5-B10) using peroxidase- and fluorescent-based techniques. In all AD cases, we observed increased retinal Aβ immunoreactivity and deposits compared with age- and sex-matched controls (Figures 2–4 and Supplemental Figure 1).

Analysis of the superior quadrants stained with various anti-Aβ compounds and mAbs (12F4, 6E10, 4G8, 11A5-B10) using peroxidase- and fluorescent-based techniques. In all AD cases, we observed increased retinal Aβ immunoreactivity and deposits compared with age- and sex-matched controls (Figures 2–4 and Supplemental Figure 1).

Analysis of the superior quadrants stained with 12F4 mAb (specific to C-terminal of Aβ42 species) revealed clusters of Aβ deposits in the retinas of AD patients (Figure 2A) versus their age- and sex-matched controls (Figure 2A). The representative subset of patients and matched controls were aged 60–90 years (Figure 2A; most of this subset was also analyzed in other retinal regions in La Morgia et al., ref. 26). Control individuals occasionally showed sparse and diffuse retinal Aβ deposits (Figure 2A), in accordance with their brain pathology. High-magnification images show diverse types of Aβ deposits in AD patient retinas,
including classical, diffuse, and compact plaques (Figure 2A and Supplemental Figure 1, A and B). The classic Aβ plaque morphology often seen in AD patient brains (Figure 2B, left top image) was also detected in the retinas (e.g., in the 71-year-old male in Figure 2A, right boxed image). A comparison between retinas and respective brains from two additional patients (cases 16 and 20, Supplemental Table 1) indicated resemblance in Aβ plaque morphology (Figure 2B, arrowheads). These patients were diagnosed with cerebral amyloid angiopathy and exhibited retinal Aβ deposits associated with vasculature (Figure 2B, purple...
arrowheads), surrounding (perivascular), along, or within blood vessel walls. Retinal Aβ plaques were morphologically similar to their cerebral counterparts, but their diameter was 6 to 7 times smaller on average (Figure 2B, black arrowheads). In a subset of AD patients and controls, correlation analyses between retinal Aβ plaques and cerebral neuritic plaque burden (silver stain) in various brain regions showed significant and positive linear associations, especially in the entorhinal and primary visual cortex regions (Figure 2C; P < 0.01 to P < 0.005, by Pearson’s correlation coefficient \( r \) test). While retinal Aβ plaques in the superior temporal quadrant showed a trend of association or no association with thioflavin-S + plaques in certain brain regions, there was a consistently strong correlation to thioflavin-S + diffuse, immature, and mature plaques in the primary visual cortex (Figure 2C and Supplemental Figure 2).

Next, we analyzed curcumin fluorescence patterns when bound to retinal and brain Aβ deposits (Figure 2D) using 6E10 mAb alone or in combination with lipidated curcumin (Longvida), a tracer further used for live imaging. Figure 2D displays retinal Aβ plaques of classical morphology using single-antibody labeling, which was comparable to the peroxidase-based staining patterns shown in retinas and brains (Figure 2, A and B, images highlighted by boxed regions). Curcumin labeled the central dense Aβ core intensely and, to a lesser degree, the radiating fibril arms (Figure 2D). Curcumin labeling in retinal and brain tissues consistently colocalized with 6E10 mAb labeling and with other Aβ epitope–specific mAbs, such as Aβ(40)-ter minus (Supplemental Figure 1B). Intracellular Aβ immunoreactivity was also detected with 12F4 and 6E10

Figure 3. Congo red–positive amyloid fibrils and Gallyas silver–stained neuritic-like plaques found in retinas of AD patients. (A) Amyloid deposits stained with Congo red detected in flat-mount retinas of AD patients (top left, n = 3). Birefringence (apple-green) of Congo red–stained retinas under polarized light indicates presence of amyloid fibrils (bottom left). Scale bar: 25 μm. Congo red–positive amyloid plaques in AD patient retinas (right). Scale bar: 100 μm. Two intersecting blood vessels surrounded (along blood vessels and perivascular) by extensive Congo red–positive staining; matched control retina was negative (data not shown). Background blue counterstain is Toluidine blue. (B–E) Gallyas silver stain in Paraffin-embedded retinal cross sections from AD patients (n = 12) and matched CTRLs (n = 8). Scale bar: 20 μm, unless indicated otherwise. (B) Age-matched controls exhibited intact retinal tissue that lacked major protein aggregates. (C) AD-associated neuropathologies in the retina (red arrowheads), notably observed in the ganglion cell layer (GCL). A compact plaque near a blood vessel (bv; left). A classic Aβ plaque and compact deposits (middle); a higher-magnification image is shown in the inset. Neuritic components of senile plaques in GCL (right); a higher-magnification image is shown below (scale bar: 10 μm). (D) Retinal deposits in GCL near and surrounding a blood vessel. (E) Intracellular/soma-positive silver stain aggregates and nuclear-dominant silver stain (red arrowheads) are observed in GCL and INL (scale bar: 5 μm).
staining (Supplemental Figure 1, C and D). Figure 2E shows clusters of Aβ deposits, detected by curcumin and 6E10 fluorescent labeling, resembling those detected by peroxidase-based immunolabeling. Scanning large regions in human retinal flat mounts for Aβ deposits (stained with 12F4 mAb) revealed variability in geometric distribution of amyloid burden (Figure 2F). Our data suggest that Aβ plaques frequently appear in the periphery of the superior quadrant but are scarce in the posterior pole of the AD patient retina (Figure 2F). Quantitative 12F4-immunoreactive areas of Aβ-containing plaques (Figure 2F, geometric regions superior temporal 1–3) in a subset of definite AD patients versus age- and sex-matched controls indicated a significant 4.7-fold increase in retinal Aβ deposits in AD patients (Figure 2G; \( P = 0.0063 \), Student’s t test).

Congo red staining in retinal tissues in a subset of patients and controls revealed extensive Congo red-positive plaque along blood vessels (perivascular) in AD patients (Figure 3A), whereas the control retina...
was negative (data not shown). The birefringence of Congo red staining under polarized light indicated the presence of amyloid fibrils (Figure 3A).

**Retinal Aβ and neuritic-like deposits, NFT-like structures, and fibrillar Aβ ultrastructures in the innermost layers.**

Radial cross sections, extending from the ora serrata to the optic disc (Figure 1C), were obtained from retinal superior temporal and inferior-nasal quadrants. Gallyas silver staining, a standard technique for detecting cerebral senile plaques and NFTs, was utilized to further demonstrate the presence of AD-associated neuropathologies in the retinas of AD patients versus controls (Figure 3, B–E, and Supplemental Figure 3). Cross sections from matched controls exhibited intact retinal tissue lacking major protein aggregates (Figure 3B). In contrast, retinas of definite AD patients contained multiple extracellular Aβ deposits (Figure 3, C and D), including classical plaques (Figure 3C, middle) and deposits associated with blood vessels, especially in the ganglion cell layer (GCL; Figure 3, C and D). Importantly, we identified classical neuritic (senile-like) plaques in the RGC layers (Figure 3C, right, higher-magnification image below). Intracellular-cytoplasmic protein aggregates were also observed (Figure 3E). Furthermore, some neurons in the innermost retinal layers of AD patients exhibited tangle-like morphology resembling NFTs (Supplemental Figure 3).

Next, we immunolabeled retinal cross sections with various anti-Aβ mAbs and hematoxylin nuclear counterstain (Figure 4). While control retinas were typically clear of Aβ immunoreactivity (Figure 4A), definite AD patients displayed diverse forms of 12F4-positive Aβ deposits, which often associated with blood vessels, especially in the GCL (Figure 4B and Supplemental Figure 1, E–G). Cytoplasmic Aβ was also detected (Figure 4B, top, and Supplemental Figure 1E), as well as deposits within (Figure 4B, top) or adjacent to blood vessel walls (Figure 4B, right, and Supplemental Figure 1E). Globular plaques consisting of multiple amyloid cores were also observed (Figure 4B, bottom, and Supplemental Figure 1G). Intracellular staining of Aβ was occasionally observed in foam-like pericytes (69) with poorly defined nuclei (Figure 4B, top, arrowhead). Importantly, along with accumulation of Aβ, a marked loss of retinal cells was apparent in the GCL, inner nuclear layer (INL), and outer nuclear layer (ONL) in AD patients as compared with retinas of matched controls (Figure 4B, red asterisks, vs. Figure 4A). Indeed, altered Nissl neuronal staining was observed in retinal GCL, INL, and ONL of AD patients versus controls, suggesting chromatolysis that may associate with neuronal degeneration (Figure 4C). Quantitative Nissl neuronal count and total area in a subset of AD patients and age- and sex-matched controls (n = 17) validated a significant neuronal loss in the retinas of AD patients (Figure 4D; P < 0.05 and P < 0.01, respectively, Student’s t test).

Fluorescent imaging demonstrated the colabeling of curcumin with various anti-Aβ mAbs detecting multiple intracellular and extracellular deposits across retinal layers in AD patients, especially in the GCL but also above the outer limiting membrane (Figure 4, E–G). Staining patterns of curcumin-positive plaques, intensely labeling the central dense Aβ core (Figure 4, E and F, right), were comparable to those found in retinal flat mounts and brains (Figure 2D). Globular 12F4- and 6E10-positive plaques containing multiple amyloid cores were also detected (Figure 4, F and G).

Transmission electron microscopy (TEM) of vertical and en face (horizontal) retinal sections from AD patients that had been immunoperoxidase labeled with 12F4 mAbs (anti-Aβ) revealed the ultrastructures of retinal Aβ plaques containing a central dense amyloid core, with and without radiating fibrillar arms, fibrils, protofibrils, and other types of Aβ deposits (Figure 5). Similar to those in the brain, retinal Aβ protofibrils and fibrils were 10- to 15-nm wide (70, 71) (Figure 5A, higher magnification) with parallel β-sheets adjacent to Aβ deposition (Figure 5B). Ultrastructural examination of the Aβ plaque site adjacent to a blood vessel basement membrane (Figure 5C, left) revealed structures resembling paranuclei (72, 73) that also appeared positive for Aβ — possible Aβ oligomer assemblies (Figure 5C, arrowhead). TEM images of en face retinal sections further indicated the presence of retinal Aβ plaques with radial fibril arms emanating from central cores (Figure 5D).

**Development of retinal amyloid imaging in live AD patients.** Figure 6 illustrates the development of a curcumin regimen and the feasibility of using a noninvasive ophthalmic device to detect increased curcumin fluorescence in retinas of living human subjects. Initially, several commercially available curcumin brands were analyzed for their absorption into the blood and brain, and the ratios of curcumin concentrations in the brain and red blood cells (RBCs) were determined in wild-type mice (Supplemental Figure 4). The highest brain concentrations were obtained with Longvida Optimized Curcumin formulation coated with lipophilic matrix (74, 75) (Supplemental Figure 4). The optimal curcumin formulation, dose, and regimen were further calibrated through in vivo retinal amyloid imaging in double-transgenic APPsw/PS1ΔE9 mouse models (Supplemental Figure 5). To this end, we tested water-soluble versus solid lipid–based (SLCP) curcumin...
formulations (Supplemental Figure 5C), given intravenously or orally (Supplemental Figure 5, A and C–F) at varying dosages (Supplemental Figure 5, D–F). Altogether, we found that SLCP Longvida curcumin had better tissue bioavailability, showing maximum signal-to-noise ratio, which led to its selection for the proof-of-concept retinal amyloid imaging clinical trial. We next tested a model human eye with various market-ed and modified human ophthalmic devices (Supplemental Figure 6, A and B). Alterations to ophthalmic devices included a wide-angle view, multiple-frame capture, and insertion of fluorescent filters. Examples of data exclusion and retinal amyloid imaging in live AD patients, comparing postacquisition processed images in selected ophthalmic devices, are shown in Supplemental Figure 6, C–G.

Human subjects received oral curcumin for either 2 or 10 days. Their retinas were imaged using a scanning laser ophthalmoscope (SLO) at day 0 (baseline prior to curcumin uptake) and throughout the experiment (Figure 6A). Fundus images from an AD patient receiving curcumin for 10 days showed increased fluorescent intensity in retinal deposits at days 1 and 10 compared with baseline (Figure 6B). At day 29, a noticeable decay in retinal spot fluorescent intensity was observed (Figure 6B). Spot line profile analysis (Figure 6C) for a particular retinal plaque (Figure 6B) following curcumin uptake indicated increased fluorescent intensity signal at days 1 and 10 and a decay to baseline level at washout (day 29). Representative fundus images from another patient on a 10-day oral curcumin protocol indicated the existence of retinal curcumin-amyloid deposit clusters, seen at days 2 and 10 versus baseline (Figure 6D). After 10 days of curcumin uptake, marked increases in curcumin fluorescence, deposit number (119 spots), and area (1,269 μm²) were detected compared with baseline (12 spots and 38 μm², respectively; Figure 6D, bottom). Pharmacokinetic analysis in six healthy individuals on a 10-day regimen of oral curcumin indicated a significant increase in curcumin levels in both free plasma and RBCs (reflecting tissue absorption), especially from day 3 onward (Figure 6E). Curcumin absorption peaked significantly at day 10 and decreased after 30 days (Figure 6E). Reduction of curcumin to its metabolites in vivo in the plasma and RBC of the above healthy individuals and the effect of pH on curcumin degradation ex vivo in human blood samples were also measured (Supplemental Figure 7). Repeated retinal imaging in a mild AD patient and a healthy control subject on a 2-day oral curcumin regimen indicated increased retinal integrated fluorescent intensities for
Figure 6. Development of a noninvasive retinal amyloid imaging method using curcumin labeling in live human patients. (A) Curcumin regimen and retinal imaging protocols in living human subjects (n = 16 AD and CTRLs and n = 2 AMD patients). Oral Longvida curcumin administration for 2 or 10 days. Subjects’ retinas were imaged with modified ophthalmoscopes prior to (day 0, baseline image) and after curcumin intake, per the regimen. (B) Repeated regional fundus imaging in a mild AD patient receiving curcumin for 10 days. Increase of curcumin fluorescent intensity due to retinal amyloid deposits (white spots) is observed from baseline (day 0) through days 1–10. Decreased curcumin signal is observed at day 29 (washout). (C) Spot line profile analysis of curcumin fluorescence from an individual plaque (marked in B by red arrows), showing increased signal at days 1 and 10 versus baseline levels. At day 29, fluorescent signal decays to baseline levels (washout). Representative spot line profile from n = 3 patients. (D) Representative retinal fundus images from a moderate AD patient (top) and their pseudocolor images (bottom); arrowheads mark individual plaques, and circles demarcate a cluster of deposits. Increased spot number and area from baseline image to day 2 and 10 images were quantified by ImageJ analysis (bottom). (B–D) Representative images from all human subjects (n = 16; Supplemental Table 2). (E) Longvida curcumin pharmacokinetic (PK) analyses in living healthy controls receiving curcumin for 10 days (n = 6 subjects; all or a subset analyzed for each time point, as shown). Tissue curcumin levels in red blood cells (RBCs) and free curcumin in plasma peaked at day 10 (P < 0.0001). Group mean and SEM are shown. *P < 0.05, ****P < 0.0001 for comparison between day 0 and days 3, 10, or 30, by 1-way ANOVA and Tukey’s post test. Logarithmic transformation analysis of covariance (subject × day) demonstrated that curcumin levels increased with duration of treatment in RBCs (R² = 0.654; day: P = 0.001; subject: P = 0.024) and plasma (R² = 0.559; day: P = 0.003; subject: P = 0.09). (F) A quantitative longitudinal retinal curcumin imaging in a representative healthy control and mild AD patient, both receiving 2-day curcumin regimen. Exponential decay of integrated fluorescent intensity occurred after day 2. Decay rate = 10.4% per day, half-life = 6.3 days, offset IFI = 21.5. Scale bar: 200 μm.
both AD and healthy subjects (Figure 6F). Peaks of increased curcumin fluorescence were assessed. The highest integrated intensity levels were measured at day 2, showing a higher peak in the AD patient, and their exponential decays to basal levels (washout) were observed up to day 36 (Figure 6F).

Fundus images from live patients underwent semiautomated postacquisition processing to optimize detection of curcumin-positive retinal amyloid spots (Figure 7A). The ability to detect individual retinal deposits allowed calculation of their number and area in certain regions of interest (in frame) and comparison among a healthy control, AD patients, and a non-AD dementia patient (Figure 7, B and C). Representative images show the superior temporal and inferior temporal quadrants (Figure 7B). The retinas of the two representative AD patients exhibited higher deposit number and area in the superior temporal and inferior temporal quadrants compared with a healthy control (Figure 7C). The patient with non-AD dementia (vascular dementia) had almost no positive spots (Figure 7, B and C). To examine retinal layer location in vivo for a subset of deposits, we utilized optical coherence tomography (OCT; Figure 7, D–F). A representative
Table 1. Retinal amyloid index and retinal curcumin-positive deposit number in living AD patients and healthy controls

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MMSE N/A 19.5 ± 1.2 |

Age (yr) (n) 53.0 ± 7.7 (n = 6) 76.0 ± 2.7<sup>b</sup> (n = 10)

Sex F, M 4 F, 2 M 7 F, 3 M

Age subgroup (n) 59.2 ± 5.4 (n = 5) 69.8 ± 1.7<sup>b</sup> (n = 6)

Retinal amyloid index (RAI) scores and number of curcumin-positive deposits (spot no.) in 16 human subjects across a wide age range with clinical AD diagnosis or healthy controls (CTRLs; extended details on human subjects in Supplemental Table 2).<sup>a</sup>P < 0.0005;<sup>b</sup>P < 0.05, 2-tailed Student’s t test in comparison between AD patients and CTRLs. N/A, Mini Mental State Examination (MMSE) is not available for asymptomatic CTRLs. These individuals show no evidence of memory or cognitive executive impairment according to self-reports and/or family members, nor any deficit in activity of daily living.

Data presented for 10 AD patients and 6 CTRLs. Age subgroup constitutes 6 AD and 5 CTRL subjects with no statistical difference in mean age (Table 1). AD patients showed a significant 2.1-fold increase in RAI scores (Figure 8I, right). n, sample size. F, Female; M, Male. Data presented as mean ± SEM.

Increased retinal amyloid index scores in AD patients. A proof-of-concept clinical trial was conducted on 16 subjects, including 10 AD patients and 6 healthy controls (Supplemental Table 2). To determine the feasibility of detecting and quantifying retinal amyloid deposits in live human subjects, we selected a group of mild-to-moderate AD patients (showing clear clinical symptoms and expected to be amyloid positive) and compared them to a group of younger, cognitively normal individuals (expected to have low or no retinal amyloid burden). Although these groups show a significant difference in age, we selected a subgroup of subjects whose age is not significantly different (see Table 1 and Supplemental Table 2) to compare retinal amyloid burden.

Representative images from the fully automated and blinded image-processing steps, involving conversion of raw retinal images and calculation of retinal amyloid index (RAI) scores, are shown in Figure 8, A–G. Retinal Z-stack images were obtained using a SLO. These fluorescent fundus images underwent a controlled and uniform image-processing analysis to improve signal, reduce noise, and identify spots with significantly increased fluorescence (Figure 8, A–C). A spot identification threshold was set for the processed images (Figure 8D). To determine RAI scores, only deposits with increased fluorescent intensity compared with baseline were included in our calculations (Figure 8E, deposits denoted as red dots above the threshold green line). The integrated intensity values for an AD patient with an RAI score of 55.7 and 65 positive deposits are displayed in contrast to a healthy control with an RAI score of 11.2 and 15 positive deposits (Figure 8E, top vs. bottom, respectively). In AD patients, most amyloid deposits were found as clusters in the peripheral superior quadrants, often along blood vessels (Figure 8F), in agreement with histological data (Figures 2–5). Heatmaps and overlay images were created to illustrate overall location and distribution of positive amyloid signal in the context of fine retinal structures (Figure 8G). In addition, two cognitively normal (non-AD) patients with dry age-related macular degeneration (AMD) underwent the same retinal curcumin imaging protocol; their images showed increased curcumin signal in large diffuse lesions at the posterior pole, around and inside the macula (Supplemental Figure 8).

Analysis of RAI scores and spot numbers for human subjects included in the trial are summarized in Table 1 and Supplemental Table 2. There was a significant (P = 0.0005, Pearson’s r test) linear correlation between RAI scores and retinal deposit number (Figure 8H). AD patients showed increased RAI scores and spot numbers as compared with the younger healthy controls (Figure 8I, left; P = 0.0003, Student’s t test). In accordance with RAI scores, the number of retinal deposits (spots) was elevated in AD patients (Supplemental Table 2). In a subgroup of AD patients and healthy controls not differing significantly in mean age (n = 11; Table 1), AD patients showed a significant 2.1-fold increase in RAI scores (Figure 8I,
Discussion
This study demonstrates the feasibility to noninvasively detect and quantify amyloid deposits in the retinas of live human subjects by using a solid lipid curcumin fluorochrome and a modified point SLO. In a proof-of-concept trial, the mean RAI score in AD patients was elevated compared with that of the healthy right; \( P = 0.0031 \), Student’s \( t \) test). In our clinical setup, RAI scores were neither correlated with age of AD patients (Figure 8J) nor with their Mini Mental State Examination (MMSE) scores (Figure 8K).
controls. A histological examination of retinas from neuropathologically confirmed AD patients revealed certain layer and geographical distribution of Aβ deposits, especially in previously disregarded retinal regions. These results expand past identification of retinal Aβ plaques and Aβ_{42} peptides in the retinas of AD patients (26, 39, 40, 42) and further demonstrate the existence of classical retinal deposits and morphologies relevant to AD neuropathology, including neuritic-like plaques and NFT-like structures. Retinal deposits frequently appeared in clusters and, although smaller, mirrored Aβ plaque morphology and vascular pathology in the brain. Retinal plaques were also associated with retinal neuronal loss in these patients. Electron microscopy analysis and Congo red staining verified the existence of retinal Aβ fibrils and protofibrils and the potential existence of Aβ oligomers. Importantly, AD patients showed a substantial increase in Aβ_{42}-containing deposits as compared with age- and sex-matched healthy controls. Collectively, these findings suggest the utility of noninvasive retinal amyloid plaque imaging as a surrogate biomarker of AD.

Retinal Aβ deposits in AD patients were frequently concentrated in the mid- and far-periphery of the superior quadrants, matching the pattern we detected in living patients via retinal curcumin imaging. These findings, together with superior retina neuronal loss may elucidate previous reports of axonal loss and NFL thinning in these patients (24–26, 29, 76–78), detected mostly in the same retinal regions that amyloid pathology was evident. Similarly, Blanks and colleagues described an extensive loss of neurons, typically in the superior quadrant and the mid- and far-outer retinal regions (16). The significant NFL reduction in the superior quadrant is consistent with recent findings of mRGC loss and Aβ accumulation in the same retinal regions (26). This increase in Aβ pathology in the superior quadrant, along with severe thinning of the NFL and loss of mRGCs, also known as intrinsically photosensitive RGCs containing melanopsin and implicated in regulation of circadian rhythm (26), may help explain sleep disturbances and visual field dysfunctions in AD, even at early stages (26, 32, 44, 79). Furthermore, the existence of Aβ deposits in regions in which rod cells are abundant could contribute to the impairments in visual motion and low-contrast sensitivity documented in AD patients (80, 81). In addition, the reason for the geographical predilection of Aβ accumulation and associated pathology in AD for the superior quadrant is unknown; we postulate that unique tissue properties and structural parameters of this retinal region perhaps deem it more susceptible to AD pathology. In the brain, Aβ plaques are also detected earlier and more frequently in certain brain regions; cerebral Aβ accumulation is a complex multifactorial process caused by increased production/ aggregation or decreased clearance of Aβ (reviewed in Zuroff et al., ref. 82). It is possible that differences in retinal blood flow, vessel diameter, choroid thickness, tissue permeability, and light stimulation in this retinal region are some of the factors that may adversely influence Aβ aggregation or clearance.

Histological assessment of Aβ pathology in the human retina, including plaques containing Aβ_{40} and Aβ_{42} isoforms, was achieved by applying certain isolation techniques and tissue preparation and biochemical labeling protocols as well as screening of large retinal areas (Figure 1). A couple of studies that were unable to detect Aβ in the human AD retina analyzed horizontal cross sections spanning a narrow strip from the nasal to temporal quadrants (41, 43), rather than screening large regions in flat mounts, thus limiting their analysis to regions that are largely spared of amyloid pathology in AD patients. Therefore, while Ho and colleagues (43) were unable to detect Aβ, p-tau, or α-synuclein in any ocular tissue of AD or Parkinson’s disease (PD) patients, considerably more independent studies in fact reported the presence of Aβ deposits in the retina and lenses (11, 39, 40, 42, 51, 83) as well as identified p-tau (41) and α-synuclein (84) in the retinas of AD and PD patients, respectively. Future studies should apply suitable techniques and comprehensive examination of flat-mount retinas to study additional pathological hallmarks of AD in the retina.

Analysis of retinal cross sections derived from the superior quadrants indicated accumulation of Aβ deposits mostly in the innermost retinal layers (GCL, IPL, and INL). Gallyas silver stain evidenced classical plaques, neuritic (senile-like) plaques, and NFT-like structures in the GCL, although their exact identity requires further study employing specific antibodies against pTau and NFT. In addition, neuronal reduction observed in INL, ONL, and especially GCL of AD patients, quantified by Nissl stain, was accompanied by retinal Aβ pathology. Indeed, the abundance of deposits in the GCL may explain the ganglion cell degeneration and abnormal electroretinogram patterns reported in AD patients (26, 85, 86) and animal models of AD (22, 54, 57, 87). The finding of intracellular Aβ accumulation in AD retinas, either somatic or perinuclear, suggests an alternate mode of Aβ-mediated toxicity. Recent studies have demonstrated the detrimental effects of intracellular Aβ, by way of oligomerization into pathological forms within the endoplasmic reticulum (88), as well as the formation of neuritic plaques from degenerating neurons containing intracellular Aβ (89).
Ultrastructure examination of Aβ42-containing deposits by TEM revealed the existence of retinal Aβ42 fibrils, protofibrils, and other intermediates in the pathway of Aβ deposition. We detected assemblies with morphology resembling paranuclei containing annular oligomers (72, 73), the building blocks of Aβ protofibrils and fibrils. The concurrent existence of various Aβ structures in the retina of the same patient may suggest that Aβ accumulates in different disease stages. This supports the hypothesis that different types of Aβ deposits develop independently rather than sequentially from the same plaque type (90). It is likely that multiple factors contribute to the formation of each type of retinal deposit, but the underlying mechanisms of plaque and nonfibrillar oligomer formation in the retina are not yet understood. This uncertainty merits future investigation of the various plaque phenotypes and distribution of each type in the human retina during normal aging and disease progression (AD).

In this study, we frequently found retinal Aβ deposits in association with blood vessels similar to cerebral vascular amyloid pathology (91). As retinal and cerebral microvasculature share many morphological and physiological properties (34), these vascular amyloid findings may explain the well-documented narrowing of venous blood column diameter and reduced blood flow in both the retinas (19, 20, 33, 76, 92) and brains (93–95) of AD patients. Recently, a mechanism for such phenomena was proposed in a study using murine models of AD demonstrating that vascular amyloid could harden blood vessel walls and decrease blood flow (96). Still, larger studies are required to confirm the association between cerebral amyloid angiopathy and retinal vascular amyloid pathology.

To detect amyloid deposits in retinas of living patients, we translated our retinal curcumin optical imaging approach, previously developed in rodent models of AD (40, 51). Using a modified ophthalmic device, we analyzed single, cluster, and large-area deposits with increased curcumin fluorescent intensity in human subjects. Retinal amyloid deposits at baseline (before curcumin intake) generally exhibited faint autofluorescence. Previous studies also report weak autofluorescence near plaques in the brains of ADtg mice that had never received curcumin (40, 97). The low-grade autofluorescence of plaques in the absence of curcumin may result from oxidative damage-induced lipid peroxidation production of aldehydes that create autofluorescent Schiff’s bases in and near aggregated amyloids (98). In addition, pigmentation (i.e., lipofuscin accumulation) often occurs in the retinas of elderly individuals and can also generate autofluorescent spots (99, 100). Therefore, measuring increased fluorescence of curcumin spots allows for specific detection of Aβ-containing deposits in vivo. Importantly, we previously confirmed the identity of retinal amyloid plaques that were imaged in vivo with curcumin in ADtg mouse models by subsequent ex vivo immunolabeling, demonstrating specific anti-Aβ labeling in the same plaques (40). The future availability of postmortem retinal tissues from previously in vivo–imaged human subjects will warrant investigation in order to determine the correlation between RAI scores and retinal histopathology of Aβ deposits.

Curcumin has been reported to have high affinity and specificity for the β-pleated sheets of Aβ and moderately stains NFTs that are positive for PHF-tau. Moreover, curcumin has high affinity to Aβ40, oligomers, and fibrils, which are tightly linked to AD (62–68). Curcumin intensely stains the central dense amyloid core in Aβ fibrils and plaques, as was also previously demonstrated in the brain of murine models of AD (101). Further, it labels the nonfibrillar forms of Aβ40 and Aβ42, based on its specific binding to the Aβ-hexapeptide 16KLVFFA21 amino acid sequence domain (64, 102). Previous reports showed that curcumin readily crosses the blood-retinal and -brain barriers in murine models and specifically labels Aβ aggregates (40, 51, 103). We found here that Longvida oral curcumin formulation consistently colabeled Aβ plaques with various sequence-specific mAbs in postmortem human retinas and brains, including 12F4, which recognizes the C'-terminus Aβ40 alloforms and not APP. Curcumin showed a distinct labeling pattern that was similar to the amyloid spots observed in living patients. Curcumin is considered as generally recognized as safe by the FDA based on previous clinical trials (74, 75, 104), and the Longvida curcumin used in this study caused minimal to no adverse effects. Longvida’s safety has been demonstrated in pharmacokinetic studies in humans (105) and extensive toxicity testing in animals (106), and its efficacy has been demonstrated in two clinical trials (74, 75). The choice of curcumin as an amyloid contrast agent in living patients was further supported by the experiments presented here (Figure 6 and Supplemental Figures 4–5 and 7), previously in vivo and ex vivo in ADtg mice (40, 51), and in a study using OCT imaging in MCI patients (107). The high bioavailability of optimized curcumin was established by increased tissue absorption of free rather than glucuronidated or other curcumin metabolites from the gastrointestinal system to the blood stream.

An OCT examination in a small subset of patients showed increased curcumin fluorescent spots indicative of amyloid deposits in AD patients, located in peripheral regions above an intact RPE layer.
and Bruch’s membrane and in the absence of maculopathy. These findings are distinct from drusen described in AMD patients (108–110). AMD is a common ocular disease described by sub-RPE drusen deposits, Bruch’s membrane thickening, and outer retina degeneration of the RPE and photoreceptors within the macula centralis (111). Aβ immunoreactivity was detected in 30%–40% of soft drusen in AMD patients, often occurring in the posterior pole below a disrupted RPE and Bruch’s membrane and along with maculopathy (108–110). Our preliminary data on two AMD patients, using the same retinal curcumin imaging protocol, showed deposits with increased curcumin intensity within large diffuse lesions at the posterior pole/central retina, rather than plaques in the peripheral retina, as detected in AD patients. Further, drusen are often seen as hypofluorescent spots (108–110). Yet, this study cannot rule out the existence of pseudodrusen, also known as subretinal drusenoid deposits, in the retinas of AD patients, although these are mostly located in the macula in AMD and differ from our in vivo and histological observations in the AD retina. Future studies should determine through large sample sizes whether the location of retinal amyloid pathology in AMD and AD is different, which could help with differential diagnosis.

Glaucoma is another common ocular disease that was previously implicated as sharing commonalities with AD (reviewed in Hart et al., ref. 11). While retinal pathology found in AD has revealed NFL and GCL loss in addition to hallmark Aβ plaque and tauopathy, predominantly in the innermost retinal layers of the peripheral superior quadrant, glaucoma pathology indicated a particular pattern of central retina neuronal loss in the GCL along with optic disc cupping. This and other pathological evidence relating the two diseases remains quite controversial. Thus, further investigation is needed before positing claims of shared abnormalities.

Within our small AD patient group, we did not obtain a correlation between MMSE scores and RAI scores. Although analyses performed on a limited sample size are challenging to interpret, this result is comparable to amyloid burden in the brain. Cerebral amyloid-PET imaging studies predominantly indicate that amyloid burden plateaus early in the course of AD (112). Here, in a subset of patients, for which retinal amyloid burden is known, Aβ plaques in the brain, which was seemingly stronger for the primary visual cortex. The apparent correlation between retinal and brain plaques calls for further study in larger groups of AD patients to determine their relationship during disease progression as well as to analyze the association with specific brain regions.

While the timing of Aβ plaque appearance in the retinas of AD patients is unknown, our previous histological analysis revealed its existence in early-stage cases (e.g., probable and possible AD) (40). In addition, we detected retinal Aβ plaques in flat mounts from ADtg mice at least 2 months prior to their presence in the respective hippocampi and cortices (40). These data may suggest that Aβ accumulation in the retina is an early event in AD pathogenesis, yet large longitudinal studies in presymptomatic AD and MCI patients are required to confirm retinal Aβ plaque as an early biomarker. Further, the capability of the RAI score to detect AD with high sensitivity and specificity should be evaluated in follow-up studies with a greater number of cases compared with age- and sex-matched controls. Such studies could provide a better understanding of AD manifestation in the retina and further validate our methodology by determining whether retinal amyloid burden is a reliable biomarker of AD.

In summary, we present here a quantitative and detailed histological report of retinal Aβ deposits and the pathological hallmarks of AD, including their distribution and ultrastructure in AD patients, together with the demonstrated feasibility to noninvasively image and quantify retinal amyloid deposits in living patients. Such retinal amyloid imaging technology, capable of detecting discrete deposits at high resolution in the CNS, may present a sensitive yet inexpensive tool for screening populations at risk for AD, assessing disease progression, and monitoring response to therapy.

**Methods**

Extended methods are provided in the Supplemental Methods.

**Postmortem eyes and brains.** Donor eyes and brains of AD patients and controls (n = 37) were obtained from the University of Southern California Alzheimer’s Disease Research Center Neuropathology Core (IRB HS-042071). Clinical and neuropathological data are included in Supplemental Table 1.

**Aβ immunohistochemistry.** Peroxidase- and fluorescent-based immunostaining of brains and retinas was performed. Images were acquired using a Carl Zeiss Axio Imager Z1 microscope.
Aβ plaque distribution analysis. The geographical location and layer distribution were determined in flat mounts and cross sections, respectively.

Retinal Aβ plaque quantification. Aβ plaques were analyzed from retinal superior temporal quadrants.

Brain plaque quantification. Aβ plaques were examined and quantified from 7 brain regions.

Curcumin and Sudan black B staining. Tissues were stained as previously described (40).

TEM. Sections were analyzed on a JEOL JEM-2100 LaB6 TEM and imaged on a Gatan SC1000 Orius.

Curcumin bioavailability in mice. Absorption of curcumin formulations into the blood and brain was measured by HPLC.

Optimization of oral curcumin formulation and regimen for retinal Aβ imaging in live ADtg mice. The procedure was optimized through in vivo retinal imaging.

Curcumin administration in human subjects. A dose of 4 g Longvida containing 1 g curcumin was taken once a day for several days prior to imaging.

Curcumin pharmacokinetics in humans. Plasma and RBC samples were analyzed by LCMSMS as previously described (113).

Phantom eye model and ophthalmic device development. A model eye was developed to test device modifications.

Noninvasive retinal amyloid imaging in live human subjects. AD patients and controls (n = 16) were imaged; see details on human subjects in Supplemental Table 2.

Imaging protocol. Images were acquired and processed consistently according to a defined procedure.

Semiautomated and fully automated image analysis. Image acquisition and processing protocol was automated for quantification of retinal amyloid burden.

RAI score. RAI score encompasses a number of factors characterizing detected spot number, area, intensity, and distribution.

OCT. OCT scans were performed with Heidelberg Spectralis.

Statistics. GraphPad Prism Software version 6.01 was used for analysis. A comparison of three or more groups was performed using 1-way ANOVA followed by a Bonferroni’s or Tukey’s multiple comparison post test. Logarithmic transformation analysis of covariance was also applied for pharmacokinetics data analysis. Two-group comparisons were analyzed using a 2-tailed unpaired Student’s t test. The statistical association between two or more variables was determined by Pearson’s correlation coefficient (r) test. Pearson’s r indicates direction and strength of the linear relationship between two variables. Results are expressed as mean ± SEM. P values of less than 0.05 are considered significant.

Study approval. Clinical trials were approved by Quorum Review, Seattle, Washington, USA (IRB00003226), and the US Department of Health and Human Services (FWA00019841). Human tissues are from the USC ADRC Neuropathology Core, USC, Los Angeles, CA (IRB: HS-042071). Animal studies were approved by the Cedars-Sinai Medical Center Institutional Animal Care and Use Committee and the Division on Laboratory Animal Medicine at the University of California, Los Angeles.

Author contributions
MKH, YK, SRV, and KLB conceived, designed, and supervised the study. YK, DB, SRV, and MKH contributed major experimentation and data acquisition and analysis. EB, DSB, JAP, WJA, SJK, AB, DTF, AA, SF, GMC, CAM, and DRH contributed to experimentation, patient recruitment, and image acquisition. MKH, YK, DB, SF, DRH, SRV, and KLB provided data analysis, interpretation, and presentation. SF and GMC conducted pharmacokinetics experiments and data analysis. MKH, YK, DB, GMC, SF, CAM, DRH, SRV, and KLB discussed intellectual content, supervised, and edited the manuscript. MKH and YK wrote, revised, and provided final approval of the manuscript.

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