

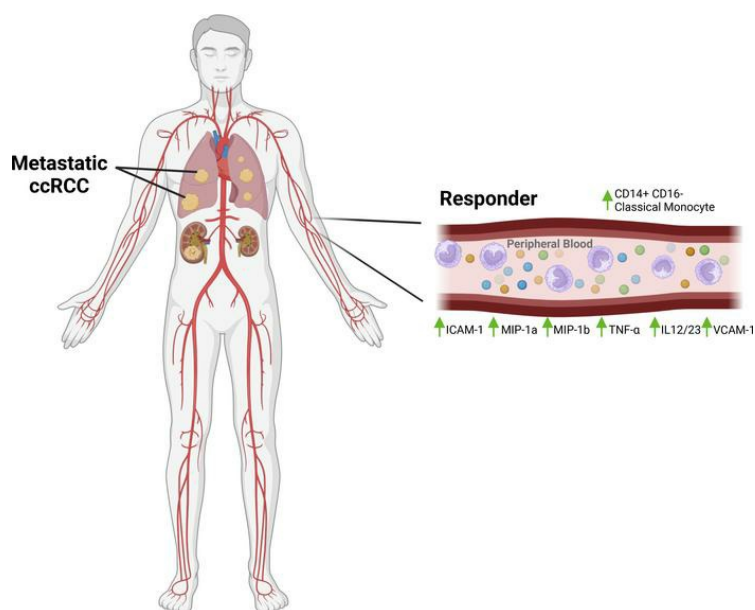
Circulating immune biomarkers correlating with response in patients with metastatic renal cell carcinoma on immunotherapy

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219 **TITLE**

220 Circulating immune biomarkers correlating with response in patients with metastatic renal cell carcinoma
221 on immunotherapy

222

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242 **KEYWORDS**

243 Cancer Immunotherapy, Cytokines, Innate Immunity

244

245

246

247 **DECLARATIONS**

248 **Ethics approval and consent to participate:** The study received ethics approval through the Duke IRB.

249 All participants consented to participate.

250 **Consent for publication:** All authors consented to publication of this work

251 **Availability of data and material:** All data and material will be made available upon request.

252

253

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278

279 **LIST OF ABBREVIATIONS**

CD	Cluster of Differentiation
CR	Complete Response
ICAM	Intercellular Adhesion Molecule
ICI	Immune checkpoint inhibitor
IL	interleukin
MIP	Macrophage Inflammatory Protein
mRCC	metastatic renal cell carcinoma
PBMC	Peripheral Blood Mononuclear Cells
PD	Progressive Disease
PIGF	Placental Growth Factor
PR	Partial Response
RECIST	Response Evaluation Criteria In Solid Tumors
SD	Stable Disease
TARC	Thymus- and Activation-Regulated Cytokine
TNF	Tumor Necrosis Factor
VCAM	Vascular Cell Adhesion Molecule
VEGF	Vascular Endothelial Growth Factor

280

281

282 **ABSTRACT**

283

284 As multiple front-line immune checkpoint inhibitor (ICI)-based combinations are approved for metastatic
285 renal cell carcinoma, biomarkers predicting for ICI responses are needed past clinical prognostication
286 scores and transcriptome gene expression profiling. Circulating markers represent opportunities to assess
287 baseline and dynamic changes in immune cell frequency and cytokine levels while on treatment. We
288 conducted an exploratory prospective correlative study of 33 patients with metastatic clear cell renal cell
289 carcinoma undergoing treatment with ICIs and correlated changes in circulating immune cell subsets and
290 cytokines with clinical responses to treatment. Cell frequencies and cytokine levels were compared
291 between responders and non-responders using unpaired parametric t tests, using a pre-specified level of
292 significance of $p < 0.05$. Classical monocyte subsets (CD14⁺ CD16⁻), as well as seven cytokines (IL-12/23
293 p40, macrophage inflammatory protein-1a, macrophage inflammatory protein-1b, vascular cell adhesion
294 molecule-1, intercellular adhesion molecule-1, IL-8, and TNF-alpha) were higher at baseline for
295 responding versus non-responding patients. Dynamic changes in thymus- and activation-regulation
296 chemokine (TARC), placental growth factor (PlGF), and vascular endothelial growth factor (VEGF) also
297 correlated with patients with ICI response. **In summary**, macrophage activating agents were observed to
298 be important in ICI response and may highlight the importance of the innate immune response in ICI
299 responses.

300

301

302

303 INTRODUCTION

304 Renal cell carcinoma (RCC) is among the 10 most common cancers in the United States, with estimated
305 annual cases of more than 81,800 and 14,890 deaths in 2023 (1) The landscape of therapy for metastatic
306 RCC (mRCC) has rapidly evolved to include a number of immune checkpoint inhibitor (ICI) based
307 regimens (2). Ipilimumab/nivolumab (CheckMate 214), axitinib-pembrolizumab (KEYNOTE-426),
308 axitinib-avelumab (JAVELIN Renal 101), cabozantinib-nivolumab (CheckMate 9ER), and lenvatinib-
309 pembrolizumab (CLEAR) are all approved first-line therapies based on their overall survival benefits over
310 VEGF tyrosine kinase inhibitors (TKIs) alone. However, durable responses to immunotherapy remain
311 limited to a fraction of patients, and up to 75% of patients suffer severe ICI-related toxicities; therefore,
312 biomarkers that differentiate which patients are likely to respond on ICI therapy are of great interest.

313

314 The most widely used risk stratification model, the International Metastatic RCC Database Consortium
315 (IMDC) criteria, were used in the trials leading to the approval of these regimens and continue to inform
316 therapy choice. However, the IMDC criteria were defined prior to the advent of ICI therapy and do not
317 reflect the complexity of immune host substrates or perturbations that are likely to be important for
318 outcomes on ICI therapy. Efforts to derive markers associated with improved outcomes with ICI therapy
319 have included transcriptomics analyses of tumors of patients in the IMmotion150/151 trial of
320 atezolizumab-bevacizumab versus sunitinib (3,4), which found that atezolizumab-bevacizumab improves
321 clinical benefit in tumors with high T effector cell and proliferation related genes. Molecular analyses of
322 baseline tumor samples from the JAVELIN Renal 101 trial of avelumab-axitinib versus sunitinib (5)
323 identified a 26 gene expression signature associated with improved progression free survival on
324 avelumab-axitinib, comprised of genes related to T cell and NK cell immune responses, cell trafficking,
325 and inflammation. However the JAVELIN Renal 101 gene expression signature had limited overlap with
326 the IMmotion151 T effector cell signature (5). In addition, neither the JAVELIN Renal 101 nor IMmotion
327 150/151 gene expression signatures predicted response in an analysis of pre-treatment tumor samples

328 from Checkmate 214 (6). Although multiple prospective phase 3 trials and independent studies have
329 attempted tissue-based biomarker analyses, there are only case series of circulating immune profiling
330 studies, including cytokine analyses, without peripheral immune cell profiling. Thus, circulating immune
331 biomarkers that predict response to immune checkpoint therapy remains an area of unmet need.

332
333 We sought to elucidate circulating immune biomarkers in patients with metastatic RCC on ICI therapy.
334 Our approach was to measure baseline and changes in both circulating immune cell subsets and cytokine
335 levels as a measure of their biologic activity at pre-specified time points during ICI therapy, and to
336 correlate these findings with clinical response.

337

338 **RESULTS**

339 We collected peripheral blood samples and performed flow cytometry and cytokine analysis on 33
340 patients with metastatic clear cell RCC (mRCC) treated with ICI based regimens at Duke Cancer Institute
341 clinics (Figure 1). The majority of patients were Caucasian and male, with IMDC intermediate-risk
342 disease (Table 1). Ipilimumab/nivolumab (ipi-nivo) was the most common ICI-containing regimen
343 utilized. Of the patients treated in the refractory setting, 9 patients received nivolumab and were all ICI
344 naive, with three receiving prior interleukin-2 (IL-2); one patient received ipilimumab-nivolumab and was
345 also ICI naive; and the single patient who received cabozantinib/nivolumab was treated in the fourth line
346 setting and had received prior atezolizumab as ICI. Three patients had sarcomatoid or rhabdoid features
347 on pathology. Peripheral blood was collected at the start of treatment, at 4 weeks on treatment and at 12
348 weeks on treatment.

349

350 To identify immune cell subsets enriched in responders, frequencies of circulating B cells, T cells and
351 monocytes were compared between responders and non-responders. Within monocytes we additionally
352 distinguished between classical monocyte subsets (CD14⁺ CD16⁻) which are traditionally phagocytic,
353 from non-classical (CD14⁻CD16⁺). We categorized responders as those who achieved either a partial or

354 complete response (PR/CR), and the rest, comprising stable disease (SD) or progressive disease (PD) as
355 non-responders. We first examined the patients who received ipilimumab/nivolumab as first-line
356 treatment did not have immune perturbations to their pre-treatment baselines. 9 responders and 10 non-
357 responders to ipi-nivo had sufficient flow cytometry samples to compare circulating immune cells. Of
358 patients who had received ipi/nivo as first-line treatment and had flow cytometry data available (n=19),
359 responders had a trend towards more circulating monocytes at pre-treatment baseline compared to non-
360 responders (mean 23.8% versus 13.4% of leukocytes, t-test p=0.088, odds ratio (OR) for progression 0.53
361 (95% CI 0.08-3.27)) (Figure 2A). Examination of the monocytes by subsets, specifically classical
362 (CD14+ CD16-), non-classical (CD14- CD16+) and pro-inflammatory (CD14+ CD16+) subsets, revealed
363 that responders had a higher frequency of classical monocytes at baseline versus non-responders (mean
364 90.6% versus 74.7% of monocytes, t-test p=0.008, OR for progression 0.21 (95% CI 0.03-1.38), and a
365 lower frequency of pro-inflammatory monocytes versus non-responders (mean 6.92% versus 20.8% of
366 monocytes, t-test p=0.014, OR for progression 8.17 (95% CI 1.17-83.38) (Figure 2B).

367
368 Extending the analysis to include patients receiving ICI as 2nd, 3rd, or 4th line therapy in the analysis re-
369 demonstrated a higher frequency of classical monocytes (mean 90.7% versus 76.7% of total monocytes, t-
370 test p=0.007, OR for progression 0.04 [(95% CI 0-0.29) and a lower frequency of proinflammatory
371 monocytes (mean 6.42% versus 15.3% of total monocytes, t-test p= 0.040, OR for progression 3.21 [95%
372 CI 0.67-18.74]) (Figure 2C). The expanded analysis additionally revealed a lower frequency of NK T
373 cells in responders versus non-responders (mean 5.8% versus 13.4% of leukocytes, t-test p=0.046, OR for
374 progression 6.86 [95% CI 1.28-54.84]) (Figure 2C).

375
376 To integrate our cellular findings with circulating functional proteins, we evaluated circulating cytokine
377 levels (see Materials and Methods, part 2.4). Seven cytokines were present at significantly higher
378 circulating levels in responders at baseline (N=11 responders, N=20 non-responders): IL-12/IL-23/p40
379 (mean 94.9 picograms/mL versus 44.6 pg/mL, t-test p=0.00114, OR for progression 0.2 [95% CI 0.03-

380 0.94], MIP-1a (mean 4.33 pg/mL versus 3.0 pg/mL, $p=0.0488$, OR 0.38 [95% CI 0.08-1.69]), MIP-1b
381 (mean 14.2 pg/mL versus 8.99 pg/mL, t -test $p=0.0261$, OR 0.1 [95% CI 0.01-0.5], VCAM-1 (mean 606
382 pg/mL versus 362 pg/mL, t -test $p=0.0211$, OR 0.2 [0.03-0.94]), ICAM-1 (mean 593 pg/mL versus 373
383 pg/mL, t -test $p=0.020$, OR 0.2 [95% CI 0.03-0.94]), IL-8 (mean 4.70 pg/mL versus 2.30 pg/mL, t -test
384 $p=0.0213$, OR 0.2 [95% CI 0.03-0.94]), and TNF-a (mean 1.69 pg/mL versus 1.12 pg/mL, t -test $p =$
385 0.0317, OR 0.2 [95% CI 0.03-0.94]) (Figure 3A).

386

387 To identify changes in cytokine levels during treatment, fold change ratios for each patient's cytokine
388 levels at 4 weeks and at 12 weeks were compared with pre-treatment baseline (Figure 3B). We found that
389 levels of thymus- and activation- regulated cytokine TARC (CCL17) rose on treatment, to a significantly
390 greater degree in responders than non-responders at 4 weeks (fold increase 1.87-fold versus 1.20-fold,
391 $p=0.0100$, $n= 11$ responders and $n = 17$ non-responders). TARC levels also rose higher in responders than
392 non-responders when measured at 12 weeks (1.90-fold versus 1.75-fold), though the difference between
393 groups at this timepoint did not meet statistical significance. Conversely, placental growth factor (PIGF)
394 levels decreased in responders whereas they rose in non-responders such that fold change ratios at 12
395 weeks were significantly different with a mean fold change ratio of 0.799X in responders versus 1.30X in
396 non-responders ($p = 0.01$). Vascular endothelial growth factor (VEGF) also decreased over the course of
397 therapy in responders and increased in non-responders (Figure 3B), though these changes were not
398 statistically significant.

399

400 Our index patient, referred to here as patient 7, was noted clinically to have a particularly exceptional
401 response to ICI therapy: at baseline, patient 7 had innumerable metastases in the lungs and liver (Figure
402 4A). After 2 years of treatment with ipilimumab-nivolumab followed by nivolumab maintenance, the
403 patient had resolution of all but one liver lesion (Figure 4B). The liver lesion also subsequently resolved,
404 and the patient remained off treatment for 15 months without evidence of disease. Consistent with the
405 cytokine profile identified by this work to serve as potential biomarkers of ICI response, this patient had

406 especially high baseline levels of IL-12 IL-23 p40, macrophage inflammatory protein (MIP)-1a, MIP-1b,
407 TNF-alpha, intercellular adhesion molecule (ICAM)-1, vascular cell adhesion molecule (VCAM)-1, and
408 IL-8, even relative to other responders (Figure 4C). This patient had a focus of sarcomatoid de-
409 differentiation in his primary tumor at the time of cytoreductive nephrectomy, and indeed the 3 patients
410 who had sarcomatoid or rhabdoid differentiation (7, 20, and 29) had overall higher than median levels of
411 the 7 cytokines that were elevated in the index patient, with exception of TNF α and IL-8 for patient 29
412 (Figure 4C).

413

414 **DISCUSSION**

415

416 We conducted an exploratory analysis of peripheral immune cell frequencies and cytokine levels to
417 identify candidate circulating biomarkers of response to ICI therapy in patients with metastatic clear cell
418 RCC. While all ICIs were given in patients who had not previously received immunotherapy, not all
419 patients received ICI as first-line therapy, and there was only one patient who received combination ICI
420 with a VEGF inhibitor, which has now become standard of care. A strength of our study is integrating
421 both cellular frequencies and cytokine levels, which enhanced our sensitivity of detecting immune
422 correlates on the basis of cell frequency or function, and allowed us to assess for congruence between
423 identified key cellular players (e.g. monocytes) and associated cytokine products or regulators. We found
424 that patients who responded to ICIs had a higher frequency of circulating classical monocytes at pre-
425 treatment baseline compared to non-responders. One limitation is the limited role that flow cytometry has
426 in clinical practice for patients with solid tumors; therefore, the meaningful immune cell subsets here may
427 not be found in routine clinical practice.

428

429 Interestingly, of the 7 cytokines that this work identified as being associated with better outcomes, five
430 are produced by or activate monocytes/macrophages: IL-12 and/or IL-23 (as detected by IL-12 IL-23
431 p40), both forms of macrophage inflammatory proteins (MIP-1a and 1b), TNF-a, and IL-8. Moreover, a

432 patient with an exceptional response even relative to other responders (patient 7) had exceptionally high
433 levels of all of these cytokines, and these seemed consistently elevated in patients with sarcomatoid or
434 rhabdoid de-differentiation. A correlation between a higher frequency of classical monocytes and better
435 outcomes has been observed in metastatic melanoma (7); our findings support that classical monocytes
436 are promising circulating biomarkers for predicting ICI response in renal cell carcinoma. To our
437 knowledge, this is the first study to demonstrate clear differences in circulating monocytes at baseline
438 between responders and non-responders to ICI treatment in mRCC.

439

440 Additionally, we found that patients with fewer circulating NKT cells had better outcomes with ipi/nivo.
441 This is interesting given that RCC tumor expression of CD1d, the molecule that presents ligands to NKT
442 cells, has been found to correlate with aggressive disease (8). In contrast to many other tumors (9), a
443 lower level of circulating NK T cells in patients who have better outcomes with ipi/nivo may relate to
444 particular aspects of RCC tumor biology.

445

446 In addition to assaying baseline cytokine levels, we asked if changes in cytokine levels over the course of
447 treatment were associated with response. We found that responders had a greater increase in TARC
448 (CCL17) than non-responders after 4 weeks on therapy. Increased TARC levels have been associated
449 with improved survival in metastatic melanoma, attributed to the role of this cytokine in recruiting T cells
450 to the tumor sites (10,11) – our study suggests this is also true for mRCC treated with ICI. In addition, we
451 found that PlGF decreased over the course of therapy in responders whereas non-responders exhibited an
452 increase in PlGF. Of note, VEGF also decreased over the course of therapy in responders and increased in
453 non-responders (Figure 4), though these changes were not statistically significant. Angiogenesis
454 inhibition and immune checkpoint inhibition are thought to act synergistically in mRCC and is part of the
455 rationale for ICI/TKI combination therapies. The decrease in PlGF and VEGF while on ICI treatment
456 appears to be an evaluable marker of this synergy.

457

458 Prior analyses in metastatic RCC have similarly shown that circulating inflammatory cytokines such as
459 IL-6 and IFN- γ , as well as circulating T-cell subsets, are associated with clinical benefit (12) (13). Unlike
460 these prior studies, our study was focused on patients treated with only immunotherapy treatments
461 (nivolumab or ipilimumab/nivolumab) and found an effect of inflammatory cytokines and immune cell
462 subsets active in innate immunity. This current analysis was limited in the lack of interrogation on
463 circulating tumor DNA, which has been a more recent focus of many efforts in RCC.

464

465 Finding predictive biomarkers for ICI response in metastatic RCC and other solid tumors remains a
466 challenge. Our study showed both a subset of immune cells and inflammatory cytokines active in innate
467 immunity have the potential to be critical differentiators between responders and non-responders to ICIs.
468 Our findings contribute to growing evidence that immune checkpoint inhibitors directly or indirectly
469 upregulate adaptive immune responses through innate immune cells (14). With further validation in larger
470 cohorts, these signals would improve our current prognostication and treatment selection pathways.
471 Furthermore, these results support the importance of trials that combine treatments in activating both
472 adaptive and innate immunity for RCC.

473

474 **METHODS**

475 **2.1 Study population and controls**

476 *Sex as a biological variant:* Both men and women with metastatic renal cell carcinoma were enrolled to
477 this study, and similar findings are reported for both sexes.

478 The study population consisted of patients with metastatic renal cell carcinoma receiving standard-of-care
479 immunotherapy treatment at Duke Cancer Institute (Durham, NC). 33 patients were prospectively
480 enrolled and followed between March 2017 and March 2020. Sex as a biological variable: Both men and
481 women starting ICIs were enrolled to this study regardless of sex.

482 Blood was collected both prior to and during ICI treatment at baseline, 4 weeks, and 12 weeks after
483 starting therapy. Demographic and clinicopathologic variables were recorded at the time of initial

484 consultation (Table 1). Sample collection was performed at baseline (pre-treatment), week 4, week 12 and
485 where available, at progression of disease. Patients were subsequently categorized into partial responders
486 and complete responders (PR/CR) versus those categorized as non-responders based on stable or
487 progressive disease (PD/SD) at their best response using the response evaluation criteria in solid tumors
488 (RECIST) guidelines.

489

490 **2.2 Isolation and storage of peripheral blood mononuclear cells (PBMCs)**

491 Blood was obtained by venipuncture and collected into CPT Mononuclear Cell Preparation vacutainers
492 for flow cytometry analyses and EDTA vacutainers for cytokine analyses (BD Biosciences, San Diego,
493 CA). PBMCs were isolated according to the manufacturer's recommendations. EDTA plasma was
494 processed within 2 hours, double-spun, aliquoted, and frozen. PBMC were viably cryopreserved and
495 stored in vapor phase liquid nitrogen, while plasma was stored at -80°C for future use.

496

497 **2.3 Flow cytometry analysis**

498 Circulating immune cells were identified and quantitated using flow cytometry with DuraClone antibody
499 panels (Beckman Coulter). For basic immune profiling, cells (1×10^6) were stained with the DuraClone
500 Basic antibody cocktail comprised of CD3, CD4, CD8, CD16, CD19, CD45 and CD56 (Beckman
501 Coulter, Catalog # B53309) for 15 minutes. Cells were washed and data was then acquired on a CytoFlex
502 flow cytometer. All collected data was then analyzed using Kaluza Software (Beckman Coulter, Brea,
503 CA). Data analysis was performed using Kaluza software (Beckman Coulter). The gating strategy is
504 shown in Figure S1. Flow cytometry measurements were performed blinded. Datasets will be provided
505 upon request.

506

507 **2.4 Cytokine analysis**

508 Circulating biomarkers of inflammation/immune response were identified and quantitated using the Meso
509 Scale Quickplex SQ 120 system from Meso Scale Diagnostic (MSD), LLC. (Rockville, MD) and the V-

510 PLEX Human Biomarker 46-Plex, (MesoScale Diagnostics, Rockville, MD, Catalog # K15088D-1).
511 Plasma was batch analyzed and the following markers assessed: IFN γ , interleukins [IL]-1b, 2, 4, 5, 6, 7,
512 8, 10, 12, IL-12-IL-23p40, 13, 15, 16, 17A, 21, 22, 27, 31, MIP-3a, Eotaxin, Eotaxin-3, IP-10, MCP-1&4,
513 MDC, MIP-1a & 1b, TARC, TNF-a & b, GM-CSF, CRP, ICAM-1, SAA, VCAM-1, FGFb, FLT-1, PIGF,
514 Tie-2, VEGF, VEGF-C, and VEGF-D. Multiplex panels were performed at the pre-specified dilution,
515 and each sample was tested in duplicate. IL-13, IL-1b, IL-17A, GM-CSF, IL-2, IL-4, IL-5, IL-23 and
516 VEGF-C were excluded from downstream analyses because levels were below the limit of detection in
517 95% of patients. Cytokine measurements were performed blinded. Datasets will be provided on request.

518

519 **2.5 Statistical analysis**

520 Data collection was performed blinded to response. Peripheral blood cell frequencies and cytokine levels
521 at each time point (baseline, 4 weeks, and 12 weeks on treatment) were compared between responders and
522 non-responders. For each cell type, frequencies were compared between responders and non-responders
523 using unpaired parametric t tests, using a pre-specified level of significance of $p < 0.05$. Similarly, for each
524 cytokine, levels were compared between responders and non-responders using an unpaired parametric t
525 tests. For each cytokine, fold ratios were calculated as follows: Fold ratio at week 4 = cytokine level at
526 week 4 / cytokine level at baseline; fold ratio at week 12 = cytokine level at week 12 / cytokine level at
527 baseline. Fold ratios for each cytokine were compared between responders and non-responders by
528 multiple unpaired parametric t tests using a pre-specified level of significance of $p < 0.05$. No correction
529 for multiple testing was performed in this exploratory analysis. We conducted a logistic regression
530 analysis to find the odds ratio of non-responders (PD/SD) vs responders (PR/CR) for each immune cell
531 subset or cytokine, which was dichotomized at its median into high and low. An odds ratio of < 1 means
532 that patients with a high level are less likely to have disease progression. t-testing was performed in Prism
533 GraphPad Version 9.5.0.

534

535 **2.6 Study Approval:**

536 Sample collection and biomarker analyses were approved by the Duke University Institutional Review
537 Board (Pro00076768), and informed consent was obtained from each patient.

538

539 **2.7 Data Availability:**

540 De-identified data available upon request from corresponding author. Values for all data points in graphs
541 are reported in the submitted Supporting Data Values file.

542

543 **AUTHOR CONTRIBUTIONS:**

544 Designing research: EKH, ABN, TZ; Conducting experiments & acquiring data: JKH, EKH, AA, MDS;
545 Analyzing data: JKH, EKH, YW, AZW, AJA, MRH, DJG, ABN, TZ; Writing/editing manuscript: JKH,
546 EKH, AZW, ABN, TZ; Approving manuscript: All authors
547

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552
553

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- 587

588 TABLES

589 Table 1. Baseline patient characteristics (n=33). ** For patients treated with nivolumab, all were in
 590 subsequent line settings but immunotherapy naive, with 3 of the 9 patients having had prior IL-2.

Variable	Number (n)
Male	29
Female	4
Ethnicity/Race	
Caucasian	29
African American	4
Age	
20-29	1
30-39	0
40-49	5
50-59	7
60-69	8
70-79	11
80-89	1
Line of Treatment	
1 st	22
2 nd	5
3 rd	4
4 th	2
Regimen and duration (median/range in months)	
Nivolumab**	9 (4.2 / 0.9-37.9)
Cabozantinib/nivolumab	1 (4.4 / NA)
Ipilimumab/nivolumab	23 (6.7 / 1.8-45.3)
IMDC Risk Score	
Favorable risk - 0	9
Intermediate risk - 1	13
Intermediate risk - 2	6
Poor risk - 3	5

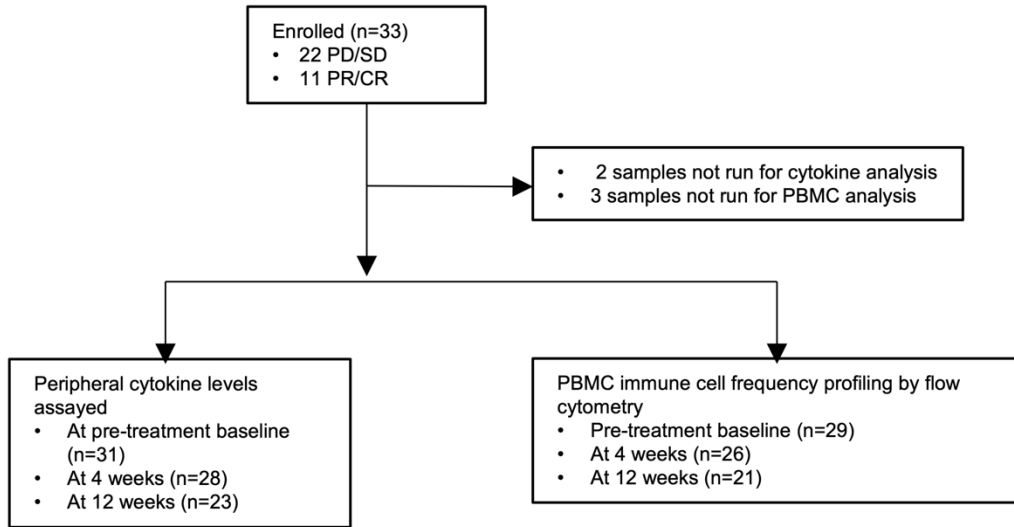
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594 FIGURES

595 Figure 1: CONSORT diagram of enrollment of participants in study and sample collection.

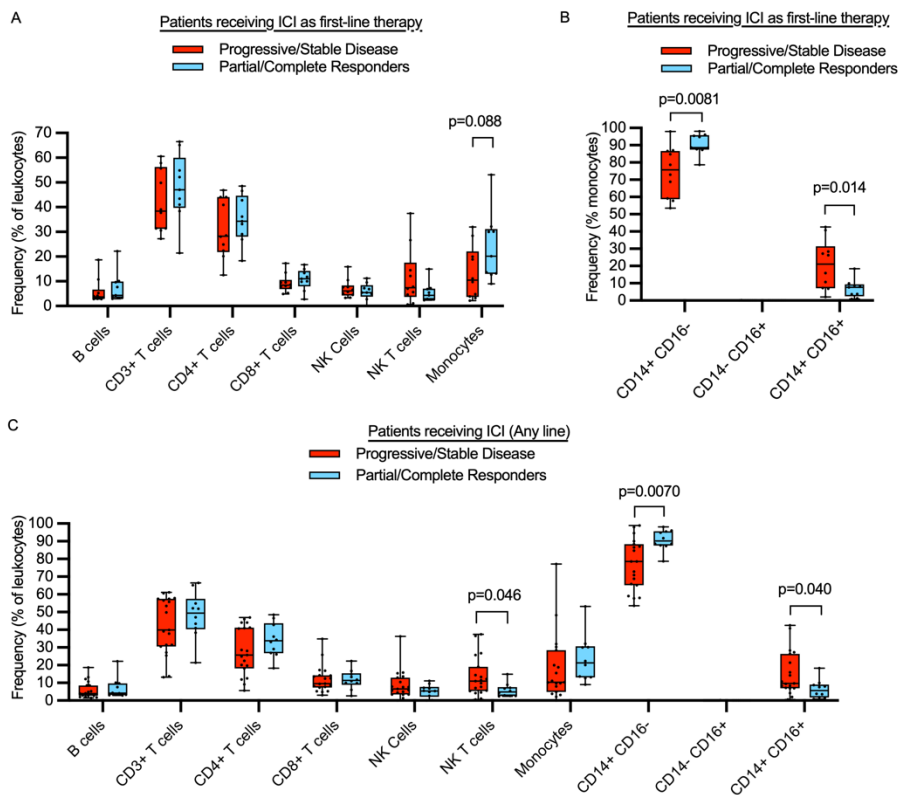


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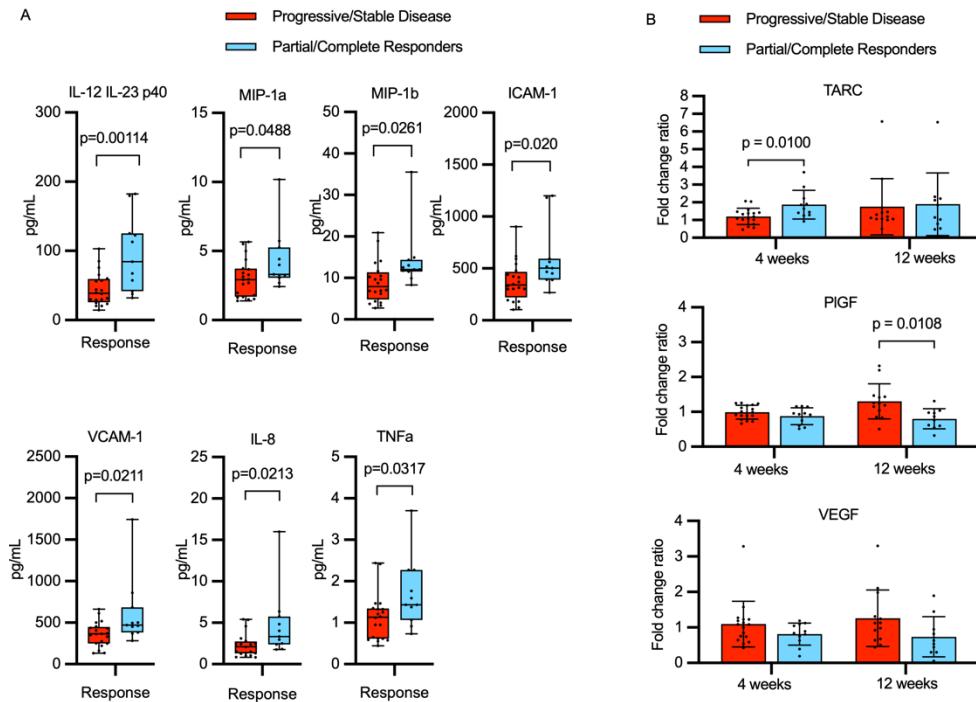
599 **Figure 2: Immune cell subsets in patients with metastatic renal cell carcinoma receiving immune**
600 **checkpoint inhibitor (ICI) therapy.** (A) Frequency of circulating immune cell subsets as percentage of
601 peripheral blood mononuclear cells in responders (blue bars, n=9) versus non-responders (red bars, n=10),
602 in patients receiving ICI as first-line treatment. (B) Frequency of monocyte subsets as percentage of
603 monocytes in ICI responders (blue bars, n=9) versus non-responders (red bars, n=10), in patients
604 receiving ICI as first-line treatment. (C) Frequency of circulating immune cell subsets in ICI responders
605 (blue bars, n=10) versus non-responders (red bars, n=19) as percentage of peripheral blood mononuclear
606 cells in patients receiving ICI as any-line therapy. Data is represented as box and whisker plots in which
607 whiskers represent maximum and minimum, bounds of boxes indicate 25th and 75th quartiles, and line
608 within box indicates the median. Frequencies between responders and non-responders were compared by
609 unpaired two-sample t-test.
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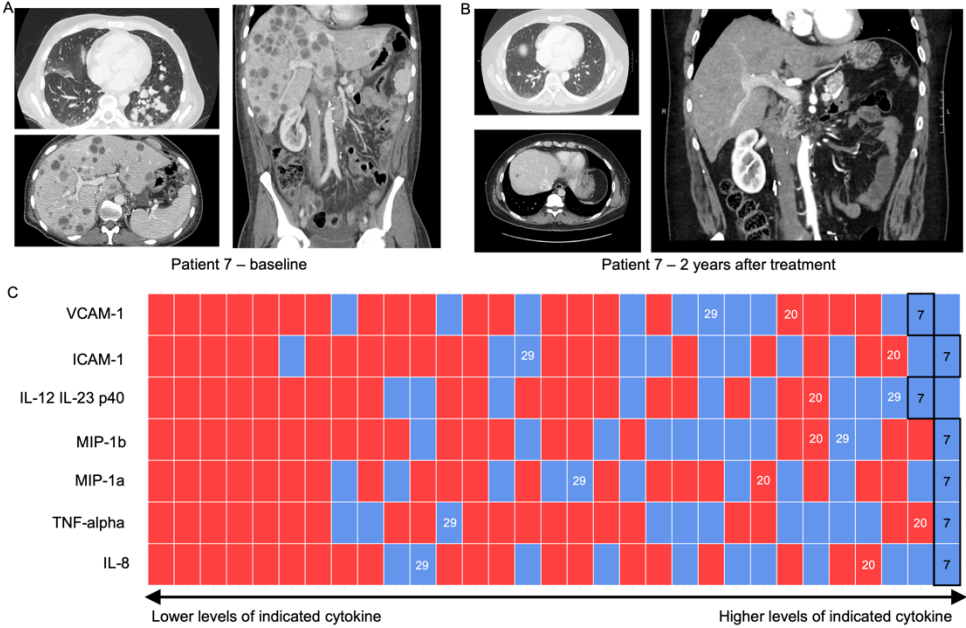
613 **Figure 3: Cytokine levels that differ significantly between responders at pre-treatment baseline.** A)
 614 Cytokine levels that differ significantly between responders (blue, n=11) and non-responders (red, n=2-)
 615 at pre-treatment baseline. Data is represented as box and whisker plots in which whiskers represent
 616 maximum and minimum, bounds of boxes indicate 25th and 75th quartiles, and line within box indicates
 617 the median. Frequencies between responders and non-responders were compared by unpaired two-sample
 618 t-test. B) Changes in thymus- and activation-regulated chemokine (TARC), Placental growth factor
 619 (PIGF), and vascular endothelial growth factor (VEGF) cytokine levels while on treatment. Fold change
 620 ratios in levels of indicated cytokine levels at 4 and 12 weeks on treatment versus pre-treatment baseline,
 621 among responders (blue) and non-responders (red). Bars and error bars indicate mean and standard
 622 deviation. n=11 responders and n= 17 non-responders at 4 weeks; n = 10 responders and n= 13 non-
 623 responders at 12 weeks.



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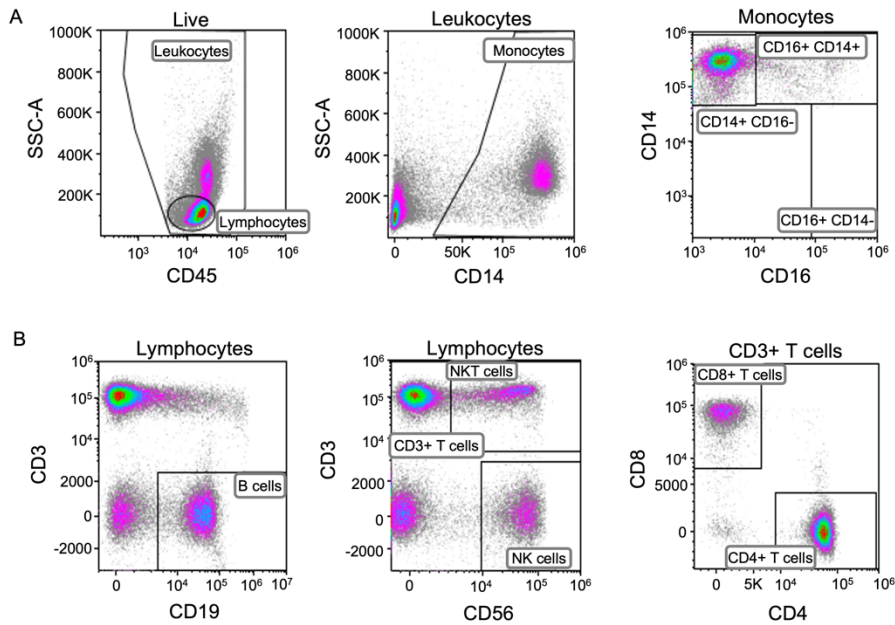
626 **Figure 4. Relative cytokine levels in patient with exceptional response to ICI.** CT scan images of
 627 exceptional responder patient 7 with (A) innumerable metastases in lungs and liver at baseline and (B) 2
 628 years after treatment. (C) Levels of key cytokines in patient 7, 20, and 29 (3 patients with
 629 sarcomatoid/rhabdoid features) relative to those of other patients. Within each row: every patient is
 630 represented by a bar, ordered left to right by increasing level of indicated cytokine, and color coded by
 631 clinical response (blue: PR/CR; red: PD/SD). Relative position of patient 7 is labeled "7".
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635 **Supplemental Figure S1: Gating strategy to examine circulating immune cells in RCC patients.**

636 PBMC obtained from patients at the indicated time points were analyzed using flow cytometry. (A)
637 Hematopoietic cells were identified via CD45 cell surface expression. Monocytes were identified and
638 characterized based on expression of CD14 and CD16. (B) Lymphocyte subsets were identified based on
639 CD19 (B cells), CD3, CD4 and CD8 (T cells), CD3 and CD56 (NK T cells), CD56 (NK cells).



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