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A human-like model of aniridia-associated keratopathy for mechanistic and therapeutic studies

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Aniridia is a rare congenital condition of abnormal eye development arising principally from heterozygous mutation of the *PAX6* gene. Among the multiple complications arising in the eye, aniridia-associated keratopathy (AAK) is a severe vision-impairing condition of the cornea associated with a progressive limbal stem cell deficiency that lacks suitable treatment options. Current mouse models of aniridia do not accurately represent the onset and progression dynamics of

human AAK, hindering therapy development. Here, we performed deep phenotyping of a haploinsufficient $Pax6^{+/-}$ smalleye (Sey) mouse model on the129Sey/SvImJ background, that exhibits key features of mild presentation at birth and progressive AAK with aging, mimicking human disease. The model exhibits a slowly progressing AAK phenotype and provides new insights into the disease including disturbed basal epithelial cell organization, function and marker expression, persistent postnatal lymphangiogenesis, disrupted corneal innervation patterns, and persisting yet altered limbal stem cell marker expression with age. The model recapitulates many of the known features of human disease, enabling investigation of underlying disease mechanisms and importantly, to access a well-defined temporal window for evaluating future therapeutics.



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23 Abstract

24 Aniridia is a rare congenital condition of abnormal eye development arising principally from heterozygous mutation of the PAX6 gene. Among the multiple complications arising in the 25 26 eye, aniridia-associated keratopathy (AAK) is a severe vision-impairing condition of the 27 cornea associated with a progressive limbal stem cell deficiency that lacks suitable treatment 28 options. Current mouse models of aniridia do not accurately represent the onset and 29 progression dynamics of human AAK, hindering therapy development. Here, we performed deep phenotyping of a haploinsufficient $Pax6^{+/-}$ small-eye (Sey) mouse model on 30 31 the129Sey/SvImJ background, that exhibits key features of mild presentation at birth and 32 progressive AAK with aging, mimicking human disease. The model exhibits a slowly 33 progressing AAK phenotype and provides new insights into the disease including disturbed 34 basal epithelial cell organization, function and marker expression, persistent postnatal lymphangiogenesis, disrupted corneal innervation patterns, and persisting yet altered limbal 35 36 stem cell marker expression with age. The model recapitulates many of the known features of 37 human disease, enabling investigation of underlying disease mechanisms and importantly, to 38 access a well-defined temporal window for evaluating future therapeutics.

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40 Keywords: congenital aniridia, aniridia-associated keratopathy, Sey mouse model, Limbal

41 stem cell deficiency, angiogenesis, lymphangiogenesis

42 Introduction

43 Congenital aniridia is a disorder of disrupted normal eye development owing to 44 haploinsufficiency of the PAX6 protein caused by heterozygous mutations of the PAX6 gene 45 (1). Underdeveloped eye structures lead to multiple ocular pathologies including iris and 46 foveal hypoplasia. Additionally early-onset glaucoma, and cataracts are reported, that may 47 arguably be attributed to abnormal trabecular meshwork differentiation (1,2), Importantly, in 48 most cases the development of aniridia-associated keratopathy (AAK) becomes the vision-49 limiting factor. AAK is a slow-onset, progressive loss of corneal transparency associated with 50 inflammation, neovascularization, and an insufficiency of limbal stem cells that normally 51 constantly renew the corneal epithelium. The prevalence and severity of AAK tends to 52 increase as patients age, with progressive limbal stem cell deficiency, pervasive inflammation 53 and neurodegeneration present in the cornea, and with surgical interventions unfortunately 54 proving ineffective (3,4), leading to blindness with poor prognosis for subsequent 55 interventions (5). To develop more effective and targeted therapies, a deeper understanding of 56 the mechanisms leading to AAK and its progression is needed - thus, a relevant and accurate 57 model of AAK is essential. 58 Mice are an excellent model for congenital aniridia as the human PAX6 and mouse Pax6

59 genes are identical and encode the same amino acid sequence. Transgenic mice with an

aniridia phenotype are created by targeted mutation of one allele of the *Pax6* gene (called the

61 Sey allele, for 'small-eye') resulting in mutant mice exhibiting a spectrum of AAK

62 phenotypes. As about 70% of all human cases of aniridia result from premature termination

63 codon (PTC) mutations leading to nonsense-mediated PAX6 protein decay (6,7), this

64 mutation type is the most useful for investigating disease mechanisms. Potential therapeutics

also exist for this type of mutation, such as nonsense-suppression drugs (also called read-

66 through drugs) designed to ignore the PTC and thereby produce a full-length PAX6 protein

67 that does not degrade (8,9). Likewise, the genetic background is a significant factor affecting 68 the ocular phenotype. The Sey allele on the C57BL/6 genetic background results in significant 69 variability, with moderate to severe microphthalmia and structural abnormalities; however, 70 microphthalmia is seldom reported in aniridia. Moreover, mutations on C57BL/6 and Balb/c 71 backgrounds result in an aggressive, advanced AAK phenotype apparent upon eye opening in 72 the early postnatal period (6,10,11). This is a clear departure from the majority of human 73 aniridia cases, where the cornea is transparent and presents with a very mild AAK that 74 generally does not progress during the first decade of life (12). Consequently, a critical 75 therapeutic window for aniridia that exists early in life in humans (1,12) has been inaccessible 76 for therapy development in mouse models. 77 The $Pax6^{+/-}$ haploinsufficient small eye (Sey) mouse model on the 129S1/SvImJ and hybrid 78 F1 backgrounds was recently presented as an alternative aniridia model (11) that has a PTC 79 mutation representing the most frequent human aniridia-causing mutation type. In particular, 80 the mouse model on the 129S1/SvlmJ background exhibited slower development of corneal 81 neovascularization, but the full characteristics of the model on this background, including the 82 ocular and AAK phenotype, are largely unknown. Here, we perform deep phenotype 83 characterization of the dynamics of AAK development in this model, to evaluate its 84 relationship to human disease. We provide a comprehensive analysis of the histologic, 85 morphometric, and immunohistochemical features of the cornea in this mouse model with heterozygous $Pax6^{+/-}$ genotype, relative to wild-type ($Pax6^{+/+}$) littermates, showing that the 86 87 model mimics the human disease and provides a previously inaccessible potential therapeutic 88 window for AAK, to facilitate detailed mechanistic and therapeutic investigations of 89 relevance for human congenital aniridia.

90 **Results**

91 *Pax6* ^{+/-} haploinsufficient 129S1/SvImJ heterozygous (Het) mice exhibit human-like 92 delayed onset AAK phenotypes.

The Het phenotype was visually discernible in adult mice upon observation, with smaller eye
openings and more closed eyelids (Figure 1A). Relative to wild-type (Wt) mice on the same
background, hematoxylin and eosin (H&E) staining revealed defects in Het mice such as
keratolenticular adhesion, shallow anterior chamber, thickened cornea at the site of
attachment, and iridocorneal angle abnormalities (Figure 1B). Examining the level of PAX6
protein, Western blot (Figure 1C) showed a PAX6 protein level of 42% in Het mice relative
to Wt (P < 0.001).

100 To assess AAK development, 26 Het mice and 24 Wt mice were characterized longitudinally, 101 with monthly examination up to five months using slit lamp biomicroscopy. In Wt mice, a 102 fully transparent cornea with full iris remained unchanged up to 5 months (Figure 1D, Figure 103 1E, top row). In Het mice, the onset of AAK was variable and progressive, as assessed by the 104 degree of central corneal opacity (loss of transparency). Central corneal opacity progressively 105 increased with age in Het mice while Wt corneas remained transparent (Figure 1D). In eyes 106 exhibiting AAK (defined by the degree of blood vessel invasion into the cornea), two general 107 phenotypes were apparent. In the 'early AAK' phenotype, invasion of blood vessels into the 108 cornea occurred first at 1-2 months of age, gradually progressing from the periphery to the 109 center of the cornea at 5 months of age (Figure 1E, middle row). In the second 'late AAK' 110 phenotype, vessel invasion was apparent first at 4-5 months of age (Figure 1E, bottom row).

111 Abnormalities in the cornea, lens, and iris in Het mice

112 Table 1 provides a summary of the various abnormalities observed in the eyes of up to 60 Het

113 mice by in vivo slit lamp and in vivo confocal microscopy (IVCM) examinations in mice of

114 different ages. There was no significant sex differences noted in any of the parameters at the

115 different ages (Supplementary Table S1). The diverse frequencies of abnormal findings in 116 Table 1 reflect the varied phenotypes induced by AAK. However, keratolenticular (cornea-117 lens) adhesion was the most consistent feature observed in 100% of eyes in Het mice by 118 IVCM examination, a feature observed even at a late prenatal stage (Supplementary Figure 119 S4). The adhesion appeared in vivo as a dark ring structure in the posterior stroma (Figure 120 2A). In 15-20 % of eyes of Het mice, dark vacuole structures were visible in the posterior 121 stroma near the keratolenticular adhesion (Figure 2B). Occasionally, this adhesion was 122 minimal and challenging to detect with a slit lamp. However, further IVCM investigation 123 confirmed the presence of adhesion (Supplementary Figure S1). Adhesions could also be 124 confirmed by optical coherence tomography (OCT) examination, scanning the cornea in all 125 directions. Infiltration of inflammatory cells into the corneal stroma was observed in up to 126 33% of eyes of Het mice at various ages (Figure 2C). The second most common feature 127 observed in the cornea was neuromas, observed by IVCM imaging as a group of prominent 128 nerve endings present at the termination point of a stromal nerve trunk (Figure 2D).

Iris coloboma was present in about half of corneas from Het mice at all ages, with variable degree and patterns of coloboma apparent (Supplementary Figure S2). The persistence of lens remnants within the cornea is depicted in histology and in vivo by OCT in the same eyes in Figure 2E. Separation of the lens vesicle from the surface ectoderm during fetal development was incomplete in Het mice, resulting in keratolenticular adhesions of various degrees.

134 Het mice exhibit thinner corneas and a compromised epithelial barrier

OCT images revealed a fully developed anterior chamber with clear and consistent
iridocorneal angle in Wt mice, but markedly shallower anterior chamber depth in the eyes of
Het mice, which was about half the depth of Wt (P < 0.0001, Figure 3A,B). Central corneal
thickness was measured from OCT images of 235 eyes, with corneas of Het mice being

139 28.7% thinner than Wt mice at 1 month of age (P < 0.0001), retaining this reduction up to 5
140 months (Figure 3C).

141 Histological investigation of the cornea revealed loss of columnar structure of basal epithelial 142 cells, resulting in flattening of basal epithelial cells and loss of the distinctive contrast pattern 143 of nuclear staining in corneas of Het mice relative to Wt mice (Figure 3D). The corneal 144 epithelium in Het mice also appeared markedly thinner than the Wt corneal epithelium 145 (Figure 3D). As basal cells build the epithelial basement membrane by producing and 146 secreting components such as collagen IV (COL4), this was also examined. A distinct loss of 147 collagen IV expression in the cornea of Het mice was noted and an epithelial basement 148 membrane was not discernible. Additionally, loss of collagen IV expression within the 149 cytoplasm of basal epithelial cells was noted (Figure 3E). A dot-like expression pattern of 150 collagen IV was apparent in the corneal stroma of Wt mice, representing basement membrane 151 surrounding corneal nerves (13), which was also visible in corneas of Het mice to some extent 152 (Figure 3E). Staining of the live cornea with fluorescein dye and imaging under blue light 153 confirmed impairment of the epithelial barrier function, with stromal uptake of the dye visible 154 in corneas of Het mice (Figure 3F).

155 Quantitative analysis of H&E stained sections confirmed that relative to the Wt mice, the

156 corneal epithelium in corneas of Het mice was half the thickness (P < 0.0001, Figure 3G).

157 Additionally, the mean number of stratified cell layers in the epithelium was reduced from 5

in Wt to 3 in Het mice (P < 0.0001, Figure 3H). Basal epithelial cell layer thickness was

159 likewise reduced in Het mice (P < 0.0001, Figure 3I). Basal epithelial cell density in Het mice

160 was also reduced, with a significant reduction in the number of basal cells per 100 µm of

161 linear distance (P < 0.0001, Figure 3J). The nuclear area to basal layer area was significantly

162 increased in Het mice, with nuclei comprising most of the cellular volume (Figure 3K).

163 Het mice exhibit delayed onset and variable progression of AAK

164 Progression and severity of AAK were assessed by slit lamp biomicroscopy to visualize the 165 limbal region in all mice, applying the clinical grading scale for AAK (Figure 4A). In the eyes 166 of Wt mice, a transparent cornea with intact limbal border was present, and whole mount 167 staining with CD31 confirmed vessels were confined to the limbal border, representing Grade 168 0 AAK. In Het mice at one month of age, the majority (98%) had Grade 1 or 2 AAK with a 169 transparent central cornea but with vessels just breaching the limbal border and entering the 170 peripheral cornea. As Het mice age, AAK progresses with vessels entering the central cornea 171 (Grade 3) and eventually resulting in a thick, white vascularized tissue covering the entire 172 cornea (Grade 4). Relative proportions of Het mice with different AAK Grades at different 173 ages are given in Figure 4B. Notably, a large proportion of Het mice remain in early-stage 174 Grade 1-2 AAK as they age.

175 Progressive breakdown of the corneal cellular microenvironment in AAK

176 To investigate the impact of AAK development on the cornea at the microscopic level, 177 longitudinal in vivo imaging of mouse corneas was performed using IVCM. Epithelium 178 (superficial, wing and basal layers), stroma, and endothelium were imaged (Figure 5, A-F). 179 The large-area flat, polygonal superficial epithelial cells with bright nuclei in Wt mice (Figure 180 5A) were replaced by smaller, oval-shaped cells with dark nuclei in Het mice, that became 181 progressively smaller and less distinct as AAK progressed (Figure 5, G, L and Q). Wing cells 182 that normally have a distinct mosaic pattern with clear cell borders and dark cytoplasm and no 183 visible nuclei (Figure 5B), were transformed in Grade 1 AAK to cells with bright nuclei 184 having lost the mosaic pattern and distinct cell borders (Figure 5H), which in more advanced 185 AAK Grades completely lost all cellular structure and exhibited vacuoles (Figure 5M) and 186 fibrous material (Figure 5R). The basal cell layer of Wt mice with densely packed cells with 187 bright cell borders and dark cytoplasm (Figure 5C) with visible nerves of the subbasal 188 epithelial nerve plexus (Figure 5D) was transformed in Grade 1 AAK of Het mice to a cell

189 layer with bright nuclei and indistinct cell borders, with disrupted subbasal nerve fiber orientation (Figure 5I) that in later stages lost all cellular structure (Figure 5, N and S). Bright 190 191 (hyper-reflective) nuclei of stromal keratocytes and thick stromal nerve fiber trunks (Figure 192 5E) in Wt mice were no longer visible in corneas of Het mice, instead being replaced by 193 fibro-vascular tissue with abnormal microneuromas (Figure 5, J, O and T). A consistent 194 monolayer of endothelial cells was visible in the normal corneal endothelium (Figure 5F) and 195 in the endothelium of Het mice, but this posterior layer became more difficult to discern with 196 increasing AAK Grade (Figure 5, K, P and U). Taken together, the results indicate a 197 progressive breakdown of the cellular and neural structure of the corneal epithelium and 198 stroma in Het mice that is discernible at the earliest stage in Grade 1 AAK when the central 199 cornea is still transparent.

200 Het mice exhibit selective and persistent postnatal corneal lymphangiogenesis

201 To characterize corneal neovascularization with AAK development as an indicator of 202 progressive limbal stem cell deficiency, whole mounted corneal tissues were immunostained 203 for blood (CD31) and lymphatic (LYVE1) vessels. Surprisingly in Het mice, an early and 204 selective ingrowth of corneal lymph vessels was observed at 1 month of age, extending into 205 the central cornea even without the presence of blood vessels (Figure 6A). These lymphatics 206 did not regress but persisted in the cornea to at least 5 months of age. CD31 staining revealed 207 that corneal haemangiogenesis was less prominent and delayed relative to corneal 208 lymphangiogenesis. In Wt mice, however, the cornea maintained corneal angiogenic 209 privilege, devoid of both blood and lymphatic vessels (Figure 6A, first column). 210 Blood and lymph vessel frequency was tracked over time in mice (Figure 6B) revealing that 211 lymph vessels were highly prevalent in the corneas of Het mice regardless of age, and 212 appeared to be independent of blood vessel presence, indicating that lymph vessels are more 213 dominant than blood vessels in Het mouse corneas and persist over time.

In the IVCM image depicted in Figure 6C, lymphatic vessels were observed coexisting with 214 215 blood vessels at the same corneal depth. These lymphatic vessels appear morphologically 216 distinct from adjacent blood vessels and are characterized by a larger and irregular diameter 217 compared to blood vessels. In addition, lymph vessels have no discernible vessel walls, a dark lumen which is consistent with the transparency of the lymph fluid, and a few visible 218 219 reflective cells (presumed leukocytes) compared with blood vessels that exhibit a smaller 220 vessel diameter, thicker linear vessel walls, and a high number of small reflecting cells 221 (erythrocytes) (14).

Histological sections from the neovascularized area of a 2-month-old Het mouse revealed
LYVE1 positive vessels in the anterior corneal stroma, with additional F4/80 staining of
macrophages throughout the stroma. By contrast, Wt mouse corneas were devoid of both
LYVE1 and F4/80 positive cells (Figure 6D).

226 Disrupted corneal nerve organization in Het mice

β-III Tubulin whole mount immunostaining of corneal nerves (Figure 7) revealed that in Wt 227 228 mice, stromal nerves were mainly confined to the peripheral cornea with very few stromal 229 nerves in the central cornea. In contrast, in corneas of Het mice, stromal nerves extended into 230 the central cornea (Figure 7, top row). Epithelial nerve bundles derived from the peripheral 231 stromal nerve branches form a dense nerve layer called the corneal subbasal nerve plexus 232 (SBNP). In corneas of Wt mice, nerve fibers in the SBNP were densely distributed and 233 organized centripetally, converging to form a vortex at the corneal apex. In Het mice, this 234 architecture was disrupted, with an uneven and sporadic distribution of nerves in the SBNP 235 which did not exhibit any clear spatial pattern. Moreover, in the central cornea, anterior 236 stromal nerve trunks invaded the SBNP layer in the corneas of Het mice, indicating loss of 237 stromal-epithelial compartmentalization of nerves (Figure 7, bottom row).

238 Limbal stem cell markers persist in the basal epithelium and remnants of stem-like lens

239 epithelium persist in the stroma of Het mice

240 As the emergence of AAK coincides with a progressive limbal stem cell deficiency in human 241 aniridia (15), the location and distribution of limbal stem cells and differentiated epithelial 242 cells were investigated along with PAX6 expression in Het corneas in Grades 1 and 2 AAK 243 (Figure 8). In Wt mice, PAX6 was strongly expressed in all epithelial layers while in Het 244 mice, PAX6 expression was confined mainly within the flattened basal layer of the thin 245 epithelium. PAX6 expression continued to the limbal basal epithelium, where it was 246 expressed equally and uniformly in the basal cells of the limbus in Wt and Het mice. The 247 basal epithelium strongly expressed limbal stem cell marker $\Delta Np63$ and the putative limbal 248 stem cell marker GPHA2 in the central and limbal cornea of both Wt and Het mice. The 249 superficial epithelial layer of the central cornea strongly expressed the KRT12 differentiation 250 marker in Wt and Het mice (and notably did not strongly express PAX6 or stem cell markers). Superficial epithelium, however, lacked KRT12 expression in the area of keratolenticular 251 252 attachment in Het mice and in the limbus of both Wt and Het mice. The expression of PAX6, 253 ΔNp63, and KRT12 showed a similar pattern in Grade 3 and 4 AAK; however, GPHA2 in 254 these more advanced AAK stages shifted to the superficial epithelium (Supplementary Figure 255 S3). The proportion of Ki-67 positive cells was elevated in the basal layer of corneal epithelia 256 in Het mice compared to normal Wt tissue and became more prominent as AAK developed to Grades 3 and 4. In addition, many MUC5AC-positive cells were detected in the epithelia of 257 258 Het mice with later-stage AAK exhibiting a uniform MUC5AC expression throughout the 259 epithelium, whereas MUC5AC expression was absent in the epithelia of Wt mice 260 (supplementary Figure S5). 261 Interestingly, within the central cornea of Het mice where the keratolenticular attachment is

262 present, clusters of cells were embedded within the corneal stroma anterior to the lens

- 263 capsule. These clusters exhibited a morphology distinct from the typical flattened nuclei of
- 264 corneal keratocytes. The positivity for stem cell markers (ΔNp63 and GPHA2) and the
- absence of KRT12 expression within these stromal clusters imply the presence of a stem-like
- 266 cell population originating from the keratolenticular adhesion.

267 **Discussion**

268 AAK has been identified in almost 80% of congenital aniridia patients (2), while the 269 prevalence of a minimal keratopathy at the microscopic level has been reported to be 100% in 270 aniridia (7). AAK in human aniridia leads to significant visual morbidity and importantly, 271 there are no approved pharmacological treatments targeting its pathogenesis. An animal 272 model that closely mimics the qualities of human AAK would facilitate deeper investigations 273 of pathophysiological mechanisms and enable evaluation of potential therapies, that have 274 been previously tested in mouse models exhibiting an advanced AAK (with malformed eyes) 275 at birth (6,10,11) which makes results difficult to translate to the human disease. Here, 276 advanced in vivo imaging modalities (IVCM and OCT) alongside histopathological 277 examination were used to extensively document the dynamic structural alterations in the cornea at up to five time intervals in the $Pax6^{+/-}$ small eye (Sey) mouse model on the 278 279 129S1/SvImJ background, a model that uniquely mimics the slow-onset and progression of 280 AAK that is not seen in other Sey mouse models. In humans the natural course of AAK 281 usually begins after the first decade of life and progresses with age (7,12,15,16), a timeline 282 similar to Het mice in the present study, which importantly provides a window of up to 283 several months in mice where the central cornea remains transparent prior to AAK 284 progression. Other advantages of the present model are the time of AAK emergence and rate 285 of AAK progression. These, along with the bilateral asymmetry of AAK and even the 286 variation in phenotype across individuals, all align with various clinical observations of AAK 287 in humans (7,16). An association of AAK severity with age was found in the characterized 288 Het mice, notably with no eye having grade 0 upon careful slit lamp examination. By 1 month 289 of age, blood vessels were detected centrally or para-centrally in only 1.9 % of eyes of Het 290 mice, increasing to 26.6% of eyes by the age of 4 months. Grade 1 and 2 AAK were more 291 prominent in younger mice, while older mice mainly exhibited Grade 3 and 4 (Figure 4). This

292 corresponds to the human AAK development, where subjects under 20 years of age are 293 mostly reported with Grade 1 AAK while subjects older than 20 years usually progress to 294 Grades 2-4 (16). Our findings also demonstrated a direct correlation between the extent of 295 corneal opacification and the level of peripheral vascularization as the Het mice age, 296 consistent with observations made in humans. Although the abnormality of the epithelium in 297 aniridia has previously been documented, here we quantify several aspects of the 298 compromised epithelium in the present mouse model, that are further discussed below. At the 299 histological level, depletion of collagen IV, a prominent constituent of basement membranes, 300 was notable in Het mice. A similar reduction in collagen IV has been previously reported in 301 an aniridia patient (17), and loss of basement membrane has been noted histopathologically in 302 humans (18). The deficiency in collagen IV is expected to lead to absent or aberrant basement 303 membrane structure, disorganization of the basal layer, and a diminishment of cellular 304 demarcations, leading to fragility of the epithelium. This finding was corroborated by the 305 present in vivo findings using IVCM, where the cell borders and cellular mosaic structure 306 diminished in conjunction with advancing AAK grades. As the basement membrane provides 307 mechanical support, divides tissue into different compartments, and influences cell 308 proliferation, differentiation, and migration, the compromised basal epithelium and basement 309 membrane in Het mice, together with deficiency in the underlying Collagen IV matrix 310 structure, may result in a decline in epithelial-to-stromal barrier function. This observation 311 was confirmed by the infiltration of fluorescein dye, consistent with findings from Ou et al.'s investigation on a $Pax6^{+/-}$ mouse model (19). We note here also that this function may be 312 313 closely tied to the sparse, degenerate phenotype of the basal epithelial cells that no longer 314 appear to produce collagen IV. Moreover, presumably due to this loss of epithelial-to-stromal 315 barrier, stromal nerves migrated beyond the stromal compartment to invade the central 316 subepithelial space, replacing the normal dense spiraling network of subbasal nerves in the

317 central cornea with less dense and disorganized stromal nerve trunks. Moreover, abnormal 318 hyperproliferative stromal nerve endings called microneuromas, not detected in the corneas of 319 Wt mice, were observed in close proximity to the epithelium in Het mice, further suggesting a 320 disruption of the nerve-epithelium homeostasis. Loss of the subbasal nerve spiral pattern, 321 projection of stroma nerves into the epithelium and presence of dense 'knotting' of nerves 322 (microneuromas) were all noted previously by Leiper et al. in a Sey-Neu aniridia mouse 323 model (20), suggesting a compromised neurotrophic status as also observed in the present 324 model. This closely resembles the declining subbasal nerve density with age reported in 325 human studies (15,21). We note here that the neurotrophic deficit is already present in Grade 326 1 AAK, comparable to the early stages of AAK in patients (22). Moreover, the related early 327 and progressive changes in the epithelial wing and basal cell layers noted here by IVCM with 328 increasing AAK severity have also been reported in patients with aniridia (17).

Taken together, the compromised epithelial function and structure, along with disturbed nerve organization and infiltration of inflammatory cells observed in this model, are aligned with the hypothesis that perturbations in ocular surface homeostasis disrupts the vital interaction between nerves and epithelial cells. This results in disruption of the normal corneal renewal process, leading to an inflammatory cascade and compromised wound healing, tipping the balance towards neovascularization by shifting expression of antiangiogenic factors to proinflammatory and regenerative factors (1), also seen in human cases of aniridia (4,23).

Among inflammatory cells infiltrating the cornea, macrophages are potent triggers of
lymphangiogenesis due to the secretion of vascular endothelial growth factors C and D and
their physical incorporation within forming lymphatics (24). In the present model,

immunostaining revealed corneal lymph vessels accompanied by stromal infiltration of

 $F4/80^+$ macrophages. Intriguingly, we also report the currently undocumented, selective and

early invasion of LYVE1⁺ lymphatics into the central cornea without the presence of CD31⁺

342 blood vessels, that persisted over time in Het mice, without natural regression of these vessels. This finding is notable given that the normal mouse cornea is endowed with a 343 344 significant number of lymphatic vessels during development, that subsequently undergo 345 spontaneous regression to the limbal area after eye opening (25). The persistence of these 346 vessels in Het mice provides further evidence for the hypothesis of a postulated frozen ocular 347 developmental state in aniridia, which also encompasses incomplete normalization of corneal 348 thickness, dendritic cell density, endothelial cell density and corneal innervation (21). This 349 suggests that inadequate levels of PAX6 could lead to the failure of spontaneous lymphatic 350 regression and thus failure of the associated postnatal corneal immune privilege. Interestingly, 351 this failure of lymphatic regression may be related to the persistence of proangiogenic 352 macrophages (24) that were observed here within the stroma of Het mice. These 353 macrophages, chronically present, may also over time contribute to blood vessel invasion of 354 the cornea and thus progression of AAK; however, given the persistent inflammation, neurotrophic deficit and compromised epithelium, multiple factors likely contribute to the 355 356 progression of AAK.

357 AAK is often considered to be a progressive form of limbal stem cell deficiency (LSCD). 358 Immunostaining in the present model showed qualitatively that fewer epithelial cells 359 expressed LSC markers in Het mice than in wildtypes. Thus, it is plausible that a reduced 360 number of LSCs are developmentally determined in Het mice; yet, interestingly, the results 361 also show that these cells have the ability to survive for extended periods and continue to 362 express stem cell markers. However, these putative LSCs might be less efficient than 363 wildtype LSCs in terms of proliferation and producing active migrating progeny. In vivo 364 studies have also provided evidence that limbal epithelial stem cells are likely preserved in 365 human AAK (18). Moreover, visible palisades of Vogt structures in the early stages of AAK

have been reported, which along with preserved corneal epithelial phenotype in early stages,
suggests a degree of preserved LSC niche function (15,16,22).

Surprisingly, immunostaining results from Het mice with later-stage Grade 4 AAK also revealed the persistence of limbal stem cell markers in the epithelium. Δ Np63, a well-known marker for LSCs, was detected in the basal layer and supra basal epithelial layer in Wt mice while in Het mice, Δ Np63 expression was confined to a single layer of flattened basal cells. In Grade 4 AAK, further loss of Δ Np63 expression was apparent. Schlotzer-Schrehardt et al. proposed that these cells may represent hyperproliferative transient amplifying progenitors, which ensure a continuous provision and swift turnover of epithelial cells that in aniridia are

inadequately and aberrantly differentiated (18).

376 GPHA2 has been introduced as a new marker for quiescent stem cells (qSCs) which are 377 progenitor and slow-cycling cells surrounded by their progeny of abundant and fast-cycling 378 cells (26,27). It has also been shown that GPHA2 expression depends on niche-specific 379 signals whose function appears to be essential for LSC self-renewal and differentiation (28). 380 In Wt mice, GPHA2 was expressed in the basal epithelial layer, while in Het mice expression 381 shifted towards superficial layers of the epithelium, which was even more prominent in Grade 382 4 AAK, where expression in the basal epithelial layer was notably absent. Thus, GPHA2 383 expression in the basal epithelium appears to mirror the progressive limbal insufficiency in 384 AAK and could be an important marker for further studies.

The increased expression of Ki-67 in the corneal epithelium in Het mice suggests heightened proliferation of progenitor cells within the basal layer, contributing to an accelerated turnover of epithelial cells that are poorly differentiated, as noted above from the pattern of Δ Np63 expression. This leads to the development of conjunctival and epidermal phenotypes evidenced by MUC5AC staining of epithelial cells found in Het mice that increased as AAK

390 progressed. Similar MUC5AC expression was found in the context of human AAK (18). 391 Previous human studies of AAK have also demonstrated a clear transformation of corneal to 392 conjunctival epithelium along with goblet cell invasion into the cornea (17,29). This suggests 393 that the epithelium in the Het mice is abnormally proliferative and transforms into 394 conjunctival epithelium that recapitulates the phenotype and progression of human AAK. 395 Of additional note, the pattern of limbal stem cell marker expression in Het mice 396 corresponded with our in vivo findings of progressive corneal opacification and 397 vascularization associated with LSCD. As observed in slit lamp images in younger mice with 398 Grades 1-2 AAK, corneal transparency is maintained implying at least partial function of the 399 limbal niche and LSCs. As AAK progressed, LSC function was compromised, resulting in 400 limbal barrier breakdown and invasion of blood vessels into the central cornea. Additionally, 401 our IVCM results showed the increasing severity of LSCD correlated with a loss of normal 402 corneal epithelial cell morphology and a decline in central subbasal nerves, in line with 403 human studies (15,30).

404 Whether the limbal stem cell function is compromised by the presence of other pathology 405 such as inflammation, neurotrophic deficit and the presence of pro-angiogenic factors is 406 unknown; however, marker expression in Het mice suggests a possibility for LSC survival, 407 potentially even in late-stage AAK. The potential for maintaining or possibly even reversing 408 the stem cell-related changes in AAK requires further exploration, and the Het mice 409 characterized here provide an excellent model for future investigations of limbal stem cell 410 biology in the context of PAX6 transcriptional regulation. Importantly, current therapeutic 411 interventions for aniridia patients aimed at restoring the niche environment to mitigate the 412 deterioration of limbal epithelial stem cell (LESC) function are solely surgical and these have 413 proven to be largely unsuccessful (3,4).

Interestingly, in the region of corneas in Het mice in apposition with the kerato-lenticular
attachment, clusters of cells were observed embedded within the corneal stroma. These cells
exhibited morphological features distinct from corneal keratocytes and expressed PAX6,
ΔNp63 and GPHA2, but not KRT12, and are presumed lens epithelial stem-like cells, which
may originate from the developing prenatal lens.

419 In summary, the present Het mouse model is highly analogous to human aniridia and is the 420 only model presented to date with slow-onset AAK as observed in humans, with the cornea 421 remaining transparent for periods of up to several months. This mirrors the human AAK time 422 frame of progression according to the corresponding life cycle of mice. Phenotypic and 423 histological analyses confirmed that the complications observed in human AAK such as a 424 shallow anterior chamber, epithelial fragility, absence of Collagen IV in the epithelial 425 basement membrane, and retained hyperproliferative progenitor cells with subsequent 426 maldifferentiation of epithelial cells, are accurately recapitulated in this mouse model. In 427 addition, we note several findings in this model suitable for deeper investigation, such as 428 altered basal epithelial structure and function, persistent and selective lymphangiogenesis, 429 disrupted corneal nerve organization with microneuromas and epithelial cells with partially 430 retained stem cell markers even in late-stage AAK. There remain, however, important 431 discrepancies between our model and human AAK, namely thinner corneas in mice and 432 consistent presence of a keratolenticular adhesion. The thin cornea is common across all 433 mouse models of PAX6 deficiency (6,10,11,31). This differs notably from the thicker corneal 434 stroma in human AAK, which has been postulated to be a consequence of incomplete prenatal 435 stromal development (21). The reason for this discrepancy between mice and humans is 436 unclear and requires further investigation. The keratolenticular adhesion, present in all Het 437 mice, results from incomplete separation of the cornea and the lens during prenatal 438 development, and has been observed in other small-eye mouse models (9,10,31,32). The

439	adhesion induces a localized loss of stromal transparency at the place of attachment evident
440	even in young mice where AAK is not yet apparent and where the rest of cornea is
441	transparent. The adhesion did not appear to affect the timing or progression of vascular
442	pannus ingrowth into the cornea or the grade of keratopathy, which was notably milder than
443	in other Small-eye mouse models. The small size of the adhesion, however, permitted
444	examination of all aspects of the cornea and did not inhibit its characterization.
445	In summary, the present mouse model provides the potential to elucidate diverse
446	pathophysiologic mechanisms in the most prevalent PAX6 mutation type observed in
447	congenital human aniridia. Phenotypic features in the Het mouse cornea can provide objective
448	endpoints for future translational studies while importantly, the observed slow onset of AAK
449	provides a relevant therapeutic window for future evaluation of targeted interventions.

450 Methods

451 Sex as a biological variable

452 Both male and female mice were examined, and phenotypic findings are reported for both

453 sexes combined and separately (Table 1 and Supplementary Table S1).

454 Animal models

455 In this study we characterized mice of the 129S1/SvlmJ background. Specifically, the

456 heterozygous 129S1.Cg-Pax6Sey/Mmmh strain (MMRRC stock number 050624-MU) with a

457 heterozygous *Pax6* mutation (11) was bred and genotyped at the animal facility of Linköpings

458 University to obtain homozygous non-mutant ($Pax6^{+/+}$, Wt) or heterozygous Pax6 Sey mutant

459 ($Pax6^{+/-}$, Het) mice. Specifically, this mouse strain bears a spontaneous point mutation in the

460 *PAX6* gene at exon 8 (nucleotide 27726 on ENSMUSG00000027168, PAX6 mRNA

461 nucleotide 903: G to T substitution) generating a premature stop codon. For colony breeding

462 purposes, $Pax6^{+/+}$ females were bred with $Pax6^{+/-}$ males. Mice were housed at 23°C and 40-

463 60% humidity in a 12h/12h dark/light cycle. In total, 88 mice were characterized at various

464 age stages, comprised of 26 Het females, 16 Wt females, 24 Het males and 22 Wt males.

465 Experiments were in accordance with guidelines of the regional ethics committee for animal

466 experiments at Linköping University, Sweden and in line with the Association for Research in

467 Vision and Ophthalmology (ARVO) guidelines for the use of animals in ophthalmic and

468 vision research.

469 Mouse genotyping and primer design

470 To identify the mutational status of the mouse colony offspring and assign them to study

471 groups, PCR was performed on genomic DNA from digested ear clip tissue taken at weaning.

472 In order to identify the single point mutation, two pairs of primers were designed and used per

473 sample: They included a common forward primer for both pairs upstream of the mutation site,

474 and two reverse primers with an intentional penultimate base mismatch (same in both RV

475 primers, T instead of C), and an ultimate base that matched either the Wt or the Sey allele (T 476 or A, respectively). This, in the case of Wt mice, resulted in a functional Wt-RV primer with 477 one mismatch, and a non-functional Sey-RV primer with a 2-base mismatch at the 3' end, 478 giving a product only in the FW/Wt Sey primer pair. Conversely, in case of heterozygous Sey 479 mice, the FW/Wt-RV pair gave a product from the normal allele and the FW/Sey-RV pair 480 also gave a product from the mutated allele. Specifically, the primers used were: Common 481 FW: CTGTGCCGAGTCCCATTAGG, Wt RV: CTGAGCTTCATCCGAGTCTTCTTC, Sey 482 RV: CTGAGCTTCATCCGAGTCTTCTTA. DNA was extracted with 200uL 50mM NaOH 483 digestion at 90°C followed by pH neutralization by 35µL Tris-HCl (pH=8). DNA was 484 measured and 150ng of total DNA was loaded in each reaction, along with a final C of 0.5µM 485 of each primer in a reaction volume of 25µL. 12.5µL of 2x DreamTaq PCR master mix (Life 486 Technologies, ThermoFischer scientific, product # K1072) was used in each reaction that ran

487 for 35 cycles of 95°C, 60°C, and 72°C.

488 Animal anesthesia and recovery

489 During the characterization experiments, animals were anesthetized with intra-peritoneal 490 ketamine-xylazine injection (65mg/kg ketamine, 10mg/kg xylazine in a PBS dilution for 491 10µL per g of weight injection) and topical anesthesia of tetracaine-HCl 0.5% drops was also 492 applied. At the end of the characterization, if the timepoint was not terminal, mice were 493 administered 1.8mg/Kg in PBS atipamezole subcutaneously in the back and were allowed to 494 wake up in a cage with paper bedding, warmed by warm pads. For analgesia, 0.12mg/Kg 495 buprenorphine was included in the antisedan solution (injectable solution concentration: 496 0.225mg/mL atipamezole, 0.015mg/mL buprenorphine).

497 Characterization of mice

498 Characterization was performed on both eyes per mouse at 5 time points with 1-month499 intervals starting at the age of 1 month to 5 months of age using slit-lamp photography, IVCM

500 imaging of corneal layers and Optical Coherence Tomography. At every timepoint, after the 501 characterization of all eyes, 2 Het mice and 2 Wt mice were taken out of their groups and 502 sacrificed for tissue extraction, while all other animals were kept in their housing until 503 reaching the next age timepoint. Obtained tissues were dissected, processed or preserved 504 according to the specific assay for which they were individually allocated. The specific assays 505 and the corresponding tissue handling after extraction are described below in their respective 506 sections. A clinical grading scale for AAK was applied to all Het mice, as previously reported 507 (7). Briefly, Grade 0 AAK is a complete and undamaged limbal border indistinguishable from 508 a healthy corneal limbus, Grade 1 AAK is where blood vessels cross the limbus while 509 remaining within 1 mm of the limbal area, Grade 2 AAK is where blood vessels invade the 510 peripheral and paracentral cornea, but preserving the central 2 mm of the cornea, Grade 3 511 AAK is characterized by vascularization affecting the central cornea and Grade 4 is an 512 advanced end-stage AAK with vascularization of the entire cornea and transformation of the 513 anterior cornea into an opaque, thick, white, vascularized irregular structure.

514 Slit-lamp photography

515 Mouse eyes were examined using a rodent slit-lamp camera (Micron III, Phoenix

516 Technologies, USA) for visualization and scoring of corneal haze and opacity. After initial

517 slit-lamp photography, 5mg/mL Tropicamide drops were applied for 4min to dilate the iris

518 and the eyes were re-photographed after rinsing of the tropicamide drops with PBS eye drops.

519 Corneal haze grading was assessed by slit lamp examination at each time point using a

520 previously reported grading scale (33).

521 In Vivo Confocal Microscopy (IVCM) imaging of corneal layers

522 In vivo confocal microscopy (Heidelberg Retinal Tomograph 3 with Rostock Corneal

523 Module, HRT3-RCM, Heidelberg Engineering, Germany) was used to image the corneal

524 layers to detect possible lesions, abnormal features and inflammatory cell infiltrates while

- 525 also visualizing the corneal nerve plexus. After application of viscotears gel (2mg/g
- 526 polyacrylic acid), the laser head was brought in close contact with the cornea epithelium and
- 527 images were obtained and recorded throughout the corneal layers.

528 **Optical Coherence Tomography**

529 The Optovue iVue-100 OCT system with a corneal adaptor module was used on both eyes per 530 mouse using the iVue system in the corneal pachymetry mode. The Fourier-domain OCT 531 device operates at a scanning speed of 26000 A-scans per second with a frame rate of 256 to 532 4096 A-scans per frame. The axial and transverse resolutions of the iVue-100 OCT device are 533 5 μm and 15 μm respectively (manual).

534 Cornea tissue sample processing

535 Upon sacrifice, eye bulbs were extracted with forceps. For histology, the whole eye was

536 rinsed in PBS and immersed in 4% PFA in PBS at 4°C 2 hours, rinsed thoroughly with PBS

and kept in ethanol 70%. After being embedded in paraffine blocks, they were sectioned at 5

538 µm thickness, mounted to poly-L lysine coated microscopy slides, dried overnight at RT and

539 further processed for histological-immunohistological-IF staining. In all other cases, the

540 cornea was separated immediately and washed with ice-cold PBS. For protein analysis, the

541 cornea was immersed in 80µL RIPA buffer (Thermo-Scientific 89901) supplemented with

542 protease and phosphatase inhibitors with 5mM EDTA (Thermo-Scientific Halt[™] 78442),

543 then was snap frozen and stored at -80°C. For whole mount staining the corneas after being

544 immersed in 1.3% PFA at 4°C 1 hour, were kept in PBS for staining.

545 Immunostaining and confocal fluorescence microscopy

546 Sections from Het mice corneas including the attachment were excluded from quantitative 547 analyses to minimize the probable effect of attachment on the corneal phenotype outside the 548 attachment zone. A standard protocol for section rehydration, antigen retrieval, blocking and 549 antibody staining was used. Briefly, antigen retrieval was performed in the DAKO PT LINK 550 200 machine, nonspecific epitope blocking was performed by 1% BSA (Sigma-Aldrich via 551 Merck #A7906), 5% goat serum (Cell Signaling via BioNordika #CST-5425S), 0.1% Tween-552 20 in PBS, primary ab was incubated overnight at 4°C, secondary antibodies were applied for 2h at RT and SlowFade[™] Diamond Antifade mountant with DAPI (Fischer scientific # 553 554 15451244) were used to mount immunostained slides or Eukitt quick-hardening mounting 555 medium (Sigma-Aldrich via Merck #03989) for IHC-stained slides. We specifically examined 556 Δ Np63 and GPHA2 as indicators for limbal stem cells (LSC), in addition to KRT12 as a 557 marker for differentiated epithelial cells (negative marker for stem cells). For completeness, 558 images were taken of the central cornea including and excluding regions of keratolenticular 559 adhesions in Het mice. For whole-mounts, corneas were cut into 4 leaflets and then 560 proceeded to immunofluorescence protocol. Briefly, after nonspecific epitopes being blocked 561 for 1 hour, the corneas were incubated in primary antibody overnight. Fluorophore-562 conjugated secondary antibodies were applied for 2 nights. A Zeiss LSM 800 microscope 563 (Carl Zeiss AG, Oberkochen, Germany) was used for imaging. Antibodies used are listed in 564 the table provided in the supplementary Table S2.

566

567 Western blot

568 For protein extraction, samples were homogenized using the TissueLyser LT bead mill 569 system (Qiagen) at 50Hz shaking for 5min, followed by sonication in ice-cold water for 570 10min and a 20min centrifugation step. Protein concentration was measured using the 571 Pierce[™] BCA Protein Assay kit (Thermo-Scientific) following the instructions for a 10µL 572 sample volume. BIS-polyacrylamide gel electrophoresis was performed using 4-15% gradient 573 pre-cast gel (Bio-Rad #4561084 Mini-PROTEAN® TGXTM). 15µg total protein per sample 574 were loaded, mixed with 4x Laemmli buffer (Bio-Rad #1610747), 0.5μL β-mercaptethanol 575 and ddH2O. Proteins were transferred in a PVDF membrane using Trans-Blot Turbo Mini 0.2 576 µm PVDF Transfer Packs (Bio-Rad #1704156) and standard membrane immunostaining 577 procedures were followed, detailed in the supplementary methods. Bands were visualized in an ImageQuant[™] LAS 500 Chemiluminescent Imaging System (GE Healthcare), after being 578 579 covered by 1mL of Pierce[™] ECL Western Blotting Substrate (Thermo Fisher #32106). Band 580 densitometry on the photographed membranes was performed using the Image Lab v.6.1

581 software (Bio-Rad Laboratories).

582 Quantitative measurements and statistics

583 ImageJ software (version 1.54, FIJI distribution) was utilized for all morphometric

584 measurements of various aspects of microscopy images (34). Measurements in OCT images

- 585 were performed within the OCT built-in software (v 3.2.1.8). Prism v.8.3.0 (GraphPad
- 586 Software) was used for the statistical evaluation in group comparisons and to produce charts.
- 587 Multiple samples were compared using one-way ANOVA followed by post-hoc Tukey
- 588 multiple comparison tests. Results were reported as mean \pm standard error of mean (SEM). p-
- value of 0.05 or less was considered significant. For statistical comparisons for the frequency

- 590 of phenotypic findings between sexes, IBM SPSS version 29.0.2.0 (IBM Corp.) software was
- 591 used with the Chi-square method with continuity correction and Bonferroni adjustment for
- 592 multiple testing.

593 Study approval

Animal procedures were approved by the Linköping Regional Animal Ethics review board
(Application Nos. 10940-2021, 06826-2024).

596 **Data availability**

- 597 All relevant data along with raw measurements of all parameters have been provided in the
- 598 manuscript or in the supplementary information (see 'Supporting Data Values' supplementary
- 599 Excel file). All measurements are presented individually for each experiment sample.
- 600 Libraries of microscopy photos are available from the corresponding author upon reasonable
- 601 request with no restrictions on data availability.

602 Author contributions

603 DJ: Performed experiments, data acquisition, tissue processing, interpretation and drafted the 604 manuscript. PM: Performed experiments, data and tissue processing, interpretation, image 605 preparation, partial writing and review of the manuscript. MA: Performed tissue processing 606 and analysis and review of the manuscript. AD: Performed imaging and assisted with image 607 processing, tissue processing, and review of the manuscript. YR: Performed image analysis 608 and review of the manuscript. NL: Conceptualization, study design, design and coordination 609 of experiments, funding, data interpretation, assisted with manuscript writing and critical 610 manuscript review and revisions.

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772	Table	1
123	Table	I

Table 1. Frequency of abnormalities in Pax6 +/- 129S1/SvImJ heterozygous mice									
Age	1 month	2 months	3 months	4 months					
	n=52	n=42	n=32	n=60					
Approximate human age	8 years	23 years	34 years	46 years					
translation (source 1)									
(35)									
Approximate human age	12-17	>17 years	20-30, towards	20-30, mid-					
translation (source 2)			young range	range					
(36)									
Sex	F=30, M=22	F=24, M=18	F=18, M=14	F=28, M=32					
Keratolenticular	52 (100%)	42 (100%)	32 (100%)	60 (100%)					
adhesion									
Iris coloboma	23 (44,2%)	22 (52,3%)	14 (43,7%)	23 (38,3%)					
Cornea									
Inflammatory cells	11 (21,1%)	14 (33,3%)	5 (15,6%)	4 (6,6%)					
Neuroma	32 (61,5%)	28 (66,6%)	19 (59,3%)	46 (76,6%)					
Vacuole structure	21 (40,3%)	15 (35,7%)	11 (34,3%)	15 (25%)					
Values given indicate number (n) of eyes of Het mice with percentages of the total number at that age given in parentheses. $F = female$, $M = male$.									



726 727 Figure 1. The Pax6^{+/-} 129S1/SvImJ mouse model phenotype mimicking the variable onset of human AAK caused by PAX6 haploinsufficiency. (A) Representative images of a Wt and Het 728 729 mouse head showing only slightly smaller and less bulging eyes. (B) Hematoxylin and eosin 730 staining reveals an underdeveloped anterior segment in Het mice. Scale bar= 200 µm. (C) 731 Western blot analysis indicates a significant 58% decrease in PAX6 protein levels in corneas 732 from Het mice compared to Wt littermates, n > 7 (t-test ***: P < 0.001). (D) Longitudinal 733 characterization over a period of 5 months indicates a gradual and distributed pattern of loss of corneal transparency, n > 32 (Kruskal-Wallis test ****: P < 0.0001, group comparisons * 734 for P < 0.05, ** for P < 0.01, *** for P < 0.001 and **** for P < 0.0001). (E) Slit lamp 735 736 analysis revealed unchanged cornea status in Wt mice and early and late AAK onset 737 phenotypes in Het mice, both being progressive.







749 750 Figure 3. Corneal and epithelial structural abnormalities in Het mice. (A) OCT images of Wt and Het 751 mouse eyes indicating thinner corneas and shallower anterior chamber depth in Het mice. (B) Anterior 752 chamber depth is reduced by half in Het mice, n>25. (C) Central corneal thickness measured in vivo 753 with OCT indicated significantly thinner corneas in Het mice not changing with age, n>25. (D) 754 Representative H&E images of corneas from Het and Wt mice revealing thinner epithelium (marked) 755 in Het mice. (E) COL4 DAB staining revealed absence of COL4 production in basal epithelial cells 756 and absent epithelial basement membrane in Het mice (Inset, white arrows), and dot-like expression of 757 COL4 in the stroma representing basement membrane surrounding corneal nerves (black arrows). (F) 758 Fluorescein staining indicated compromised epithelial barrier function with stromal uptake of the dye 759 in vivo. (G-K) Comparative analysis from H&E images from Het (n=16) and Wt mice (n>10). (G) 760 Epithelial thickness, n>10. (H) Number of epithelial layers, n>17. (I) Basal layer thickness, n>10. (J) 761 Number of basal cells per 100 μ m linear distance, n > 13. (K) Nuclear area-to-basal layer area ratio in 762 basal cells, n>11. In all panels **** indicates p < 0.0001 (t-test in two-group panels or ANOVA 763 multiple pairwise comparison). 764





Figure 4. Grade and progression of AAK in Het mice. (A) Representative slit lamp images
from 1 or 2 mice of each Grade and whole-mount staining with CD31 for each Grade,
indicating different Grades of AAK. In Wt mice, arrows indicate the intact limbal border. In
Het mice, arrows indicate blood vessels that have just breached the limbal border and entered

770 the cornea. (B) Distribution of AAK grade among Het mice of different ages, n > 32.





772 773

Figure 5. Longitudinal in vivo examination of corneal microstructure with IVCM. (First row) Normal corneal cellular layers in Wt mice. (A) Polygonal flat (arrow) superficial epithelial 774 775 cells. (B) Wing cell layer with bright borders and dark cytoplasm (arrow). (C) Basal cell layer 776 with bright borders and densely packed cells (arrow). (D) Network of subbasal nerves within a dense nerve plexus (black arrows). (E) Branching stromal nerve fiber trunk (arrow). (F) 777 778 Hexagonal monolayer of endothelial cells. (Second row) cornea layers in Grade 1 AAK. (G) 779 Superficial cells are small, non-polygonal and with dark nuclei (arrow). (H) Loss of distinct 780 mosaic pattern and loss of dark cytoplasm of wing cells. (I) The parallel and regular pattern of 781 subbasal nerves is disrupted (black arrow). (J) Vascular structure in the stroma. (K) Visible 782 endothelial cells (arrow). (Third row) Cornea layers in Grade 2 AAK. (L) Indistinct superficial 783 epithelial cells (arrow). (M) Dark vacuole-like structure (arrow) and loss of cellular mosaic. (N) 784 Loss of distinct cellular structure, with neuroma visible that approaches the basal layer (arrow). 785 (O) Large hyper-reflective neuromas (arrow) in stroma. (P) Indistinct hexagonal endothelial cell (arrow). (Fourth row) Cornea layers in Grade 4 AAK. (Q) Very small superficial cells 786 787 (arrow). (R) Fibrous tissue replacing the wing cell layer. (S) Shadows of stromal vessels (arrow) 788 at the level of the basal layer. (T) Co-appearance of vessels and neuromas in stroma (arrows). 789 (U) Faintly detectable nuclei (arrow) of endothelial cells. Scale bars = $50 \mu m$. 790



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792 Figure 6. Time course and characteristics of corneal neovascularization. (A) Slit lamp images 793 (top row) confirming Grade 1 and 2 AAK in Het mice up to the age of 5 months. Corresponding 794 whole-mounted corneas immunostained for lymph vessels (LYVE1, middle row) and blood vessels (CD31, bottom row). White arrows in both rows highlight lymph and blood vessels, 795 796 respectively. Sale bar= 500 µm (B) Prevalence of corneal lymph vessels was 3-4 times higher 797 than that of blood vessels at all ages, n > 32. (C) IVCM image indicating the presence of lymph 798 vessel (black arrow) and blood vessel (white arrow) at the same stromal depth. (D) 799 Immunostaining of cornea sections revealed LYVE1-positive vessels (orange) and F4/80 800 (green) cells throughout the stroma confirming the coincidence of macrophage infiltration and lymphangiogenesis in Het but not in Wt mouse corneas. Scale bars = $50 \mu m$. 801





804 **Figure 7.** β-III Tubulin immunostaining of corneal nerves in whole mount corneas. (Top row) Stromal nerve network, with central stromal nerves being scarce in Wt mice and highly prevalent in Het mice. (Bottom row) Vortex pattern of subbasal nerves in Wt mice and disrupted pattern of subbasal nerves in Het mice with anterior stromal nerves invading the subbasal layer. Scale bars = $500 \ \mu m$.



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Figure 8. Immunolocalization of PAX6, ΔNp63, GPHA2, and KRT12 in the center, limbus,

- 815 and central attachment zone in cross sections of corneas from Wt and Het mice with Grade 1
- 816 and 2 AAK. (First column) PAX6. (Second column) ΔNp63. (Third column) GPHA2. (Forth
- column) KRT12. Nuclei are counterstained with DAPI (blue) in most sections. 817