

## Adipocyte-like signature in ovarian cancer minimal residual disease identifies metabolic vulnerabilities of tumor initiating cells

Mara Artibani, ... , Tatjana Sauka-Spengler, Ahmed A. Ahmed

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# Adipocyte-like Signature in Ovarian Cancer Minimal Residual Disease

## Identifies Metabolic Vulnerabilities of Tumor Initiating Cells

Mara Artibani<sup>1,2,3</sup>, Kenta Masuda<sup>1,2,a</sup>, Zhiyuan Hu<sup>1,2</sup>, Pascal C. Rauher<sup>4</sup>, Garry Mallett<sup>1,2,3</sup>, Nina Wietek<sup>1,2,5</sup>, Matteo Morotti<sup>1,2,5,b</sup>, Kay Chong<sup>1,2,c</sup>, Mohammad KaramiNejadRanjbar<sup>1,2</sup>, Christos E. Zois<sup>6</sup>, Sunanda Dhar<sup>7</sup>, Salma El-Sahhar<sup>1,2</sup>, Leticia Campo<sup>6</sup>, Sarah Blagden<sup>6</sup>, Stephen Damato<sup>7</sup>, Pubudu Pathiraja<sup>5</sup>, Shibani Nicum<sup>5</sup>, Fergus Gleeson<sup>8</sup>, Alexandros Laios<sup>5</sup>, Abdulkhaliq Alsaadi<sup>1,2</sup>, Laura Santana Gonzalez<sup>1,2</sup>, Takeshi Motohara<sup>9</sup>, Ashwag Albukhari<sup>10</sup>, Zhen Lu<sup>11</sup>, Robert C. Bast Jr.<sup>11</sup>, Adrian L. Harris<sup>6</sup>, Christer S. Ejsing<sup>12,13</sup>, Robin W. Klemm<sup>14</sup>, Christopher Yau<sup>15</sup>, Tatjana Sauka-Spengler<sup>3</sup> and Ahmed Ashour Ahmed<sup>1,2,5,16,†</sup>

1 Ovarian Cancer Cell Laboratory, MRC Weatherall Institute of Molecular Medicine, University of Oxford, Oxford OX3 9DS, UK

2 Nuffield Department of Women's & Reproductive Health, University of Oxford, Oxford OX3 9DU, UK

3 Gene Regulatory Networks in Development and Disease Laboratory, MRC Weatherall Institute of Molecular Medicine, Radcliffe Department of Medicine, University of Oxford, Oxford OX3 9DS, UK

4 Department of Molecular Life Sciences, University of Zurich, Zurich, Switzerland

5 Department of Gynaecological Oncology, Churchill Hospital, Oxford University Hospitals, Oxford OX3 7LE, UK

6 Department of Oncology, University of Oxford, Oxford OX3 7DQ, UK

7 Department of Histopathology, Oxford University Hospitals, Oxford OX3 9DU, UK

8 Department of Radiology, Churchill Hospital, Oxford University Hospitals, Oxford OX3 7LE, UK

9 Department of Obstetrics and Gynecology, Faculty of Life Sciences, Kumamoto University, 1-1-1 Honjo, Chuo-ku, Kumamoto City, Japan

10 Biochemistry Department, Faculty of Science, King Abdulaziz University, Jeddah, Saudi Arabia

11 Department of Experimental Therapeutics, University of Texas MD Anderson Cancer Center, Houston, TX, USA

12 Department of Biochemistry and Molecular Biology, Villum Center for Bioanalytical Sciences, University of Southern Denmark, Odense, Denmark

13 Cell Biology and Biophysics Unit, European Molecular Biology Laboratory, Heidelberg, Germany

14 Department of Physiology, Anatomy and Genetics, University of Oxford, Oxford, OX1 3PT, UK

15 Division of Informatics, Imaging and Data Sciences, Faculty of Biology Medicine and Health, The University of Manchester, Manchester M13 9PT, UK

16 Oxford NIHR Biomedical Research Centre, Oxford, OX4 2PG, UK

† Correspondence: ahmed.ahmed@wrh.ox.ac.uk

a Present address: Department of Obstetrics and Gynecology, Keio University School of Medicine, Tokyo, Japan

b Present address: Gynecological Oncology, Ludwig Cancer Centre, CHUV, Lausanne

c Present address: Department of Biochemistry, University of Oxford, Oxford, OX1 3QU, UK

## Conflict of interests

A.A.A. and M.A. are filing a patent application for the use of the adipocyte-like gene signature for the identification and treatment of MRD in ovarian cancer.

## Abstract

Similar to tumor initiating cells (TICs), minimal residual disease (MRD) is capable of re-initiating tumors and causing recurrence. However, the molecular characteristics of solid tumor MRD cells and drivers of their survival have remained elusive. Here we performed dense multi-region transcriptomics analysis of paired biopsies from 17 ovarian cancer patients before and after chemotherapy. We reveal that while MRD cells share important molecular signatures with TICs, they are also characterized by

48 an adipocyte-like gene expression signature and a portion of them had undergone epithelial-  
49 mesenchymal transition (EMT). In a cell culture MRD model, MRD-mimic cells show the same  
50 phenotype and are dependent on fatty acid oxidation for survival and resistance to cytotoxic agents.  
51 These findings identify EMT and FAO as attractive targets to eradicate MRD in ovarian cancer and  
52 make a compelling case for the further testing of FAO inhibitors in treating MRD.

53

## 54 **Introduction**

55 The term minimal residual disease (MRD) was originally coined in relation to hematological  
56 malignancies to define the leukemic cells that remain after treatment (1). More generally, tumor MRD  
57 describes cancer cells that remain following complete clinical and radiological response to therapeutic  
58 interventions (2). Such cancer cells share phenotypic and genomic characteristics with the bulk tumor  
59 that existed prior to the intervention. In hematological malignancies such as chronic myeloid leukemia  
60 (CML) and acute lymphoblastic leukemia (ALL), personalized treatment of MRD demonstrated the  
61 feasibility of achieving long term responses and cures presumably by eliminating residual cancer cells  
62 (3-5). Importantly, these examples have shown that rationalized switching of treatment to circumvent  
63 the development of resistance in MRD can still help achieve long term benefits in patients.

64 However, the concept of treating MRD in solid tumors remains largely unexplored because of limited  
65 understanding of the drivers of MRD survival. Current knowledge is largely obtained from preclinical  
66 models rather than directly from patients and suggests that MRD survival is related to key characteristics  
67 that define tumor initiating cells such as overexpression of ABC transporters and over-activity of  
68 aldehyde dehydrogenases (ALDH) (6). However, the clinical relevance of these observations and the  
69 presence of any additional survival mechanisms for MRD in patients has remained unknown because  
70 of the difficulty in isolating and characterizing MRD cells.

71

72 It is important to make a distinction between measuring the MRD load and the molecular  
73 characterization of MRD cells. The former is largely a diagnostic process for predicting the probability  
74 of recurrence while the latter aims to understand driving survival pathways and potential tumor

75 vulnerabilities for therapeutic intervention (2). Recent advances in isolating and quantifying circulating  
76 tumor DNA and circulating tumor cells, among other technologies, have made it possible to predict the  
77 load of MRD and the probability of recurrence with high precision (7, 8). These methods could also be  
78 extended to detect the presence or evolution of known mechanisms of resistance to therapies such as  
79 the development of resistance mutations in the active site of a kinase that is being targeted  
80 therapeutically (9). However, such approaches would only be helpful for predicting response to a  
81 limited number of therapeutics (2). Conversely, an unbiased molecular characterization of MRD would  
82 be ideal for the discovery of novel therapeutic strategies to treat MRD. In many hematological  
83 malignancies, it is possible to sample MRD by obtaining bone marrow biopsies. In contrast, the  
84 selection of the biopsy sites to harvest MRD from solid tumors is much more challenging since it is  
85 difficult to predict their site of residence.

86 To overcome the aforementioned limitations, we designed a prospective observational study in patients  
87 with advanced high grade serous ovarian cancer (HGSOC) allowing us to sample and characterize  
88 MRD. Given HGSOC's relatively short latency before recurrence and its tendency not to spread outside  
89 of the abdominal cavity, sampling MRD from the peritoneal cavity provides an opportunity to  
90 characterize clinically relevant MRD lesions. To define MRD, we applied strict criteria of complete  
91 responses that are based on clinical and radiological evidence, direct visualization of the peritoneal  
92 cavity and histopathological evidence of significant response. We used Laser Capture Microdissection  
93 (LCM) to enable further in-depth characterization of MRD sites. This work enabled us to identify a  
94 highly selected and pure population of tumor cells that faithfully represent MRD directly in patients.  
95 Our results provide potential opportunities for therapeutic intervention to treat MRD in patients with  
96 HGSOCs.

97

## 98 **Results**

### 99 The Oxford Ovarian Cancer Predict Chemotherapy Response (OXO-PCR) study: a patient cohort

100 The study of MRD in ovarian cancer or other solid tumors in patients has been difficult because of the  
101 inability to identify and sample microscopic deposits intra-operatively or by using traditional imaging

102 modalities. To overcome this limitation, we designed a prospective observational study (OXO-PCR) to  
103 enable intra-operative identification and sampling of MRD in ovarian cancer patients. We used the  
104 combination of video-laparoscopy and surgical clip application prior to the start of chemotherapy to  
105 mark tumor areas from which samples were obtained. Following chemotherapy, we then recollected  
106 samples from either the tissue surrounding the surgical clips or from spatially related areas as guided  
107 by the pre-chemotherapy video recording irrespective of whether or not a tumor was visible  
108 (Supplementary video). These samples were then sectioned and examined microscopically to identify  
109 MRD and, when found, laser capture microdissected for further analysis.

110 The OXO-PCR study, was conducted prospectively in Oxford from 2012 to 2017. We recruited 17  
111 patients with at least stage IIIC HGSOV. Overall, the cohort had a median age of 71 years at the time  
112 of diagnosis, a median time to relapse of 11 months from completion of first line treatment and an  
113 average survival of 22 months. All patients received at least 3 cycles of the standard carboplatin-  
114 paclitaxel combination treatment, except 2 patients who received carboplatin alone due to hyper-  
115 sensitivity to paclitaxel (Table S1). For each patient, paired samples were obtained before and after  
116 treatment from multiple metastatic tumor sites, first at the time of diagnostic laparoscopy (prior to  
117 chemotherapy) and second, during interval debulking surgery (IDS) (following at least three cycles of  
118 neo-adjuvant chemotherapy (NACT)).

119 Applying the above sampling technique in individual patients ensured paired sampling from the same  
120 sites at two time points, allowing for intra-patient as well as inter-patient heterogeneity to be studied  
121 over time (Figure 1A).

122 Overall chemotherapy response was evaluated using CT scans and CA125 blood levels, while the site-  
123 specific response was assessed through laparoscopies that were conducted before and after  
124 chemotherapy (10). Based on these criteria, patients were divided into 3 groups: “Exceptional  
125 Responders” if all the metastatic sites showed a complete clinical response following primary treatment  
126 and only microscopic tumor foci were found in the biopsies collected during IDS (MRD); “Poor  
127 Responders” if all the sites showed extensive macroscopic disease after NACT and “Mixed  
128 Responders” if patients had both MRD sites and poor response sites (Figure 1B and Table S1A).

129 In order to compare the gene expression profiles across response groups, RNA-seq was performed on  
130 cancer islets isolated using LCM from all samples collected at both timepoints. Due to the abundance  
131 of tumor cells before treatment and in the “post-chemo” samples in sites where there was evidence of  
132 poor response, scrolls of their samples were also collected to be used for bulk RNA-seq analyses (Figure  
133 1C). Both LCM and bulk RNA-seq pipelines included multiple quality control steps to avoid the  
134 contamination from surrounding non-cancer tissue (Figure S1A, S1B). After QC filtering (which  
135 removed 37 out of the total 156 libraries), differential expression analyses were carried out across  
136 timepoints and response groups as well as on each patient individually (Figure 1C).

137

### 138 Pseudotime analysis reveals limited intra-patient heterogeneity

139 We first sought to evaluate how representative the sample set is to the known molecular profile of  
140 HGSOC. To do this we used unsupervised pseudotime analysis (11) of the pre-chemotherapy sample  
141 set and compared this to data obtained from the TCGA HGSOC dataset (12) which comprised,  
142 predominantly, of pre-chemotherapy samples. This comparison revealed that our set clustered around  
143 the centre of the pseudotime gradient of the TCGA cohort (Figure S2A) indicating that the dataset is  
144 highly representative of HGSOCs. Next, we examined the pseudotime data of the entire dataset (pre-  
145 chemo and post-chemo) and found that samples from the same patient clustered together on the  
146 pseudotime gradient (Figure 2) in spite of the analysis being conducted in an unsupervised manner  
147 without taking into account the patient identity or the timing of sampling. This result was also consistent  
148 with the t-distributed stochastic neighbor embedding (t-SNE) of the entire dataset following batch  
149 correction (Figure S2B), strongly suggesting that, despite the existence of intra-patient heterogeneity,  
150 the gene expression diversity observed in the OXO-PCR cohort is clearly dominated by inter-patient  
151 heterogeneity.

152 We next identified pseudotime-dependent genes by fitting a linear model and highlighting genes that  
153 are differentially expressed along the pseudotime gradient independent from chemotherapy effect.  
154 Pathway analysis revealed an enrichment of genes that are involved in interferon signaling pathways,  
155 L1CAM and MAPK pathways (Figure S2C). Importantly, after accounting for the pseudotime effect,  
156 and, as expected, analysis of the chemotherapy effect revealed a significant downregulation in FOXM1

157 expression, a master regulator of the expression of genes involved in mitosis (12). There was also  
158 evidence of downregulation of the corresponding mitotic signature that is known to be highly expressed  
159 in HGSOC (12), with downregulation of known mitosis genes such as AURKB, NCAPH, NCAPG,  
160 Cyclin B2 and several kinesins (Table S2). Overall, these data show the robustness of the approach  
161 chosen for the study and highlight that transcriptional heterogeneity is predominantly observed between  
162 patients rather than within individual patients.

163

#### 164 Transcriptomic signatures related to tumor initiating cells and lipid metabolism characterize HGSOC 165 MRD cells

166 We next sought to evaluate MRD in exceptional responders (patients 11152, 1016 and 1036), who all  
167 had no visible residual disease at the post-chemotherapy laparoscopy. We compared the gene expression  
168 profiles of LCM samples obtained before and after treatment from these tumors (Figure 3A). This  
169 analysis identified 356 differentially expressed genes (Figure 3A, Table S3).

170 The post-chemotherapy tumor cells showed significantly higher expression of ATP-binding cassette  
171 transporters (*ABCA12*, *ABCB5*, *ABCA9*, *ABCA6*, *ABCA10*, *ABCA8*; log fold change > 3.3; p value <  
172 1.68E-04) as well as other known markers of tumor initiating cells (TICs) (*ALDH1L1*, *ALDH1A1*,  
173 *ALDH2*, *MS4A1/CD20*; log fold change > 1.8; p value < 9.4E-04) (Figure 3B) (13, 14).

174 These results suggest that MRD has characteristics that are consistent with previously identified features  
175 of tumor initiating cells from preclinical models. Surprisingly, we also found a significant increase in  
176 the expression of genes that are involved in lipid metabolism such as *PLIN1*, *PLIN4*, *CD36*, *ACACB*,  
177 *G0S2*, *LIPE*, *LPL*, *GPAM*, *SCD* (Figure 3B) (log fold change > 3.7, p value < 2.1E-04). Notably, the  
178 MRD cells were also characterized by the upregulation of 60 different small nucleolar RNAs (Table  
179 S3), non-coding RNAs whose traditional role is to guide the post-transcriptional modification of  
180 ribosomal and small nuclear RNAs. More recently, however, snoRNAs have been shown to also play  
181 important roles in tumorigenesis and in the regulation of lipotoxic and oxidative stress responses (15).  
182 Importantly, the expression of HGSOC marker genes such as *PAX8*, *MUC16* and *WT1* or the epithelial  
183 marker *EPCAM* was maintained after chemotherapy, indicating that the MRD LCM cells kept their  
184 HGSOC identity (Figure S3A).

185 Moreover, to rule out the possibility of cross contamination of MRD with adipocytes, we attempted to  
186 perform LCM and RNA-seq on large areas of adipose tissue adjacent to the MRD lesions and compare  
187 the RNA expression results. However, this did not yield sufficient RNA for downstream analysis. We  
188 conclude that the possibility of contamination of MRD with small number of adipocytes is highly  
189 unlikely to have biased the differential expression analysis.

190 We next examined the differentially expressed genes per individual patient from the exceptional  
191 responders. We noted that for patient 1016, who had the most notable microscopic response, the main  
192 biological processes that were enriched in post-chemotherapy samples were related to fatty acid  
193 metabolism ( $p < 0.001$ ,  $FDR < 0.001$ ) (Figure 3C). The differentially overexpressed genes are known to  
194 be involved in the uptake (*CD36*, *FABP4*, *FABP5*), storage (*PLIN1*, *PLIN4*, *PLIN5*), synthesis (*FASN*,  
195 *FADS3*, *ACACB*, *SCD*) and oxidation (*ACADL*, *ACSL1*) of fatty acids (Figure S3B).

196 These genes, or genes belonging to the same pathways, were also significantly overexpressed in post-  
197 chemotherapy samples of patients 1036 (*FABP2*, *FABP4*, *PLIN1*, *PLIN4*, *SCD*, *ACADL*, *ACSL6*)  
198 (Figure S3C) and 11152 (*ACADSB*; relaxing the FDR from 0.05 to 0.1 also *CD36*, *PPARGC1A*, *PLIN1*)  
199 (Figure S3D). These findings were further confirmed by RT-qPCR using a subset of upregulated genes  
200 (Figure S3E). In addition to the lipid metabolism markers, the MRD islets of patient 1016 also showed  
201 modulation of genes, such as *AMOT*, belonging to a dormancy signature previously identified in breast  
202 cancer (16) (Figure S3B), suggesting the presence of a sub-population of more quiescent cells within  
203 the captured MRD sample.

204 To determine whether the features described above are specific to MRD or shared among all  
205 chemotherapy resistant cells, we compared the LCM data from the exceptional and the poor responders  
206 after treatment. Even though both cell populations survived NACT, significant transcriptional  
207 differences were observed with 867 genes found to be differentially expressed between the two groups.  
208 Notably, the MRD samples showed upregulation of genes related to lipid metabolism and those  
209 previously known to be associated with TICs (Figure 3D, S3F) ( $\log$  fold change  $> 2.7$ ,  $p$  value  $< 0.001$ )  
210 as well as snoRNAs (Table S4). These results further support the notion that fatty acid metabolism is  
211 specifically upregulated in MRD highlighting a previously unrecognized feature of these cancer cells  
212 in HGSOc patients.

213 The transcriptome of MRD cells resembles differentiated adipocytes

214 The observation that the identified MRD-upregulated genes are involved in both anabolic and catabolic  
215 lipid metabolic processes implied that the purpose of such upregulation was not to simply increase ATP  
216 uptake following chemotherapy. Instead, these observations pointed to a more complex transcriptional  
217 program of MRD cancer cells that may contribute to the acquisition of chemotherapy resistance. These  
218 transcriptional changes were reminiscent of those observed in adipocytes where both synthesis and  
219 turnover of storage lipids such as triacylglycerols are highly active. To test the hypothesis that MRD  
220 cancer cells selected by chemotherapy are adipocyte-like, we compared the transcriptional changes to  
221 those that occur during differentiation of adipocytes from fibroblast-like precursors. To this end, we  
222 differentiated 3T3-L1 cells into adipocytes as previously described (17). To monitor transcriptional  
223 changes, we performed RNA-seq at day -2 (pre-adipocyte/fibroblast stage), day 0 (start of the  
224 differentiation protocol) and day 6 (adipocyte stage) (Figure 4A). Strikingly, we found that the  
225 expression of key adipocyte markers such as *CD36*, *PLIN1*, *CIDEA*, *LIPE*, *LPL* and *ACACB*, all  
226 strongly upregulated upon adipocyte differentiation (Figure S4), correlated significantly with the  
227 expression changes observed in exceptional responders (Pearson's correlation coefficient of 0.8, p value  
228 of 0.03) (Figure 4B). In contrast, over-expression of genes that were known to be upregulated in TICs  
229 such as ABC transporters was only observed in MRD (Figure 4C) and not during adipocyte  
230 differentiation. These results strongly suggest that MRD cancer cells, while retaining features of TICs,  
231 reflect a transcriptional state that resembles adipocytes.

232 Altogether, these findings represent the first in vivo characterization of MRD cells isolated from  
233 HGSOc patients and identify specific markers that are unique to this population of chemotherapy  
234 resistant cells.

235

236 MRD cells show mesenchymal characteristics

237 We observed that the resistant cancer cells that were laser-captured from exceptional responders prior  
238 to RNA sequencing showed an elongated and spindle-like shape in contrast to the more rounded  
239 appearance of cancer cells isolated from poor responders. The appearance of MRD cancer cells was  
240 consistent with that of mesenchymal cells suggesting that they may represent epithelial-to-

241 mesenchymal transition (EMT). This was a reasonable assumption given that chemotherapy resistance  
242 (18, 19) and the acquisition of stem cell properties (20, 21) have been clearly associated with cancer-  
243 related EMT.

244 To test this assumption, we next quantified the EMT cell state in the OXO-PCR samples using our  
245 recently described deconvolution-based classifier (22, 23). This analysis revealed that MRD cells are  
246 particularly enriched in genes belonging to the EMT signature. Specifically, among all the post-  
247 chemotherapy samples, those isolated from exceptional responders showed the highest EMT score  
248 (Figure 5A). These MRD samples were characterized by a very high proportion of the EMT-high cell  
249 state (EMT fraction  $> 0.85$ ) compared to other cell states, regardless of whether a high EMT level was  
250 already widely observed before treatment (as in patient 1016) or not (as in patients 11152 and 1036)  
251 (Figure 5B). In contrast, the samples from poor responders were more heterogeneous after  
252 chemotherapy, showing the co-existence of multiple cell states (e.g. EMT-high, differentiated, Krt17,  
253 cell cycle) (Figure 5B-S5).

254 Given the EMT enrichment in MRD, we reasoned that the adipocyte-like gene signature observed in  
255 MRD may be a defining feature of the EMT-high cancer cell state, selected in the chemotherapy-  
256 resistant EMT-high MRD cells (Figure 5C). The alternative explanation is that either the adipocyte-like  
257 state, the EMT-like state or both are induced by chemotherapy (Figure 5C). To test these alternatives,  
258 we compared the adipocyte-like gene signature between EMT-high and EMT-low pre-chemotherapy  
259 tumors using publicly available datasets of pre-chemotherapy HGSOC, The Cancer Genome Atlas  
260 (TCGA)(12) and the Australian Ovarian Cancer Study (AOCS) (24). We divided the samples according  
261 to our previously described EMT score (22, 23) into EMT-high and EMT-low.

262 Our analysis indicated that many genes from the adipocyte-like gene signature showed significantly  
263 higher expression in the EMT-high group (Figure 5D). This suggests that EMT-high cancer cells are  
264 enriched in genes related to lipid metabolism and that this cell state becomes selected for after  
265 chemotherapy treatment. However, our results cannot completely rule out the alternative explanations  
266 that the adipocyte-like gene signature or the EMT-high signature are, at least in part, induced by  
267 chemotherapy.

268 Collectively, these data highlight the mesenchymal characteristics of MRD that encompass an elevated  
269 adipocyte-like signature. We speculate that active lipid metabolism might confer a survival advantage  
270 for chemotherapy-resistant MRD.

271

#### 272 MRD-mimic cells in vitro are sensitive to inhibitors targeting fatty acid oxidation

273 To functionally characterize the MRD population, we developed an in vitro model of MRD that  
274 successfully recapitulates the key gene expression features observed in vivo.

275 Three different ovarian cancer cell lines (OVCAR5, OVCAR8, KURAMOCHI) were treated for 2  
276 weeks with carboplatin concentrations that achieved more than 90% cell killing (end of treatment  
277 timepoint); then, the surviving cells were allowed to recover in normal medium (MRD-mimic  
278 timepoint) (Figure 6A), mimicking a scenario followed by HGSOCs in patients between NACT and  
279 interval debulking surgery where sampling of MRD occurred.

280 Similar to what we observed in vivo, after carboplatin treatment the cells displayed a more elongated  
281 morphology as well as higher level of expression of mesenchymal markers (Figure S6A-S6B).

282 Moreover, the surviving cells at the end of treatment (Figure 6A) showed significant upregulation of  
283 several adipocyte-signature genes that were also identified in MRD in patients, such as the fatty acid  
284 synthase *FASN*, the lipid droplet-associated protein *PLIN1* and the peroxisome proliferator-activated  
285 receptor gamma *PPARG* (Figure 6B).

286 Increased expression of other genes involved in lipid-related pathways was also observed, including  
287 *CPT1A*, *PPARA* and *ACADM* (Figure 6B). These changes were maintained in MRD-mimic cells upon  
288 withdrawal of the carboplatin (Figure S6C), suggesting that the resistant cells had a long-lasting  
289 phenotype that may be important for survival and tumor regeneration.

290 Next, we performed functional assays on the in vitro model to test whether lipid metabolism pathways  
291 were indeed perturbed in the cells that survived the carboplatin treatment. We used the Seahorse assay  
292 to measure mitochondrial oxygen consumption rate (OCR) as a readout of oxidative phosphorylation  
293 (OXPHOS). The MRD-mimic cells of all the tested lines showed a significantly higher OCR compared  
294 with the untreated cells, at both basal and maximal-uncoupled states (Figure 6C-6D).

295 Similar results were also obtained at the end of treatment timepoint compared to untreated cells (Figure  
296 S6D-S6E), indicating that OXPHOS plays an important role in chemotherapy resistant cells.

297 To elucidate which substrates are key for this process and evaluate a potential role of FA in the survival  
298 of MRD-mimic cancer cells, we first blocked FAO using etomoxir, an inhibitor of the carnitine  
299 palmitoyl transferase (*CPT1*) that imports FA into mitochondria for  $\beta$ -oxidation (25). Colony forming  
300 assays were performed using OVCAR5 and OVCAR8 cells treated with etomoxir concentrations  
301 previously shown not to elicit off-target effects (26).

302 This approach revealed that the MRD-mimic cells of both cell lines displayed a significantly higher  
303 sensitivity than their carboplatin-untreated counterparts (Figure 6E-S6F). The results were also  
304 confirmed using perhexiline, another CPT1 inhibitor currently used in the clinic as a prophylactic  
305 antianginal agent (27) (Figure 6E-S6F), showing that FAO is indeed crucial for chemotherapy resistant  
306 cells, as already suggested by the transcriptome analyses described above.

307 Given that the lipid signature observed both in vivo and in vitro included not only genes related to FAO  
308 but also FA synthesis, we performed mass spectrometry-based lipidomics (28) to determine if the  
309 transcriptional increase of genes involved in *de novo* lipogenesis is reflected in an increase of storage  
310 lipids such as triacylglycerols (TAGs). Interestingly, for both OVCAR5 and OVCAR8 cell lines, the  
311 total concentration of glycerolipids, which comprises both TAGs and their immediate precursor  
312 diacylglycerols, did not differ significantly before and after treatment with carboplatin (Figure S6G).

313 This suggests that, unlike FAO, the transcriptional changes observed in the FA synthesis pathway do  
314 not lead to an increase in lipid storage or that the newly synthesized FA are immediately oxidized and  
315 therefore do not accumulate, as shown in the lipidome analysis.

316 Taken together these data confirm the robustness of our in vitro model and highlight that FAO is  
317 required for survival by MRD-mimic cancer cells, thus uncovering a new therapeutic vulnerability of  
318 MRD.

319

320

321

322 Fatty acid oxidation is a general mechanism of resistance in MRD that is independent from the  
323 cytotoxic agent

324 Both our in vivo and in vitro data show that the ovarian cancer cells that survive DNA-targeting  
325 cytotoxic (carboplatin) or microtubule-stabilizing (paclitaxel) chemotherapy treatment are  
326 characterized by a transcriptional upregulation of their lipid metabolic pathways that appears to be a  
327 survival mechanism in MRD cancer cells. However, whether these observations represent a general  
328 survival mechanism under cytotoxic treatment or are more specifically related to the chemotherapeutics  
329 used remained unclear.

330 To investigate if the upregulation of lipid metabolism was a general survival mechanism in ovarian  
331 cancer cells, we tested the effect of poly-ADP ribose polymerase (PARP) inhibitor treatment on ovarian  
332 cancer cell lines. We selected this cytotoxic agent because its use is rapidly becoming standard of  
333 practice in patients with HGSOC, due to the defects in the homologous recombination repair pathway  
334 often found in these tumors (29). Moreover, it is known that PARP activation decreases the  
335 concentration of nicotinamide adenine dinucleotide (NAD<sup>+</sup>) and this has been linked to lipid  
336 accumulation (30).

337 First, we measured the expression of key lipid metabolism genes using qPCR following olaparib  
338 treatment. KURAMOCHI and OVCAR5 cells showed an upregulation of *PPARA* and genes belonging  
339 to the *CPT* family at both concentrations of olaparib that were tested (Figure 7A). In hepatocyte,  
340 adipocyte, and myoblast cells, it was reported that PARP inhibitors activate the expression of FAO-  
341 related genes through SIRT1 activation (31, 32); however, in our system, SIRT1 knockdown did not  
342 change the upregulation of FAO genes upon treatment with olaparib (Figure S7A).

343 Next, we examined if the inhibition of FAO had any effect on the sensitivity of ovarian cancer cells to  
344 olaparib. The colony formation ability for both KURAMOCHI and OVCAR5 lines was significantly  
345 reduced when the cells were treated with a combination of etomoxir and olaparib compared with single  
346 treatment (Figure 7B-7C). Similar results were also obtained for OVCAR8 and SKOv3 cell lines  
347 (Figure S7B).

348 These findings indicate that the upregulation of lipid metabolism may be a more general mechanism  
349 through which ovarian cancer cells survive cytotoxic stress as it is not restricted to any particular type

350 of chemotherapeutic treatment. Furthermore, inhibiting fatty acid oxidation may represent a therapeutic  
351 strategy to enhance the efficacy of cytotoxic treatment in HGSOc.

352 Moreover, these findings suggest that preventing cells from entering into an adipocyte-like cell state  
353 could represent a new therapeutic strategy to sensitize ovarian cancer cells to cytotoxic treatment.

354

## 355 **Discussion**

356 Treatment of MRD in solid tumors requires a better understanding of the mechanisms of survival of  
357 cancer cells that remain at the end of treatment. However, this has been hampered by the difficulties in  
358 selecting representative sites from which to sample MRD, the invasive nature of the sampling  
359 techniques required and the challenges of the molecular characterization of minute amounts of material  
360 from clinical samples. Therefore, most of the knowledge base of MRD in solid tumors is derived from  
361 analyzing preclinical models. In this study, we have designed a clinical trial to specifically address these  
362 issues and successfully obtained a pure collection of MRD samples that enabled us to gain informative  
363 insights about MRD biology in ovarian cancer patients. Our analysis revealed a previously  
364 unrecognized adipocyte-like signature in MRD in HGSOc. We have complemented our in vivo  
365 approach with validation in an in vitro MRD-mimic model that we developed. Using this model we  
366 demonstrate that MRD upregulates fatty acid oxidation and that the specific inhibition of this process  
367 significantly synergizes with chemotherapeutics, increasing their cancer cell killing potential. We show  
368 that upregulation of fatty acid oxidation seems to be a general survival mechanism in MRD following  
369 chemotherapy or PARP inhibition and thus, despite the small number of patients analyzed and the  
370 limited mechanistic studies, our results have important therapeutic implications.

371

372 To the best of our knowledge, this work represents the first comprehensive characterization of MRD  
373 from HGSOc clinical samples. Through an LCM-guided RNA-seq approach, we demonstrate that these  
374 microscopic tumor foci, isolated from exceptional responders after NACT, not only have features of  
375 TICs but also show altered lipid metabolism that has clinical relevance. Alongside a marked EMT  
376 phenotype and the upregulation of several genes belonging to the ABC and ALDH families, these cells

377 have increased expression of the desaturase *SCD*, which is consistent with previous observations that  
378 ovarian TICs have high levels of unsaturated lipids (33). The identification of several transcriptomic  
379 features that are typical of TICs strongly supports the hypothesis that MRD in the peritoneal cavity is  
380 indeed responsible for relapse. Targeting these residual chemotherapy-resistant cells would therefore  
381 be highly promising. Our data suggest that targeting lipid metabolism could represent an attractive  
382 therapeutic option, similar to what has been observed in vitro or in preclinical models of other cancer  
383 types (34-37).

384

385 Using our deconvolution-based classifier (22, 23), we have shown that MRD from the exceptional  
386 responders is enriched in EMT-high cancer cells. The EMT process is known to facilitate tumor  
387 progression. For example, several mechanisms through which EMT induces stemness have now been  
388 elucidated (38). Moreover, metabolic reprogramming has been associated with EMT plasticity (39) and  
389 TGF- $\beta$ 1-induced mesenchymal cells display a shift from glycolysis to OXPHOS (40). A similar  
390 metabolic shift has been previously described in breast (41) and pancreatic (42) cancers using mouse  
391 models of oncogenic pathway inhibition to mimic MRD. However, the transcriptomics changes  
392 described in those studies were not as extreme as the adipocyte-like signature observed here, possibly  
393 due to differences across species or the specific organ tropism of ovarian cancer.

394

395 The perturbation of lipid metabolism observed in MRD can be explained by at least two models that  
396 are not necessarily mutually exclusive. The first one is that a sub-population of cells in the primary  
397 tumor already expresses the adipocyte-like gene signature and that these cells become selected upon  
398 treatment because such altered metabolism confers a survival advantage for MRD. Given the EMT  
399 enrichment in MRD, one might argue that the adipocyte-like gene signature is an inherent feature of the  
400 EMT-high cancer cell state. Through the analysis of publicly available datasets of pre-chemotherapy  
401 HGSOC, we have shown that this might indeed be the case, since many genes from the adipocyte-like  
402 signature showed significantly higher expression in EMT-high tumors. Additional evidence supporting  
403 this idea of a selection process is provided by the observation that primary pre-chemotherapy HGSOC  
404 displays OXPHOS metabolic heterogeneity: the high-OXPHOS group exhibits better short-term

405 survival because its chronic oxidative stress makes it more sensitive to chemotherapy (43). This is  
406 consistent with the initial good clinical response observed in the exceptional responders; however, we  
407 would argue that the high-OXPHOS cells that survive treatment may eventually lead to recurrence  
408 because they have found mechanisms to reduce oxidative stress such as the activation of a temporary  
409 dormant state.

410 An alternative hypothesis is that cells with altered regulation of lipid metabolism are absent before  
411 chemotherapy and that this metabolic rewiring occurs in response to chemotherapy. Some degree of  
412 chemotherapy induction cannot be excluded from our data and detailed time-lapse metabolic analysis  
413 will be needed to further investigate this possibility.

414 Irrespective of how this adipocyte-like signature becomes so preponderant in MRD (whether it is by  
415 selection or induction), the implications for treatment remain clear and suggest that the inclusion of  
416 therapeutics targeting fatty acid oxidation may be beneficial for HGSOC patients. The successful  
417 inhibition of CPT1, for example, could represent a new therapeutic approach to sensitize ovarian cancer  
418 cells to different cytotoxic treatments, such as carboplatin and olaparib. In addition, our work clearly  
419 shows that the MRD cells have marked mesenchymal characteristics. It is now widely recognized that  
420 EMT causes resistance to several anti-cancer agents, spanning from chemotherapy to immunotherapy.  
421 All research efforts to tackle EMT-induced multi drug resistance have so far focused on strategies to  
422 prevent or reverse EMT (44) and only recently the significant metabolic rewiring associated with EMT  
423 has started to gain attention as a potential therapeutic target (45). Our findings provide new insights in  
424 this ongoing debate and proposes an alternative way forward to overcome EMT-related resistance, at  
425 least in MRD.

426

427 In conclusion, we suggest that targeting fatty acid oxidation may be an attractive strategy to eradicate  
428 MRD in HGSOC and improve the long-term survival of exceptional responders.

429

430

431

432

433 **Methods**

434 Cell lines and cell culture

435 Cell lines were obtained from American Type Culture Collection (ATCC) (OVCAR5, OVCAR8,  
436 SKOv3, 3T3-L1) and the Japanese Collection of Research Bioresources (JCRB) Cell Bank  
437 (KURAMOCHI).

438 SKOv3 cells were cultured in McCoy's 5A (Gibco), OVCAR5, OVCAR8 and KURAMOCHI cells in  
439 RPMI 1640 (Gibco) with Fetal Bovine Serum (FBS, 10%; Gibco) and Penicillin-Streptomycin (100 U;  
440 Gibco); 3T3-L1 cells were cultured in DMEM (ThermoFisher Scientific) containing 10% calf serum  
441 (CS) (Sigma-Aldrich). All lines were kept at 37°C, 5% CO<sub>2</sub> and 95% humidity.

442

443 Tumor samples

444 Tumor samples were biopsied during diagnostic laparoscopy or interval debulking surgery, immediately  
445 frozen on dry ice and stored in clearly labelled cryovials in -80 °C freezers.

446

447 Sample processing and sectioning for Laser Capture Microdissection

448 Frozen tumor samples were embedded in OCT (NEG-50, Richard-Allan Scientific) and 10 µm sections  
449 were taken using MB DynaSharp microtome blades (ThermoFisher Scientific) in a CryoStar cryostat  
450 microtome (ThermoFisher Scientific). The first tissue section was mounted onto regular glass slides  
451 (SuperFrost Plus, VWR International) for hematoxylin (Hematoxylin solution, Gill No. 3, Sigma) and  
452 eosin (Eosin Y solution, Sigma) staining (H&E), according to manufacturer's instructions, followed by  
453 six to ten sequential tissue sections onto polyethylenephthalate membrane (PEN) glass slides  
454 (MembraneSlide 1.0 PEN, Zeiss) which were immediately stained on ice (2 min in 70% ethanol, 2 min  
455 in 1% Cresyl violet (Sigma-Aldrich) in 50% ethanol, followed by rinse in 100% ethanol) then stored at  
456 -80°C. Nuclease-free technique was used throughout the procedure. After each H&E slide was reviewed  
457 by a gynaecological oncology pathologist to confirm the presence of cancer cells and delineate their  
458 location, a PALM Laser Microdissection System (Zeiss) was used to catapult individual tumor islets  
459 into a 200 µl opaque AdhesiveCap (Zeiss). Images of target area in 5× and 10× magnification as well

460 as of caps with captured material were obtained for documentation. For the “pre-chemo” samples and  
461 the “post-chemo” sites where there was evidence of poor response, scrolls were also collected to be  
462 used for bulk RNA-seq.

463

#### 464 RNA extraction and library preparation

465 Both Laser Capture Microdissected tumor islets and bulk scrolls were immediately processed for RNA  
466 extraction using the RNAqueous-Micro Total RNA Isolation Kit (ThermoFisher Scientific) and the  
467 RNeasy Mini (Qiagen) respectively. Both extraction procedures were performed according to the  
468 manufacturer’s instructions, including DNase digestion, after which RNA integrity was evaluated using  
469 the 2200 TapeStation (Agilent). The SMARTer Stranded Total RNA-seq kit v2 - Pico Input (Takara)  
470 was used to prepare sequencing libraries from LCM material, the KAPA mRNA HyperPrep Kits  
471 (Roche) for the bulk scrolls.

472 All libraries were assessed with TapeStation (Agilent) and then quantified by Qubit (ThermoFisher  
473 Scientific). Multiplexed library pools were quantified with the KAPA Library Quantification Kit  
474 (Roche) and sequenced using 75bp PE reads on the NextSeq500 platform (Illumina).

475

#### 476 Adipocyte differentiation

477 3T3-L1 pre-adipocytes were treated as previously described (17).

478

#### 479 In vitro MRD model

480 Carboplatin (Cambridge Bioscience) concentrations were optimized for each cell line in order to obtain  
481 more than 90% cell killing after a 2 weeks treatment (5 µg/ml for KURAMOCHI, 3 µg/ml for  
482 OVCAR5, 2 µg/ml for OVCAR8). RNA was extracted using the RNAqueous-Micro Total RNA  
483 Isolation Kit (ThermoFisher Scientific) and retro-transcribed with TaqMan Reverse Transcription  
484 Reagents (ThermoFisher Scientific). All the quantitative Real Time PCR experiments were performed  
485 on the CFX BioRad system using SYBR Green PCR Master Mix (ThermoFisher Scientific).

486

487

488 OCR analysis

489 Cells were seeded in XFe96 Cell Culture Microplates (Seahorse) at 70%–80% confluency and  
490 incubated at 37°C in 5%CO<sub>2</sub> atmosphere. After 24h OCR was measured on the XF96 Analyzer  
491 (Agilent) using the Seahorse XF Cell Mito Stress Test Kit (Agilent) according to the manufacturer's  
492 instructions.

493

494 Colony Forming Assays

495 Cells from the MRD in vitro model were plated on 12-well plates and treated with etomoxir (40µM),  
496 perhexiline (2µM) or DMSO as a control. Cells from the PARP inhibition experiment were plated on  
497 12-well plates and treated with etomoxir (40µM for OVCAR5 and KURAMOCHI, 60µM for OVCAR8,  
498 80µM for SKOv3), olaparib (0.1-10µM for SKOv3, OVCAR5, KURAMOCHI, 0.01-1µM for  
499 OVCAR8), or the combination of two drugs.

500 After 2 weeks all cells were washed with PBS, fixed with cold methanol and stained with crystal violet  
501 solution (0.5g CV in MilliQ water/20% methanol) for 30 mins, followed by washing. Plates were  
502 scanned and individual colonies were counted. For OVCAR5 cells, which do not grow in discrete  
503 individual colonies, a relative measure of cell number was instead determined by solubilising the  
504 staining with 10% acetic acid then measuring the absorbance at 590nm.

505

506 Transfections

507 Transient knock-down experiments were performed by transfection with a validated nontargeting  
508 siRNA or SIRT1 siRNA (SMARTpool, ON-TARGETplus, Horizon Discovery), using Dhermafect 4  
509 transfection reagent (Horizon Discovery) according to the manufacturer's instructions. Cells were  
510 reverse transfected with siRNAs for up to 72 hr before they were harvested to obtain RNA for  
511 quantitative Real time PCR experiments.

512

513 Mass spectrometry-based lipidomics

514 Cells were harvested in HKM buffer (50 mM HEPES, 50 mM KOH, 150 mM KCl, 5 mM MgCl<sub>2</sub>, pH  
515 7.5) and lipidomics analysis was performed as previously described (17, 28, 46).

516 Preprocessing of RNA-seq data

517 Sequencing reads from fastQ files were trimmed for adapter sequences and quality with Trim Galore!,  
518 mapped to the UCSC hg19 human genome assembly using STAR (v2.4.2a) and read counts were  
519 obtained using subread FeatureCounts (v1.4.5-p1).

520

521 Pseudotime analysis

522 We used the R package PhenoPath (11) to perform the pseudotime analysis that projected the high-  
523 dimensional transcriptomic data to one dimension, in which we compared the OXO-PCR cohort and  
524 the TCGA dataset.

525

526 Differential Expression Analysis

527 Differential Expression analysis was carried out using edgeR (v3.10.5).

528

529 Biological Processes and Reactomes Enrichment Analysis

530 The statistical overrepresentation was performed with PANTHER (v14.1) and the threshold for  
531 significance was set at  $FDR < 0.05$ .

532

533 Deconvolution of OXO-PCR RNA-Seq data

534 To estimate the proportions of five previously identified molecular signatures (differentiated, KRT17  
535 cluster, EMT, cell cycle and ciliated), we used the deconvolution algorithm, CIBERSORT (47), and the  
536 reference matrix derived from single-cell RNA-seq data of human fallopian tubes in our previous work  
537 (22). The deconvolution analysis was applied on OXO-PCR samples of over 100,000 read counts. The  
538 CIBERSORT R script v1.04 (last updated 10-24-2016) was downloaded from the CIBERSORT website  
539 (<https://cibersort.stanford.edu>) and ran locally in R v3.6.0. The proportions of five molecular signatures,  
540 i.e. scores, were calculated by applying the linear support vector regression, which was incorporated in  
541 the CIBERSORT function, on the raw expression profiles of each tumor sample. The deconvolution  
542 analysis was performed in the relative mode and, thus, for each tumor the scores of five molecular  
543 signatures added up to one.

544 Analysis of TCGA and AOCS data

545 TCGA “IlluminaHiSeq UNC” RNA-seq dataset (version: 2017-10-13) was downloaded from the  
546 UCSC Xena Data Hub (<https://tcga.xenahubs.net>) (48) (12). The AOCS dataset was downloaded from  
547 GSE9899 (24). TCGA and AOCS data were transferred to a non-log-linear space and then deconvolved  
548 in the same way as the OXO-PCR RNA-Seq data. Samples were partitioned into three groups, EMT-  
549 low, -middle and -high groups for each dataset. We compared the expression of five genes related to  
550 lipid metabolism between EMT-high and EMT-low samples by using differential expression analysis  
551 (limma voom) (49).

552

553 Data availability

554 The bulk and LCM RNA sequencing datasets are deposited at Gene Expression Omnibus (GEO  
555 accession number: GSE132107 and GSE162714).

556

557 Statistics

558 Data were analyzed for statistical difference using 2-tailed unpaired Student’s t test for 2-group  
559 comparisons (GraphPad Prism 9.0.1). Statistical significance was defined as a p value of less than 0.05.

560

561 Study approval

562 The cases in this study were recruited under the Gynaecological Oncology Targeted Therapy Study 01  
563 (GO-Target-01, research ethics approval #11-SC-0014) and the Oxford Ovarian Cancer Predict  
564 Chemotherapy Response Trial (OXO-PCR-01, research ethics approval #12-SC-0404). All participants  
565 involved in this study were appropriately informed and consented.

566

567

568 **Author contributions**

569 Conceptualization, Project Administration, and Supervision, A.A.A., T.S., R.C.B and M.A.; Funding  
570 Acquisition, A.A.A., T.S., R.C.B. and Z.L.; Investigation, M.A, C.Y., Z.H., K.M., P.C.R., G.M., N.W.,  
571 M.M., K.C., M.N., C.E.Z., S.E., L.C., T.M. and A.Alsaadi; Discussion and Interpretation, M.A, A.A.A.,  
572 T.S., C.Y., A.L.H., R.W.K., C.S.E., Z.H., K.M., P.C.R., G.M., N.W., M.M., F.G., K.C., M.N., C.E.Z.,

573 S.E., S.B., T.M., A.Albukhari and A.Alsaadi L.S.G; Data Curation, M.A., A.A.A., C.Y. and Z.H.;  
574 Methodology and Formal Analysis and Visualization, M.A., A.A.A., C.Y., Z.H., R.W.K., C.S.E. and  
575 P.C.R.; Writing – Original Draft, M.A., A.A.A. and A.Albukhari.; Writing – Review & Editing, A.A.A.,  
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577

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591 Address correspondence to: Ahmed A. Ahmed, Ovarian Cancer Cell Laboratory, MRC Weatherall  
592 Institute of Molecular Medicine, University of Oxford, Oxford OX3 9DS, UK. Phone: +44 (0)1865  
593 222327; Email: ahmed.ahmed@wrh.ox.ac.uk

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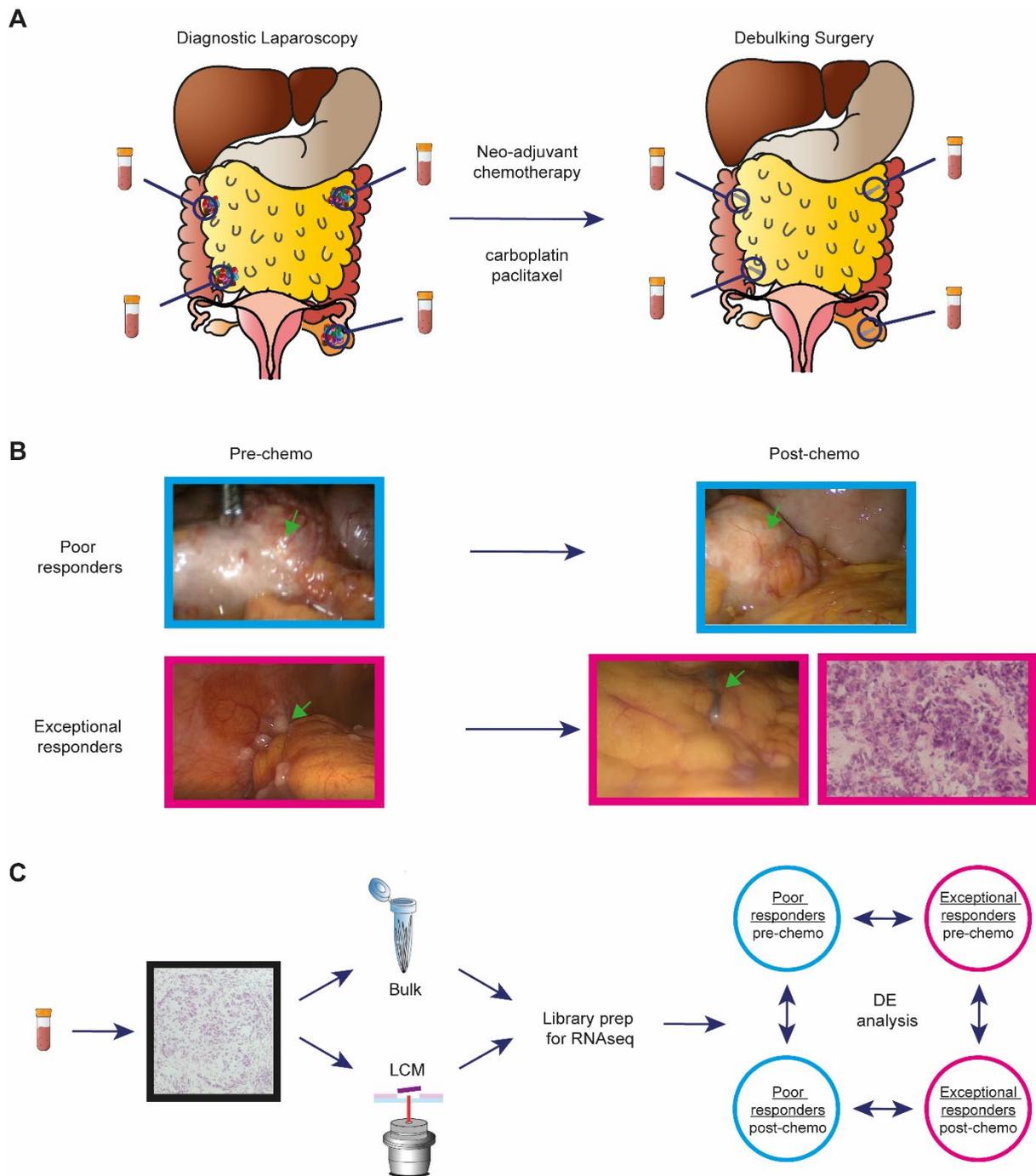
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732 **Figure 1. Intra-operative identification and sampling of MRD in ovarian cancer patients.**

733 (A) Diagram shows the sampling technique used in the OXO-PCR study. All 17 patients had paired  
 734 biopsies collected at the time of diagnostic laparoscopy (pre-chemo) and during the interval debulking  
 735 surgery that followed at least three cycles of neo-adjuvant chemotherapy (post-chemo).

736 (B) Representative images showing the tumor burden in poor and exceptional responders before and  
 737 after treatment. The MRD cancer islets are not visible during the interval debulking surgery and can  
 738 only be detected with a hematoxylin and eosin staining of the biopsy.

739 (C) Diagram shows the RNA sequencing pipeline. Each biopsy was cryo-sectioned, stained and  
 740 assessed by a gynecological oncology pathologist to confirm presence of cancer cells; RNA-seq

741 libraries were prepared from both bulk and Laser Capture Microdissected material, followed by  
742 differential expression analysis across timepoints and response groups.

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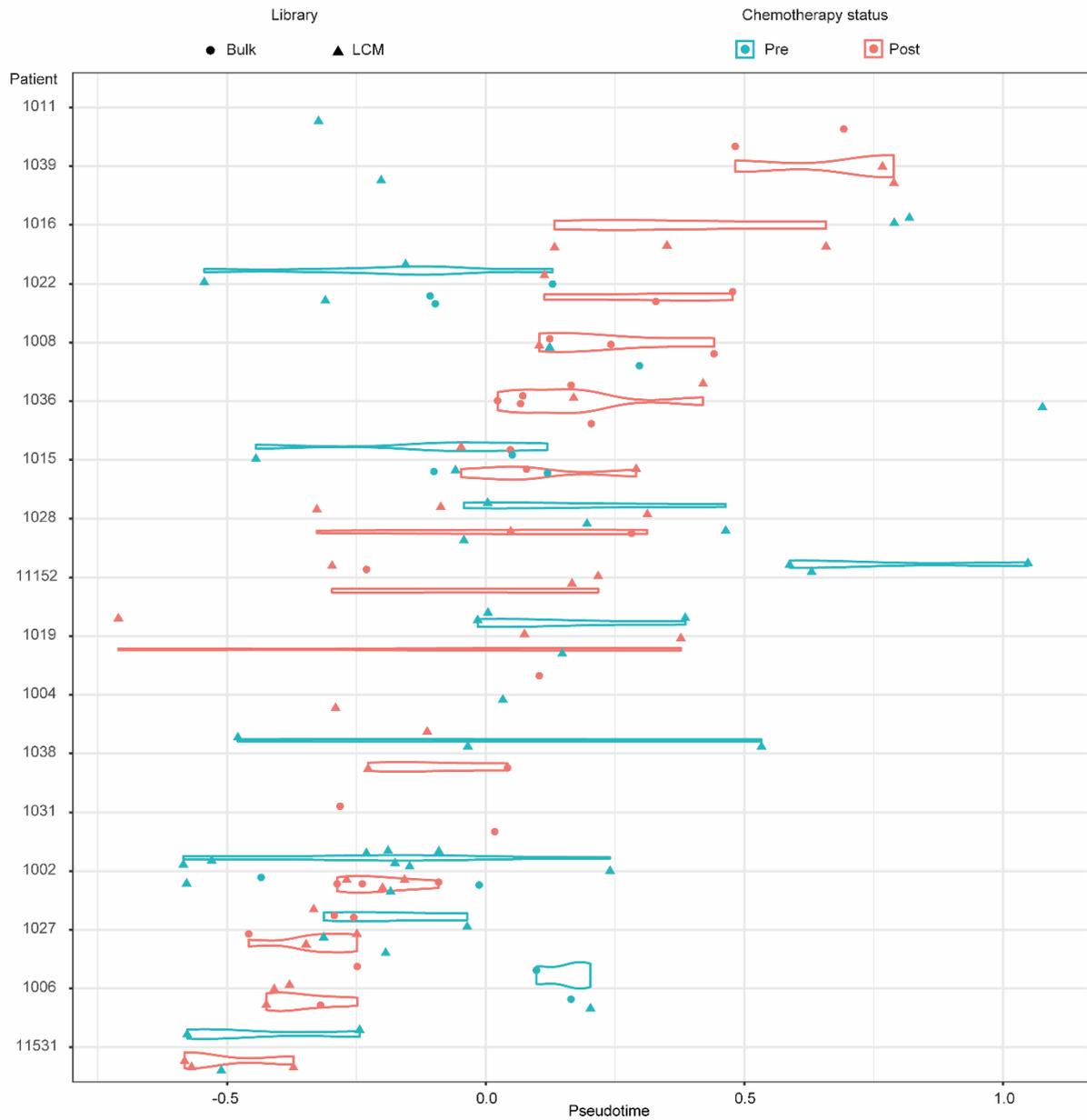
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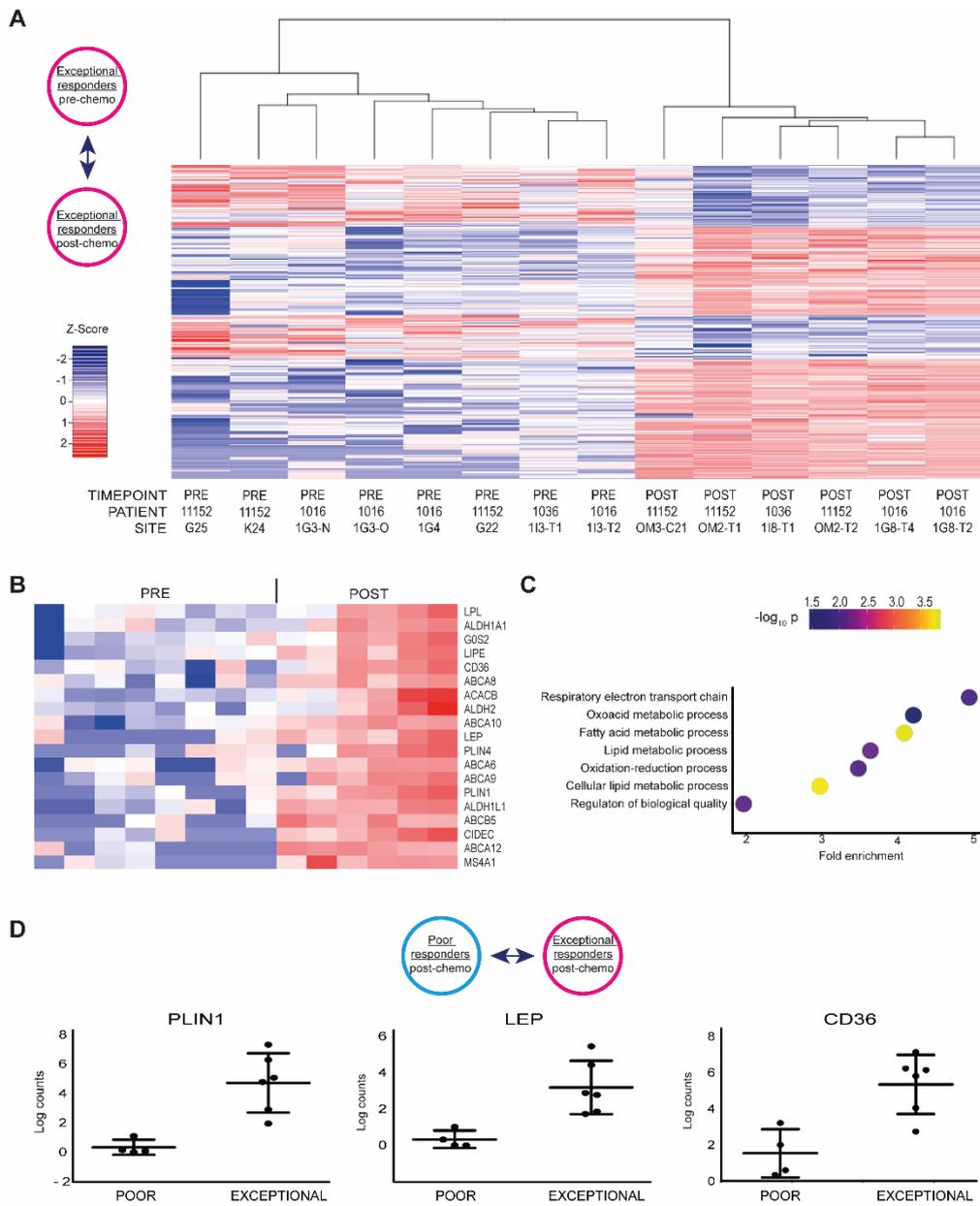


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754 **Figure 2. Pseudotime analysis reveals limited intra-patient heterogeneity.**

755 Pseudotime analysis shows that samples from the same patient cluster together on the pseudotime  
 756 gradient. Patients 1016, 1036 and 11152 are exceptional responders; patients 1015, 1038 and 1006 are  
 757 poor responders.

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760 **Figure 3. LCM-guided RNA-seq of HGSOc MRD cells identifies specific adipocyte-like and**  
 761 **TICs signatures.**

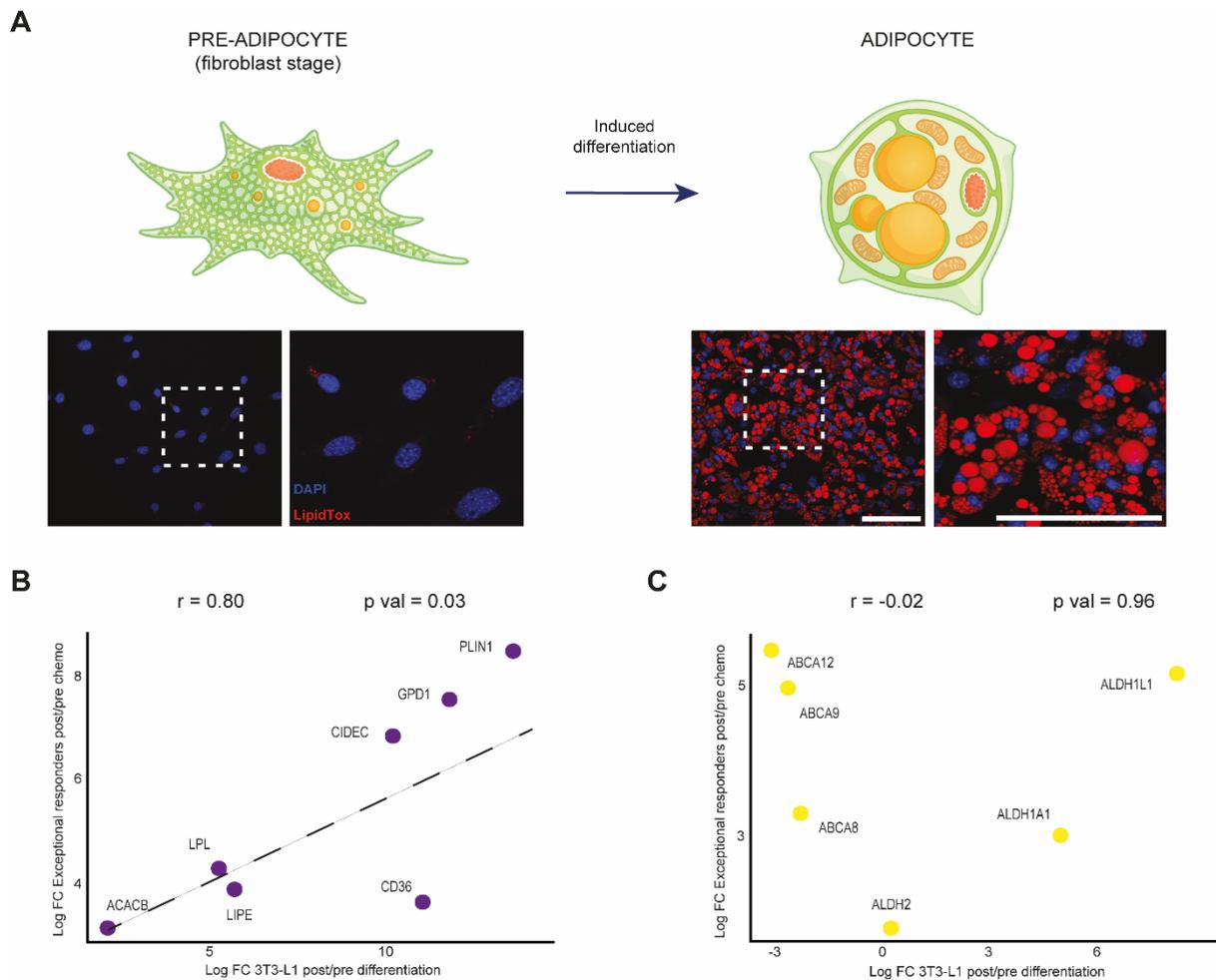
762 (A) Heatmap shows the 356 differentially expressed genes obtained comparing the transcriptomes of  
 763 exceptional responders before and after treatment.

764 (B) Heatmap shows selected genes from the adipocyte-like and TICs signatures upregulated in MRD.  
 765 The order of the samples is the same used in (A).

766 (C) Dot plot shows the main biological processes enriched in the post-chemotherapy samples of the  
 767 exceptional responder patient 1016.

768 (D) Graphs show expression levels of genes from the adipocyte-like signature in poor and exceptional  
 769 responders after treatment.

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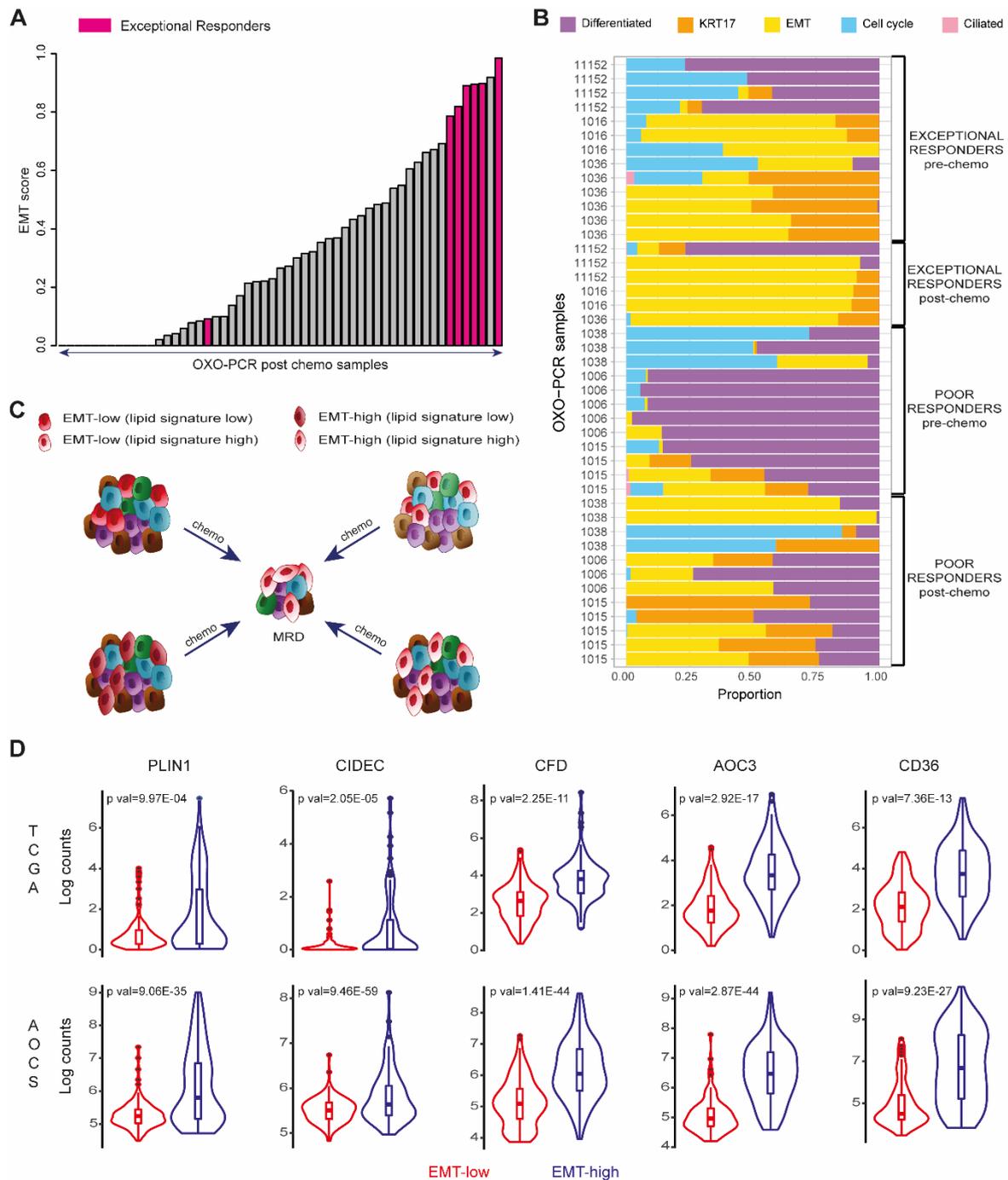
772 **Figure 4. The transcriptome of MRD cells resembles differentiated adipocytes.**

773 (A) Diagram in the upper panel represents the differentiation of 3T3-L1 cells into adipocytes. In the  
 774 lower panel, fluorescent images with LipidTox staining show lipid droplets accumulation upon  
 775 differentiation. Scale bars, 100  $\mu\text{m}$ .

776 (B) Scatter plot shows a positive correlation for lipid metabolism genes between the  $\log_2\text{FC}$  observed  
 777 in the exceptional responders (post/pre chemo) and the  $\log_2\text{FC}$  in the 3T3-L1 differentiation  
 778 experiment (post/pre expression ratios).

779 (C) Scatter plot shows absence of correlation for ABC-transporters and TICs genes between the  
 780  $\log_2\text{FC}$  observed in the exceptional responders (post/pre chemo) and the  $\log_2\text{FC}$  in the 3T3-L1  
 781 differentiation experiment (post/pre expression ratios).

782



783

784 **Figure 5. HGSOc MRD cells display EMT features.**

785 (A) Bar plot shows the EMT score of all the post-chemo OXO-PCR samples calculated using our  
786 deconvolution-based classifier (see methods).

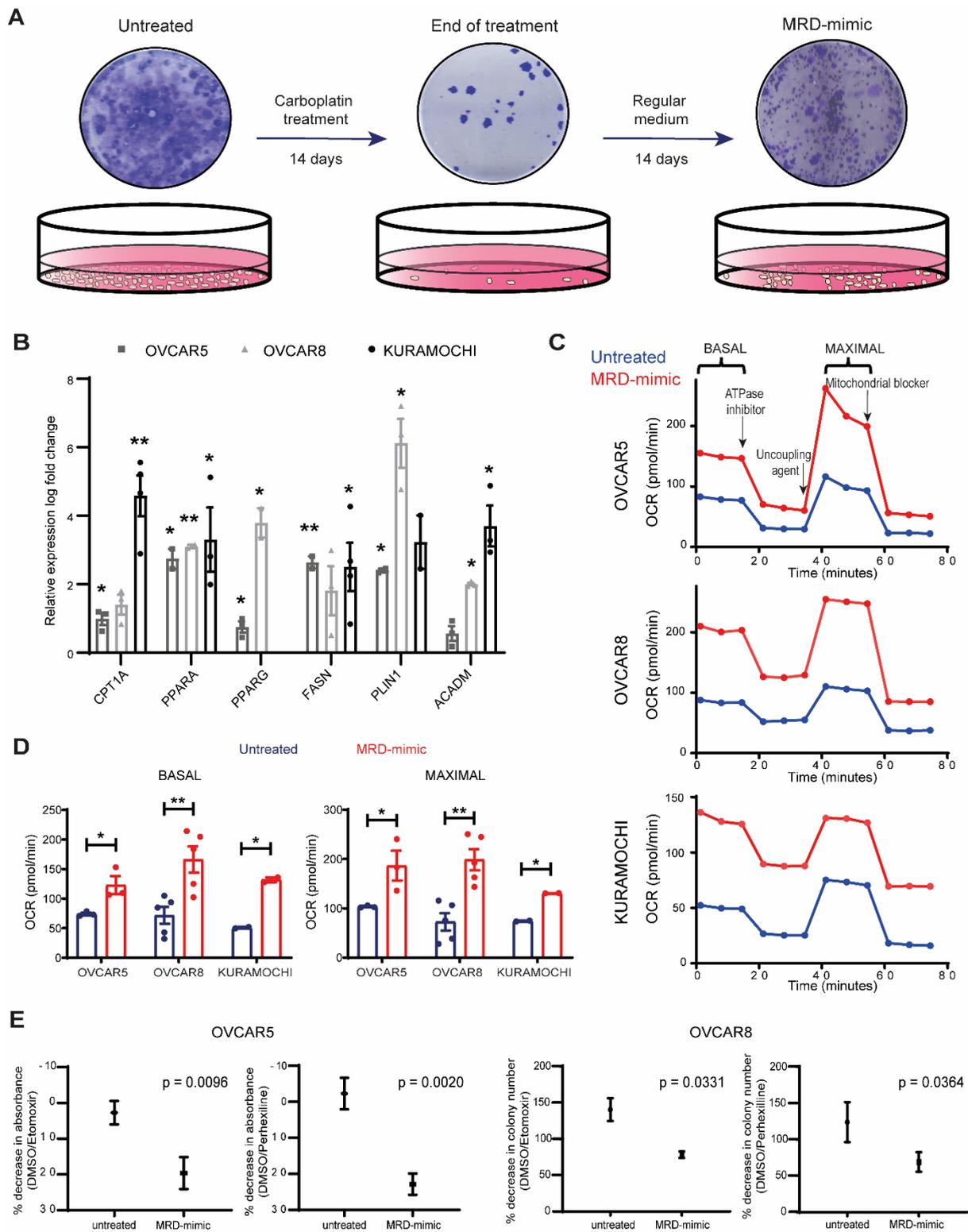
787 (B) Stacked bar plot visualizes the deconvolution result of 44 bulk and LCM tumor samples collected  
788 from 6 patients (3 poor responders and 3 exceptional responders). Colors of the bars denote the 5 cell  
789 states as shown in the legend.

790 (C) The diagram proposes alternative models to explain the adipocyte-like state observed in MRD.  
791 The lipid metabolism signature could be selected upon treatment (top left, bottom right), with either  
792 the co-existence of lipid-high and EMT-high phenotypes in the same cells (bottom right) or not (top  
793 left) prior to chemotherapy. Alternatively, the adipocyte-like state may be induced by chemotherapy

794 (top right, bottom left) and the EMT features may be already present before treatment (top right) or  
795 not (bottom left) prior to chemotherapy. The different colors are used to represent tumor heterogeneity  
796 and possible clonal populations.

797 (D) Violin plots show the expression levels of lipid metabolism genes in the EMT-high samples  
798 compared with the EMT-low ones across the TCGA and AOCS datasets (p values were computed by  
799 limma voom).

800



807 (B) Quantitative real-time PCR of genes from the lipid signature in MRD-mimic cells. The graph  
808 represents log fold change of mean expression relative to untreated cells; error bars represent the  
809 standard deviation from n=3 biological replicates. A 2-tailed t test was used to calculate the p values  
810 (\*p < 0.05, \*\*p < 0.01). (KURAMOCHI cells do not express *PPARG*).

811 (C-D) Representative pattern of OCR as a function of time (min) normalized to DNA content in  
812 untreated and MRD-mimic cells (D). Bar plots show means ± SEM basal (left) and maximal (right)  
813 OCR from n=3 (OVCAR5), n=5 (OVCAR8) and n=2 (KURAMOCHI) independent experiments. A  
814 2-tailed t test was used to calculate the p values (\*p < 0.05, \*\*p < 0.01).

815 (E) Graphs show quantification of colony forming assays for OVCAR5 and OVCAR8 untreated and  
816 MRD-mimic cells incubated with CPT1 inhibitors (see methods). A 2-tailed t test was used to  
817 calculate the p values from n=3 independent experiments.

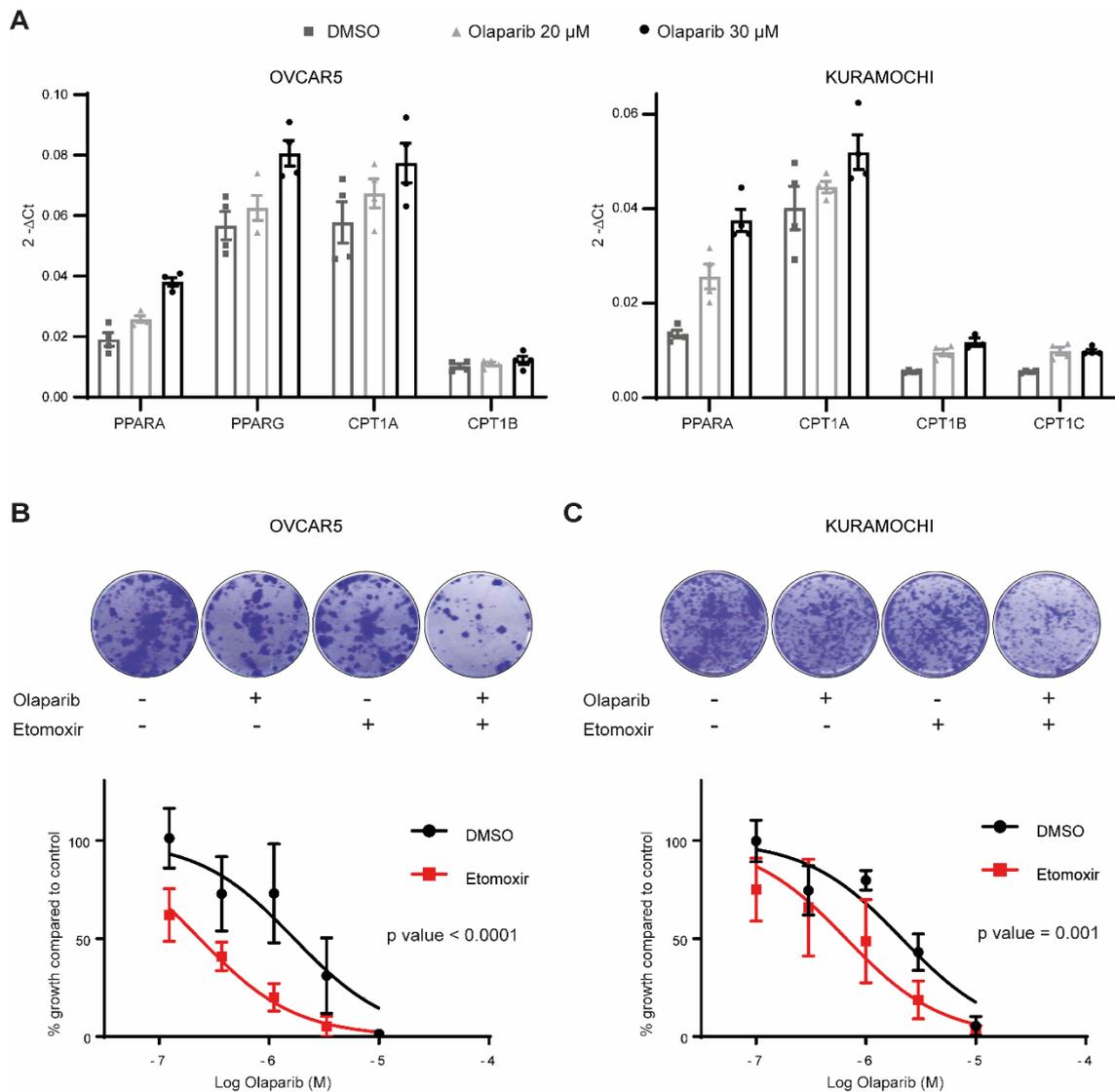
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824 **Figure 7. Inhibiting fatty acid oxidation enhances the cytotoxic effects of olaparib.**

825 (A) Quantitative real-time PCR of lipid metabolism genes in OVCAR5 (left) and KURAMOCHI  
 826 (right) cells treated with different concentrations of the PARP inhibitor olaparib. The graph represents  
 827  $2^{-\Delta C_t}$  of four technical replicates from n=1.

828 (B) Representative images from colony forming assays of OVCAR5 cells treated with olaparib and 40  
 829 μM etomoxir (upper panel). Graph shows dose response to olaparib treatment with and without  
 830 etomoxir (lower panel). A comparison of fits (F test) was performed on n=3 independent experiments.

831 (C) Representative images from colony forming assays of KURAMOCHI cells treated with olaparib  
 832 and 40 μM etomoxir (upper panel). Graph shows dose response to olaparib treatment with and without  
 833 etomoxir (lower panel). A comparison of fits (F test) was performed on n=3 independent experiments.

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