

## The complement system in COVID-19: friend and foe?

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COVID-19, the disease caused by severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) has resulted in a global pandemic and a disruptive health crisis. COVID-19-related morbidity and mortality has been attributed to an exaggerated immune response. The role of complement activation and its contribution to illness severity is being increasingly recognized. Here, we summarize current knowledge about the interaction of coronaviruses with the complement system. We posit that: (a) coronaviruses activate multiple complement pathways; (b) severe COVID-19 clinical features often resemble complementopathies; (c) the combined effects of complement activation, dysregulated neutrophilia, endothelial injury, and hypercoagulability appear to be intertwined to drive the severe features of COVID-19; (d) a subset of patients with COVID-19 may have a genetic predisposition associated with complement dysregulation; and (e) these observations create a basis for clinical trials of complement inhibitors in life-threatening illness.

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## **The Complement System in COVID-19: Friend and Foe?**

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## **Abstract**

COVID-19, the disease caused by severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) has resulted in a global pandemic and a disruptive health crisis. COVID-19-related morbidity and mortality has been attributed to an exaggerated immune response. The role of complement activation and its contribution to illness severity is being increasingly recognized. Here, we summarize current knowledge about the interaction of coronaviruses with the complement system. We posit that: 1) coronaviruses activate multiple complement pathways; 2) severe COVID-19 clinical features often resemble complementopathies; 3) the combined effects of complement activation, dysregulated neutrophilia, endothelial injury, and hypercoagulability appear to be intertwined to drive the severe features of COVID-19; 4) a subset of patients with COVID-19 may have a genetic predisposition associated with complement dysregulation; and 5) these observations create a basis for clinical trials of complement inhibitors in life-threatening illness.

## **Introduction**

The emergence of the coronavirus-induced disease-2019 (COVID-19) pandemic, caused by the severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2), has resulted in a rapid response from the global scientific community to better understand its pathophysiology (1-3). Despite knowledge gained from SARS-CoV and Middle Eastern respiratory virus-CoV (MERS-CoV) outbreaks, many aspects of this pandemic are unique to SARS-CoV-2. For example, COVID-19 has a wide spectrum of presentation, ranging from asymptomatic carriers to severe infections resulting in death (4, 5), with 5-25% of patients requiring ICU level of support (6, 7). Critically ill patients suffer from acute respiratory failure, circulatory shock, acute renal failure, thrombotic complications, including cerebrovascular accident (CVA), myocardial infarction, and pulmonary embolism, and a hyperinflammatory state (8). Although multi-organ involvement has

been the hallmark of severe SARS-CoV-2 infection, certain features specific to COVID-19 are being increasingly recognized (8). One such example is the rapid clinical deterioration in cardiorespiratory status, reminiscent of cytokine release syndrome (9-11). In addition, a subset has clinical and laboratory evidence of ongoing thromboses, confirmed in multiple autopsy reports, with features that overlap with thrombotic microangiopathies (TMAs) (12-14). These reports, in combination with results from both targeted and unbiased proteomic studies, have increased the likelihood that complement activation is a key contributor to the COVID-19 pathogenesis (12-17). In this review, we summarize interactions between coronaviruses and the complement system, with a specific focus on SARS-CoV-2. We also describe clinical trials that are underway for the treatment of severe COVID-19.

### **Interplay between SARS-CoV-2 and the complement system**

*Antiviral strategies.* The complement system traces its origins to more than a billion years ago when primitive proteins evolved to protect cells from pathogens and to engage in intracellular metabolic processes (18, 19) (Figure 1A). The contemporary complement system lies at the interface between innate and adaptive immunity (20). It efficiently recognizes and eliminates viral pathogens via several mechanisms: opsonizing viruses and virus-infected cells (including lysing them), inducing an antiviral immunoinflammatory state, boosting virus-specific immune responses, and directly neutralizing cell-free viruses (21) [reviewed in (22)].

*Antihost strategies.* Viruses seek to disable and exploit complement activation using varied strategies [reviewed in (23)]. For example, poxviruses attenuate complement activation by expressing a protein with functional and structural homology to complement regulatory proteins (24). Similarly, flaviviruses hijack complement regulators to avoid their engagement and thereby inhibit antiviral activity [reviewed in (23)]. Certain viruses, such as HIV-1, recruit host

complement regulators into their virions (23). In some of these cases, C3 attaches to the surface of viruses and may be carried intracellularly; however, the ability of viruses to cleave C3 may affect host antiviral responses (25). Whether coronaviruses exhibit such antiviral strategies (i.e., disabling and exploiting the complement system) remains to be answered.

*Coronavirus-mediated complement activation.* Emerging in vitro and in vivo data indicate that complement activation plays a critical role in pathogenesis and disease severity of SARS-CoV and SARS-CoV-2. Utilizing virus-infected C3<sup>-/-</sup> mice, Gralinski et al. assessed complement activation in SARS-CoV infection (26). C3 activation products (C3a, C3b, iC3b, C3c, and C3dg) were observed in the lung as early as 1-day after SARS-CoV infection. Lung injury and weight loss were significantly reduced in the absence of C3 despite an unchanged viral load. Further, substantially fewer neutrophils and inflammatory monocytes, as well as lower cytokine and chemokine levels, were detected in C3-deficient mice. Additionally, factor B<sup>-/-</sup> or C4<sup>-/-</sup> mice also had less weight loss than WT mice (26), suggesting that complement activation enhances pulmonary pathology and SARS-CoV infection-associated systemic illness. A pre-print by Gao et al. reported that the secreted nucleoprotein (N protein) dimers of MERS-CoV, SARS-CoV-1, or SARS-CoV-2 autoactivate mannan-binding lectin-associated serine protease 2 (MASP-2), the primary enzymatic initiator of the lectin pathway (LP) (Figure 1B) (27). MASP-2 activation leads to generation of C3 convertase (via cleavage of C4 and C2) and membrane attack complex (MAC) formation. Moreover, alteration of the MASP-2-binding motif, either via *Masp2* deletion or blocking the MASP-2:N protein interaction, attenuated lung injury. These data, along with human proteomic studies (15, 16), suggest that coronavirus infections involve the activation of multiple complement pathways.

*Natural antibodies.* Natural antibodies are polyreactive germline-encoded antibodies produced by B-1 cells capable of binding evolutionarily fixed epitopes such as phospholipids and glycoproteins (28). A role of natural antibodies in COVID-19 has been suggested by

observations that both the infection and death rates from COVID-19 in hospitals in China, the United States, Spain, and Italy are skewed towards patients with blood group A and away from those with blood group O (29-31). A similar finding has also been previously published for SARS-CoV-1 (32). As type O patients harbor both anti-A and anti-B natural IgM, these antibodies may help to reduce the viral load of their hosts due to early activation of the classical complement pathway and viral clearance prior to the establishment of a pneumonia. In fact, such a hypothesis has been tested in vitro, using a measles virus produced in cells engineered to express only A-type, B-type or O-type carbohydrate epitopes. In studies utilizing human pre-immune serum, neutralizing antibodies were present and prevented viral infection by A-type and B-type, but not O-type, virus in a complement-dependent manner (33). Furthermore, in vitro expression of SARS-CoV-1 spike protein (S protein) ectodomain in CHO cells (also engineered to express A-transferase) demonstrated impaired adherence to ACE2-expressing cell lines when either a S protein-specific monoclonal antibody or isolated human natural IgM anti-A antibodies were used (34). Taken together, these data imply that natural ABO group antibodies serve as an initial barrier to viral infection in a complement-dependent manner.

Interestingly, older patients have reduced diversity of natural IgM antibodies (35). As has been widely reported from data sets from China (36), Italy (37), and the United States (38, 39), the case fatality rate of COVID-19 rises with age. It is tempting to speculate that in the relatively asymptomatic early phases of the disease, reduced natural antibody diversity may lead to poor control of virus clearance. This then allows older patients to be more prone to developing severe pneumonia and other complications of disease.

In sum, many intriguing questions remain unanswered and will require further in-depth study. For example, how is SARS-CoV-2 protected from complement-mediated inactivation strategies? Does SARS-CoV-2 exploit complement or its receptors to gain entry into cells? Does promotion of complement activation assist viral pathogenesis? Investigating the temporal nature of the

host immune response to SARS-CoV (vis-à-vis complement proteins) will likely provide additional insights and facilitate the personalizing of therapeutics to both promote host defense and minimize tissue injury.

### **Clinicopathological features of COVID-19 indicative of complement activation**

*General features.* Soluble markers of complement activation have been observed in patients with COVID-19. In a single-center case series from Italy, increased levels of plasma C5a and sC5b-9 were noted in patients with moderate (patients requiring continuous positive airway pressure) and severe (mechanically ventilated) COVID-19 (40). In addition, complement fragment deposition has been reported in multiple organs in patients with COVID-19. For example, septal capillary injury in the lungs of those who died of respiratory failure is accompanied by extensive deposits of C5b-9, C4d, and MASP-2 in the microvasculature (13). A similar pattern has been demonstrated in the skin of patients with retiform and purpuric lesions (13). Moreover, C4d and C5b-9 colocalized with the SARS-CoV-2 S-protein in the lung and skin vasculature (13). At autopsy, strong C5b-9 staining was demonstrated on the apical brush border of tubular epithelial cells of the kidney with minimal deposition on glomeruli and capillaries (41). We and others have proposed that the relative lack of complement regulators on the proximal tubular cells in the kidney makes them more susceptible to complement-mediated injury (42, 43). In further support of this hypothesis, genetic variants in two complement regulators, DAF (also known as CD55) and FH, have been associated with SARS-CoV-2 infection (44) and have previously been linked to atypical hemolytic uremic syndrome (aHUS) and age-related macular degeneration (AMD), which are associated with haploinsufficiency of complement regulatory proteins (45). Of interest, AMD was identified as a risk factor for mortality in COVID-19 (44). While these associations need to be evaluated in

independent cohorts, they suggest that activation of the lectin and alternative pathways of complement is preferentially occurring in COVID-19.

There are certain clinicopathologic features of COVID-19 that are distinct from the described complementopathies. In contrast to the relatively limited number of organs affected in AMD and aHUS (which is a renal-predominant TMA although extrarenal manifestations have been described), COVID-19 has a wider spectrum of organ involvement. This may be a result of the broad organ tropism of SARS-CoV-2 (46) and resultant damage induced by both the virus and the immune response to it, which is especially true in the lung. Additionally, evidence of hemolysis has not been observed in COVID-19 (47).

*Endotheliopathy.* Histopathologic features in COVID-19 suggest a complement-mediated endotheliopathy. Endothelial cell abnormalities have been observed in numerous organs including the kidney, lung, heart, small bowel, and liver (48, 49). Endothelial cell swelling with variable foamy degeneration was present in the glomeruli of five of 26 deceased COVID-19 patients (50). In three additional patients, segmental fibrin thrombi in glomerular capillary loops were identified and associated with severe injury to the endothelium (50). Patients with COVID-19 have also been found to have biopsy-proven TMA (51 and A. Chan, personal communication). These cytomorphologic changes in the endothelium are prototypic of complement-mediated injury induced by C5b-9 (52). Indeed, endothelial C5b-9 deposition in COVID-19 patients has been observed by Magro et al. (13). Given the prevalence of ACE2 expression on vascular endothelium, it is hypothesized that the endothelium is infected by SARS-CoV-2 (49), which induces injury, activates complement, and sets up a feedback loop that perpetuates inflammation (53). In support of this hypothesis, substantially elevated levels of von Willebrand factor (vWF) have been identified in patients with severe SARS CoV-2 infection, consistent with endothelial injury (54, 55). Hypertension, diabetes, obesity, and established cardiovascular disease have also been identified as independent COVID-19 risk factors,

indicating that pre-existing conditions associated with endothelial dysfunction may heighten risk of both susceptibility and adverse outcomes in this illness (38). Additionally, other triggers specific to COVID-19 that could lead to endotheliopathy include neutrophilic extracellular traps (NETs) (56) and hypoxia (57).

*Hypercoagulable state.* A hypercoagulable state distinct from disseminated intravascular coagulation (DIC) is superimposed upon and likely contributes to the endothelial injury and complement activation in severe SARS-CoV-2 infection (55). Complement activation has been described in severe cases, and an admission D-dimer level > 1 µg/L predicts an 18-fold increase of in-hospital mortality (58). However, distinguishing features of hypercoagulability in COVID-19 include, a normal prothrombin time (PT) but activated partial thromboplastin time (aPTT), an elevated fibrinogen level, a normal or increased platelet count, near normal antithrombin level, and increased protein C and factor VIII levels (55).

Although most patients with COVID-19-associated acute respiratory distress syndrome (ARDS) present with varying degrees of diffuse alveolar damage, a subset of patients have increased dead space ventilation with high compliance, suggestive of pulmonary microthrombi (13, 59, 60). Autopsy studies in some patients with COVID-19 have demonstrated a septal microangiopathy characterized by endothelial cell injury, mural fibrin deposition, and variable intraluminal thrombus formation (61, 62). In patients presenting with a CVA, thrombotic manifestations are more frequent than hemorrhage (63). Particularly, those younger than 50 years of age have been reported to present with large-vessel stroke, a feature of macrovascular thrombosis also observed in aHUS and thrombotic thrombocytopenic purpura (TTP) (64). Clots in the renal vasculature can contribute to acute kidney injury (AKI) or to myocardial infarction if present in the coronary arteries (65). Another possible link between complement activation and

hypercoagulability is that dysfunction of complement regulatory proteins due to underlying genetic alterations may contribute to the pathogenic mechanisms of COVID-19, as suggested in a preprint by Ramlall et al (44). Such impaired complement regulation could be implicated in both micro- and macro-vascular thrombotic events such as stroke, in addition to primary or secondary TMA (66). In sum, as underlying pathogenic mechanisms are better elucidated, therapeutics can be more appropriately timed and targeted to the injury state (i.e., endothelial injury, hypercoagulability or complement activation).

### **Mechanisms of complement-mediated injury in COVID-19**

*NETs*. Neutrophils and complement are key sentinels of innate immunity and act hand-in-hand to defend the host against invading pathogens and to maintain homeostasis (67). For example, complement opsonization aids in NET generation, while blockade of complement receptor 1 (CR1) and complement receptor 3 (CR3) inhibits NETosis (a type of programmed cell death) in response to certain pathogens. The ability of a pathogen to induce NETosis might therefore inversely correlate with its ability to evade complement activation and opsonization. A pathogen that can prevent complement deposition is probably a less efficient NET inducer (67).

In the case of SARS-CoV-2, an exaggerated host response in patients with COVID-19 centers around the aberrant activation of neutrophils particularly in the lung (68, 69). Autopsy reports from those with COVID-19 demonstrated neutrophil infiltration in pulmonary capillaries, acute capillaritis with fibrin deposition, extravasation of neutrophils into the alveolar space, and neutrophilic mucositis (69). Neutrophilia predicts poor outcomes in patients with COVID-19 and the neutrophil-to-lymphocyte ratio is an independent risk factor for severe disease (70).

Furthermore, elevated levels of NET-specific markers myeloperoxidase DNA and citrullinated histone H3 were observed in the sera of COVID-19 patients (69).

Activated neutrophils and NETs contain C3, factor B and properdin (67). These components are needed to generate and stabilize the AP C3 convertase, thereby amplifying the cascade. The biological relevance of complement activation on NETs is also speculated to facilitate the formation of the anaphylatoxins, C3a and C5a, which further induce recruitment and activation of neutrophils, monocytes, and eosinophils, as well as the production of pro-inflammatory cytokines. Thus, although NETs are beneficial in the host defense against pathogens, sustained NET formation as seen in COVID-19 can trigger a cascade of inflammatory reactions that damage and destroy surrounding tissues despite the lack of immune cell infiltration.

Complement and neutrophil activation also work in concert to drive severe COVID-19 manifestations by creating a coagulopathic milieu, thus generating microthrombi leading to acute lung, kidney, and cardiac injury, as described above and as elaborated below (67). Complement activation, in conjunction with neutrophilia and dysregulated NET formation, is linked to ARDS, pulmonary inflammation, and thrombotic events resulting in permanent multiorgan damage. NETs initiate arterial and venous thrombosis by activating the contact pathway of coagulation and enhancing other prothrombotic pathways, resulting in excessive thrombin generation and subsequent C5a generation (67). Thus, we speculate that there is a feedback loop whereby complement activation induces NETosis, which in turn leads to pro-coagulant activity (e.g., that of thrombin) and ongoing complement activation to further enhance NET formation (Figure 2).

*Complement activation and hypercoagulability.* The question as to whether complement activation is driving the features of hypercoagulability and microthromboses in COVID-19 is

currently an area of immense interest (53). The reasons for the association between the complement and coagulation systems include an evolutionary history that indicates a common origin and recent research revealing considerable cross-talk between the two biological processes. The mutual engagement of both systems has been particularly recognized in paroxysmal nocturnal hemoglobinuria (PNH), aHUS, and the antiphospholipid syndrome (71). For example, it has long been known that thrombin can activate C5 in the absence of C3 via a convertase-independent mechanism (72). Similarly, vWF has a complex role in coagulation and reciprocally regulates complement, depending on multimer size (73). Small vWF multimers potentiate the actions of the complement inhibitor factor I, facilitating C3b inactivation, while ultra-large VWF multimers, as observed after tissue injury, provide a binding platform for C3b to trigger complement activation via the AP (74, 75). Factor XII cleaves C1s and thereby activates the classical complement pathway (76), while kallikrein cleaves both C3 and factor B (77). Platelets themselves may facilitate as well as amplify complement activation (78, 79).

Furthermore, C5a exerts a prothrombotic effect by upregulating tissue factor and PAI-1 expression by endothelial cells and monocytes (80, 81). The disruption of endothelial cells and platelets by the MAC provides a nidus for prothrombinase assembly (82), and MASPs (initiators of the lectin pathway) have been shown to cleave prothrombin to thrombin (83). Interestingly, not only does complement activation facilitate coagulation, but also certain aspects of the cascade interfere with anticoagulation. For example, heparan sulfate is a proteoglycan on endothelial cells that dampens local inflammation and inhibits coagulation. However, endothelial injury is associated with shedding of heparan sulfate via both complement-dependent (exposure to C5a) and independent mechanisms (84, 85).

In addition to these changes that both enhance clot formation and impair the clearance of fibrin, complement activation may also contribute to increased vascular bed resistance in end-organs. For example, a current hypothesis is that angiotensin II levels are locally elevated

in critically ill patients with severe COVID-19, at least in part due to downregulation of ACE2 on different cell surfaces (86). In pre-clinical models of angiotensin II-associated vasculopathy, locally elevated C-reactive protein and TNF- $\alpha$  levels induced C3 expression and were associated with complement activation (defined by elevated C1q, C3, C3c and C5b-9) in the vessel media (87). Thus, such mechanisms may create an amplifying loop in vascular beds wherein endothelial injury and complement activation further accentuate the prothrombotic state. Initial reports also suggest that, in addition to elevated fibrin degradation products (e.g., D-dimer), patients with severe COVID-19 have elevated fibrinogen levels, factor VIII activity, and vWF levels (88-90). Platelet count, aPTT, and, occasionally, even PT have been reported as normal or mildly elevated in these patients. Although many of the coagulation components remain to be systematically tested in COVID-19 patients, the currently reported parameters, along with the clinical features, have led to the hypothesis that the coagulation disturbances seen in severe COVID-19 represent a pattern of complement-mediated TMA, rather than DIC (13, 53). Thus, in COVID-19, the crosstalk between the complement and coagulation cascades creates a prothrombotic environment associated with adverse outcomes.

*Hyperinflammation and cytokine storm.* A hyperinflammatory state is observed in patients with severe COVID-19 despite the apparent absence of tissue inflammation outside of the lungs. In a study of 41 hospitalized patients, high plasma levels of proinflammatory cytokines (IL-2, IL-6, IL-7, IL-10, G-CSF, IP-10, MCP-1, MIP-1 $\alpha$ , and TNF- $\alpha$ ) were associated with adverse clinical outcomes, such as ARDS, shock, organ failure, and death (91). Although multiple viral pathogens are known to induce this hyperinflammatory state (92), it is unclear how SARS-CoV-2 infection promotes the so-called “cytokine storm.”

Complement is well known for promoting immune cell activation and proinflammatory states. Anaphylatoxins C3a and C5a are capable of activating neutrophils, mast cells,

monocytes/macrophages, basophils, eosinophils, T cells, and B cells (93). This drives a potent proinflammatory response especially by macrophages and neutrophils, including the expression of TNF- $\alpha$ , IL-1 $\beta$ , and IL-6 (93). As previously noted, elevated plasma C5a was observed in patients with moderate and severe COVID-19 along with elevated IL-6 (40). Furthermore, upregulation of IL-6 can be a direct sequela of enhanced endothelial cell expression of this cytokine due to mannan-binding lectin pathway activation. Thus, while the hyperinflammatory state observed in COVID-19 likely involves numerous players, these observations suggest that complement plays an important role.

Complement genes, including those encoding C1q, C2, factor B, and factor D, are also part of the IFN-stimulated gene (ISG) response (94). Elevated IFN levels and undesirable engagement of complement have been noted in patients with post-transplant TMA (95), suggesting an IFN-complement loop that contributes to a hypercoagulable state. However, SARS-CoV-2 induces an abbreviated ISG signature with low systemic IFN levels (96) that likely serves as a viral evasion strategy. Therefore, how the attenuated IFN response influences complement levels and activity in COVID-19 remains to be determined. Does the early attenuated IFN response reduce complement component expression, preventing sufficient complement activation to assist in viral clearance early in disease? Does the active viral replication later result in hyperproduction of IFN and influx of neutrophils and macrophages leading to a hyperinflammatory state that drives an over exuberant complement response, contributing to severe manifestations such as ARDS? Testing these intriguing hypotheses will shed additional insight into the crosstalk between these systems and will also help to determine the efficacy and timing of IFN administration, which is key to yielding a protective response.

### **Intracellular complement viral defense: the complosome**

Traditionally, complement has been considered primarily a serum-centric system in which complement activation occurs only in the extracellular milieu. Thus, opsonization of viruses would occur outside the cell. However, recent studies reveal that complement also has a rich and robust intracellular arsenal of components, the complosome, that provides immune defense as well as mediates key interactions for host cell functioning [reviewed in (19, 97)]. Further, proteins such as C3 and C5 can be cleaved in a non-canonical manner inside the cell (98, 99). These findings suggest that virus opsonization, especially by C3b, may occur intracellularly as well. For example, C3 plays a role in detecting and disabling intracellular pathogens (25). Tagging the virus with C3b/iC3b and then infecting epithelial cells activated cytoplasmic sensors of C3 and the mitochondrial antiviral signaling pathway. This in turn led to potent responses by NF- $\kappa$ B, activating protein 1, and the IFN regulatory factor transcriptional pathways. These data indicate that intracellular C3 fragments serve as damage-associated molecular patterns (DAMPs) to enhance intracellular innate immunity.

While elucidation of the complosome has centered primarily on T cells (20), the intracellular complement system likely functions in most, if not all cells (19, 97). Defining if and how complement activation modulates these responses in the context of SARS-CoV-2 remains to be identified.

However, a major consideration in how intracellular complement modulates cellular functions is the source of these intracellular proteins. We have previously demonstrated that cells internalize C3 in the form of C3(H<sub>2</sub>O) from the extracellular space, and this internalization increases the production of IL-6 and IL-17 from effector T cells (100). Thus, this rapid internalization of C3 is likely to alter the proinflammatory responses when an exogenous source of C3 is made available (e.g., in the context of barrier disruption and associated plasma leak in acute lung injury), and accelerated turnover from C3 to C3(H<sub>2</sub>O) in the context of ongoing inflammation (101). The recent discovery that intracellular C3 protects human airway epithelial

cells from stress-associated death may also have implications for COVID-19 (102). In this study, airway epithelial cells (AECs) were unique from other cell types in containing large intracellular stores of de novo-synthesized C3. Proinflammatory cytokine exposure increased both stored and secreted forms of C3. Additionally, AECs took up C3 from exogenous sources that then mitigated stress-associated cellular death. These studies suggest that modulation of intracellular C3 in AEC may have beneficial effects. Thus, approaches that inhibit C3 may need to consider its intracellular permeability and effects on cellular viability. Elucidating the effects of both intracellular and extracellular key complement components, such as C3 and C5, could provide a greater understanding of how viruses regulate complement activation and thereby suggest appropriate therapeutic targeting strategies.

### **Targeting complement: current and future trials**

Multiple therapeutic agents targeting complement activation are currently being studied for COVID-19 (Figure 1). The most commonly used complement inhibitor in clinical practice currently is eculizumab, a humanized monoclonal antibody to C5. Eculizumab is FDA-approved for patients with PNH, aHUS, myasthenia gravis, and neuromyelitis optica spectrum disorder (NMOSD) (103). Case series of patients with COVID-19 receiving eculizumab (104) suggest that the drug remains well-tolerated in these patients. However, additional multicentric data, along with a better understanding of how blocking complement affects critically ill patients are urgently needed. As a result, there are two ongoing trials of eculizumab in COVID-19 in Europe [an open label, multicenter, Expanded Access Program (EAP) - NCT04355494 and CORIMUNO19-ECU - NCT04346797 (a phase II trial and part of a larger CORIMUNO19 study)]. While most of these studies primarily focus on adult COVID-19 patients with severe pneumonia, acute lung injury, or ARDS requiring oxygen supplementation, some patients also have features overlapping with aHUS. These cases prompt consideration as to whether most

patients would benefit from this therapy versus a subset of those who have microangiopathy-like features [schistocytes, microthromboses (with end-organ damage) and other laboratory evidence of complement activation]. Importantly, identifying the correct timing to initiate this treatment will likely be a critical issue.

There are ongoing clinical trials examining ravulizumab (phase 3, NCT04369469), IFX-1 (phase 2 and 3, NCT04333420), avdoraliumab (phase, 2, NCT04371367) and AMY-101 (compassionate use). Ravulizumab, like eculizumab, is a recombinant humanized anti-C5 monoclonal antibody which has a prolonged half-life compared to eculizumab (105). Ravulizumab is FDA-approved for use in aHUS and PNH, and its efficacy and safety are currently being evaluated in acute lung injury and cytokine storm associated with COVID-19.

IFX-1 is a monoclonal antibody that blocks the effect of C5a, and therefore keeps the formation of the MAC intact by not interfering with C5b generation or function (106). It has shown efficacy in non-human primate models of toxin- and virus-induced acute lung injury (107, 108). Avdoralimab (IPH5401) is an IgG1- $\kappa$  anti-C5aR1 blocking antibody that has previously been used in phase 1 and 2 studies in combination with checkpoint inhibitors for solid tumors (NCT03665129) and is being studied in patients with COVID-19 (NCT04371367) who need  $\geq 5$  L/min of supplemental oxygen to maintain oxygen saturation (SpO<sub>2</sub>) > 93% or those with ARDS, who need invasive mechanical ventilation with a PaO<sub>2</sub>:FiO<sub>2</sub> ratio < 300 for more than 24 hours. A newer class of C3 inhibitors is being tested for COVID-19-associated ARDS (109), with safety studies having been conducted in non-human primates (110). Another consideration is the use of the MASP-2 inhibitor narsoplimab (OMS721), given the interaction between MASP-2 and the N-protein of SARS-CoV-2 (27).

An especially important consideration for any trial of complement inhibition is the predisposition to infection by encapsulated organisms. This becomes even more important in critically ill patients, including those with COVID-19, many of whom may not have received meningococcal vaccination in the past. Despite this major concern, the safety of eculizumab has

been demonstrated in post-marketing surveillance (111, 112). Nevertheless, a tailored approach for careful patient selection, antibiotic prophylaxis during and potentially for a period of time after treatment cessation, will be necessary to minimize any unintended adverse effects associated with infections. Thus, while complement activation has a role in viral opsonization, a deeper understanding of the dynamics of altering viral proliferation versus the hyperimmune response, will help to determine the appropriate time to introduce these treatments.

## **Conclusion**

Multiple features of severe SARS-CoV-2 infection suggest that complement activation is playing a critical role in the pathogenesis of COVID-19, particularly during exaggerated immune responses. However, the variable clinical course and the ability of this system to modulate a viral infection is obviously a serious concern. Therefore, deciding at what point complement intervention is appropriate is a key and an unanswered issue. For example, one could easily envision that complement inhibition (of one or all three major pathways) could prove deleterious in the first week, as during this time complement serves as a 'friend.' On the other hand, in the second or third weeks of infection, complement intervention could prove critical, since at this time it becomes a 'foe.' Hopefully, informative clinical trials on these and other key issues will provide the much-needed answers.

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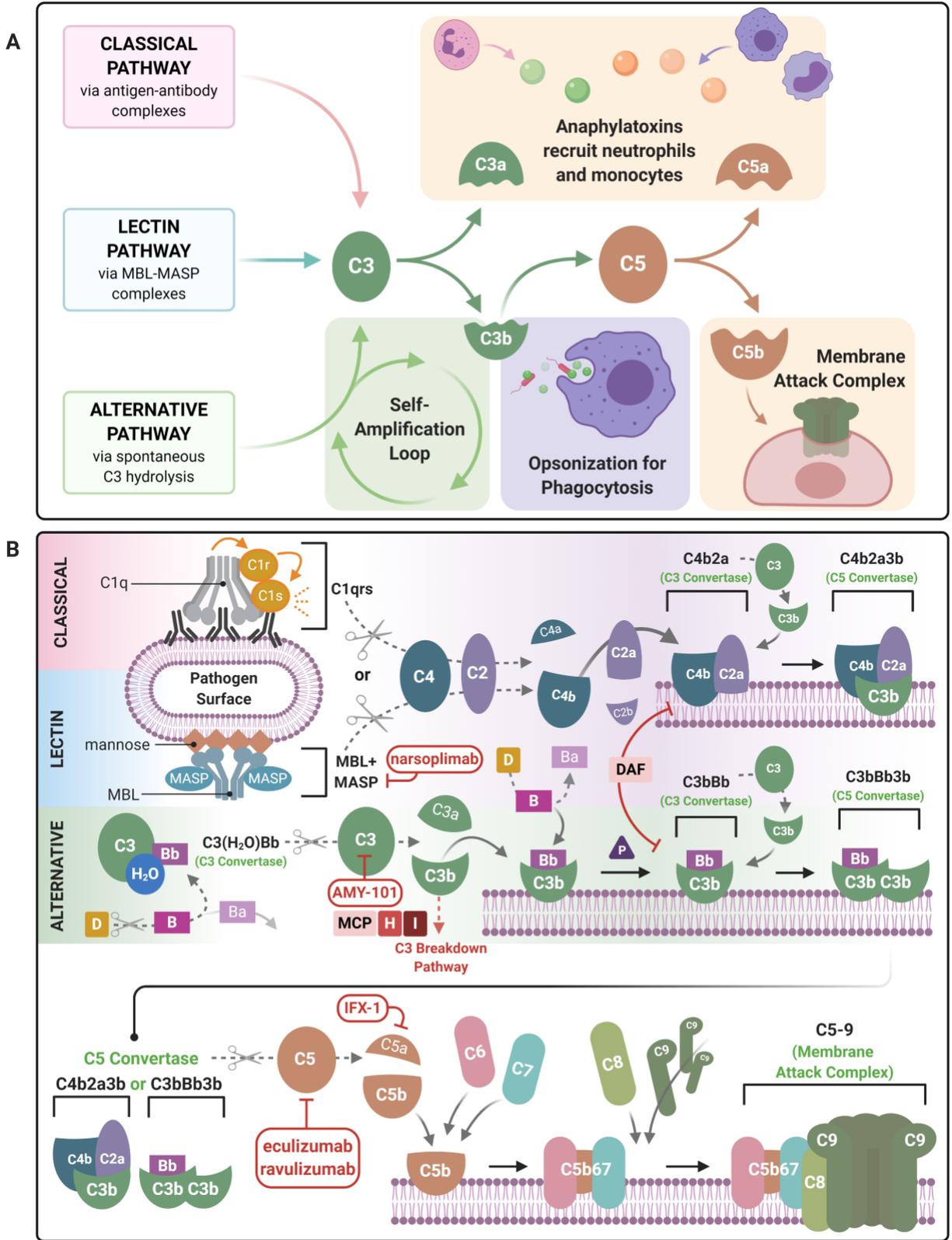
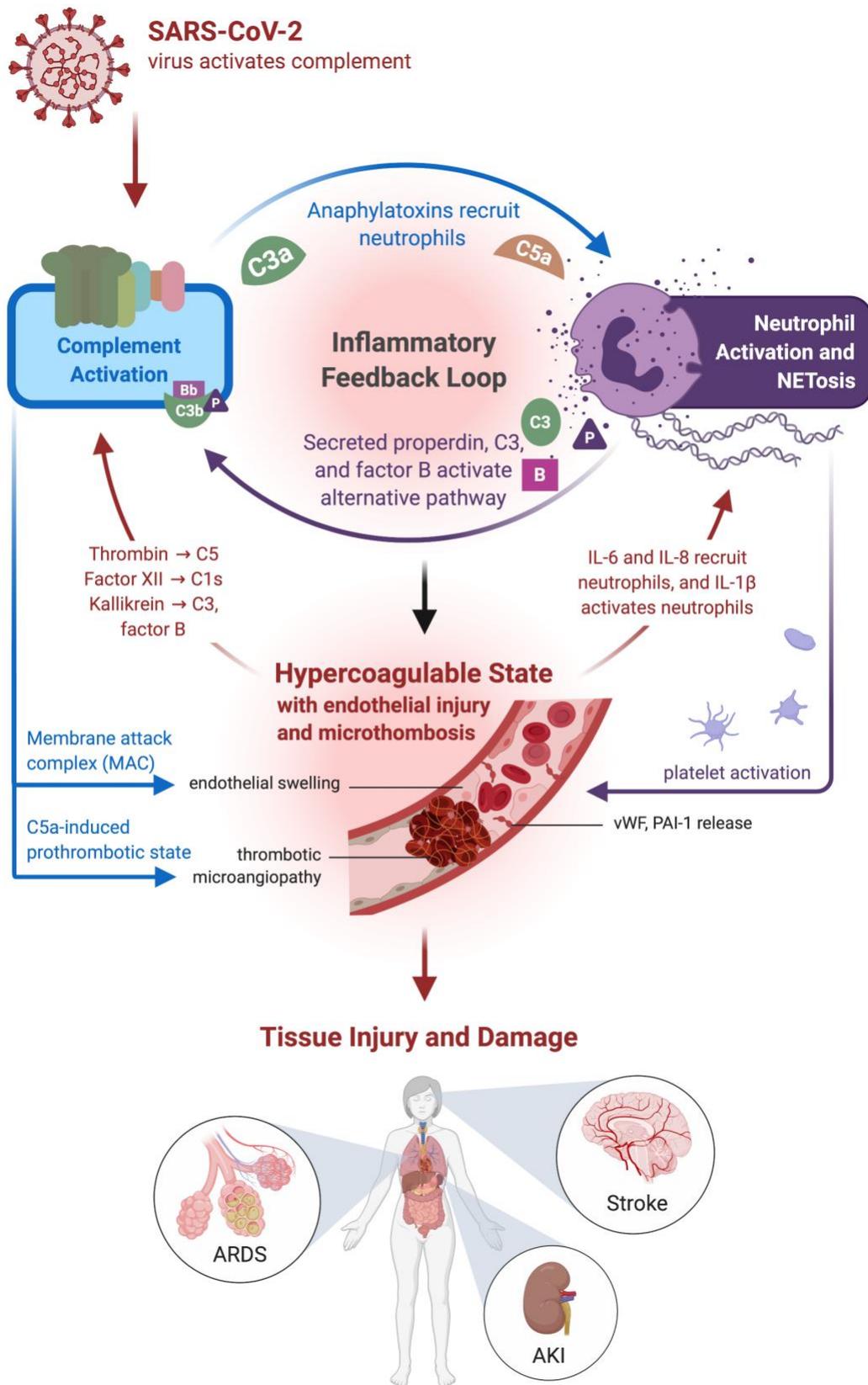


Figure 1. Schematic diagram of the activation of the three complement cascades and

**COVID-19 therapeutically-targeted components.** A simplified (A) and detailed (B) description of the complement cascade are shown. There are three major independent, but overlapping, pathways for activation of complement. The classical, lectin, and alternative pathways generate the major complement opsonin C3b and the membrane attack complex (MAC, C5b-9). The engagement of the C1 complex (C1qrs) with antigen-antibody complexes or pentraxins leads to bimolecular C3 convertase (C4b2a). The latter cleaves C3 to C3b and releases the anaphylatoxin, C3a. The binding of another C3b to the convertase generates the C5 convertase (C4b2a3b). The lectin pathway is similar except mannose-associated serine proteases (MASPs) substitute for C1 proteases (C1r and C1s). The AP self-activates by the low-level turnover of C3 in serum to C3(H<sub>2</sub>O). This nondiscriminate tickover, particularly on a pathogen surface or damaged tissue, rapidly engages factors B (B) and D (D) to form a C3 convertase C3(H<sub>2</sub>O)Bb to trigger more C3b. C3b generated by any one of the three pathways opsonizes the target, binds a C3 convertase to generate the trimolecular C5 convertases (C4b2a3b or C3bBbC3b), and amplifies more C3b via the AP. Cleavage of C5 releases the potent anaphylatoxin C5a, while the C5b initiates the terminal pathway to form the MAC. C3a and C5a are potent chemoattractants for neutrophils and monocytes. Membrane-bound (CD46, CD55) and fluid-phase regulators [factor H (FH), factor I (FI)] prevent fluid-phase activation and activation on normal cells and tissues. For example, membrane cofactor protein (MCP, also known as CD46) serves as a cofactor for FH-mediated inactivation of C3b to iC3b, and FI-mediated inactivation of C4b to C4c and C4d. The current armamentarium of complement inhibitors being tested in COVID-19 targets different aspects of this cascade. AMY-101 is a synthetic peptide that inhibits C3, whereas both eculizumab and ravulizumab are humanized monoclonal antibodies against C5. IFX-1 is a monoclonal antibody specifically targeting C5a, and narsoplimab, is a human monoclonal antibody targeting MASP-2.





**Figure 2. A summary of SARS-CoV-2 and complement activation leading to immune hyperinflammatory reactions and resulting in human pathology.** Complement activation generates the proinflammatory polypeptides, C3a and C5a, and recruits neutrophils as well as monocytes. Activated neutrophils generate web-like extracellular traps (NETs), in a process known as NETosis, that contain components such as C3, properdin (P) and factor B (B) that activate the alternative complement pathway and engage an inflammatory feedback loop. Although NETs assist in host defense against pathogens, a sustained response, such as seen in COVID-19, may incite ongoing inflammation and a hypercoagulable state. Additionally, membrane attack complex (MAC) also induces endothelial inflammation and tissue injury, leading to the generation of IL-6 and IL-1 $\beta$ , which continue to propagate NETosis. Endothelial injury leads to the generation of von Willebrand factor (vWF) multimers. Excess ultralong vWF stabilizes factor VIII activity, and prevents the binding of Factor I. Endothelial damage also results in the release of plasminogen activator inhibitor-1 (PAI-1), which exacerbates thrombosis, along with C5a-induced release of tissue factor and other prothrombotic proteins. These changes then can augment a complement-coagulation pathway cross-talk, due to serine proteases such as thrombin and kallikrein, activating the complement system in a convertase-independent manner. Such interactions among endothelial injury, hypercoagulability and complement activation cause tissue damage such as acute respiratory distress syndrome (ARDS), acute kidney injury (AKI) and stroke, often being associated with a thrombotic microangiopathy.