

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

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3. Relevant financial activities outside the submitted work.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent.

patent

De Rosa 1



Section 1. Identifying Inforn	nation	
Given Name (First Name) Stephen	Surname (Last Name) De Rosa	3. Date 21-April-2020
4. Are you the corresponding author?	✓ Yes No	
5. Manuscript Title Robust antibody and cellular responses	s induced by DNA-only vaccinatic	on for HIV
6. Manuscript Identifying Number (if you king 137079-INS-CMED-TR-2	now it)	
Section 2. The Work Under C	onsideration for Publication	
	g but not limited to grants, data mon	party (government, commercial, private foundation, etc.) for itoring board, study design, manuscript preparation,
•	ormation below. If you have more	e than one entity press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal Non-Final	Other Comments
NIH/NIAID	✓	
Bill and Melinda Gates Foundation		
Section 3. Relevant financial	activities outside the submi	tted work.
of compensation) with entities as descr	ibed in the instructions. Use one port relationships that were pres	ou have financial relationships (regardless of amount line for each entity; add as many lines as you need by ent during the 36 months prior to publication.
Section 4		
Section 4. Intellectual Prope	rty Patents & Copyrights	
Do you have any patents, whether plan	ned, pending or issued, broadly r	elevant to the work? Yes V No

De Rosa 2



Section 5. Relationships not severed above
Relationships not covered above
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Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. De Rosa reports grants from NIH/NIAID, grants from Bill and Melinda Gates Foundation, during the conduct of the study; .

Evaluation and Feedback

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De Rosa 3



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Edupuganti 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Srilatha		2. Surname (Last Name) Edupuganti	3. Date 20-April-2020
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Stephen De Rosa
5. Manuscript Title Robust antibody		induced by DNA-only vac	cination for HIV
6. Manuscript Ide 137079-INS-CME	ntifying Number (if you kr ED-TR-2	now it)	
Section 2.	The Work Under Co	onsideration for Public	cation
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	ubmitted work.
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo			
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Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Edupuganti 2



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Dr. Edupuganti has nothing to disclose.

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Edupuganti 3



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Huang 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Yunda	2. Surname (Last Name) Huang	3. Date 21-April-2020
4. Are you the corresponding author?	Yes Vo	Corresponding Author's Name Stephen De Rosa
5. Manuscript Title Robust antibody and cellular responses	s induced by DNA-only vac	cination for HIV
6. Manuscript Identifying Number (if you king 137079-INS-CMED-TR-2	now it)	
Section 2. The Work Under C	onsideration for Public	cation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ita monitoring board, study design, manuscript preparation,
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Do you have any patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No

Huang 2



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Dr. Huang has nothing to disclose.

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HAN 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fii Xue	rst Name)	2. Surname (Last Name) HAN	3. Date 24-April-2020
4. Are you the cor	responding author?	Yes 🗸 No	Corresponding Author's Name Stephen De Rosa
5. Manuscript Title Robust antibody		s induced by DNA-only va	ccination for HIV
6. Manuscript Ider 137079-INS-CME	ntifying Number (if you kr :D-TR-2	now it)	
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Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

HAN 2



Section 5.	
Section 5.	Relationships not covered above
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Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Ms. HAN has not	thing to disclose.

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HAN 3



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Elizaga 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Marnie	2. Surname (Last Name) Elizaga	3. Date 22-April-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Stephen De Rosa
5. Manuscript Title Robust antibody and cellular responses	s induced by DNA-only vac	cination for HIV
6. Manuscript Identifying Number (if you kr 137079-INS-CMED-TR-2	now it)	
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Elizaga 2



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Swann 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Edith	2. Surname (Last Name) Swann	3. Date 20-April-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Stephen DeRosa
5. Manuscript Title Robust antibody and cellular response:	s induced by DNA-only vac	cination for HIV
6. Manuscript Identifying Number (if you king 137079-INS-CMED-TR-2	now it)	
Section 2. The Work Under C	onsideration for Public	cation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ita monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the s	submitted work.
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo		
Section 4. Intellectual Prope	rty Patents & Copyrig	ghts
Do you have any patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No

Swann 2



Section 5.	
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	ationships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements Irnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the ab below.	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box

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Instructions

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Royalties: Funds are coming in to you or your institution due to your patent

Polakowski 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Laura	2. Surname (Last Name) Polakowski	3. Date 20-April-2020
4. Are you the corresponding author?	Yes Vo	Corresponding Author's Name Stephen De Rosa
5. Manuscript Title Robust antibody and cellular responses	s induced by DNA-only vac	cination for HIV
6. Manuscript Identifying Number (if you king 137079-INS-CMED-TR-2	now it)	
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Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Polakowski 2



Section 5. Relationships not severed above
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Dr. Polakowski has nothing to disclose.

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Royalties: Funds are coming in to you or your institution due to your patent

Kalams



Section 1. Identifying Inform	mation	
Given Name (First Name) Spyros	2. Surname (Last Name) Kalams	3. Date 20-April-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Stephen De Rosa
5. Manuscript Title Robust antibody and cellular response	es induced by DNA-only vac	cination for HIV
6. Manuscript Identifying Number (if you kan 137079-INS-CMED-TR-2	(now it)	
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Do you have any patents, whether plan	nned, pending or issued, br	oadly relevant to the work? ☐ Yes ✓ No

Kalams 2



Section 5.	Relationships not covered above				
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Dr. Kalams has r	nothing to disclose.				

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Kalams



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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Keefer 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Michael	2. Surname (Last Name) Keefer	3. Date 22-April-2020
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Stephen De Rosa
5. Manuscript Title Robust antibody and cellular responses	s induced by DNA-only vac	cination for HIV
6. Manuscript Identifying Number (if you king 137079-INS-CMED-TR-2	now it)	
Section 2. The Work Under C	onsideration for Public	cation
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Section 4. Intellectual Prope	rty Patents & Copyric	yhts
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Keefer 2



Section 5.	Relationships not covered above		
	netationships not covered above		
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?		
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):		
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At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.			
Section 6.	Disclosure Statement		
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Dr. Keefer has no	othing to disclose.		

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Keefer 3



Section 1. Identifying Inform	ation	But the Control of the Control	
1. Given Name (First Name) Janine	2. Surname (Last Name) Maenza	3. Date 22-April-2020	
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name	
5. Manuscript Title Robust antibody and cellular responses	induced by DNA-only vac	cination for HIV	
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Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes Vo			
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Do you have any patents, whether plann	ed, pending or issued, bro	padly relevant to the work? Yes Vo	

Maenza



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patent

1 Lu



Section 1.	Identifying Inform	nation	
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4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Stephen De Rosa
5. Manuscript Title Robust antibody		induced by DNA-only vac	cination for HIV
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	1		
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Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? ☐ Yes 🗸 No

Lu 2



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Wise 1



Section 1.	ldentifying Inform	nation	
1. Given Name (Fi Megan	rst Name)	2. Surname (Last Nam Wise	e) 3. Date 23-April-2020
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Author's Name
5. Manuscript Title Robust antibody	e and cellular responses	induced by DNA-only	vaccination for HIV
6. Manuscript Idei 137079-INS-CME	ntifying Number (if you kr :D-TR-2	now it)	
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Name of Entity		Grant? Personal Fees?	Non-Financial Other? Comments
novio Pharmaceutica	als		☐
	ı		
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Do you have any	patents, whether plan	ned, pending or issued	d, broadly relevant to the work? Yes V No

Wise 2



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Wise 3



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Royalties: Funds are coming in to you or your institution due to your patent

Yan 1



Section 1. Identifying Inform	ation		
1. Given Name (First Name) Jian	2. Surname (Last Name) Yan		3. Date 28-April-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name	e
5. Manuscript Title Robust antibody and cellular responses	induced by DNA-only vac	ccination for HIV	
6. Manuscript Identifying Number (if you kn 137079-INS-CMED-TR-2	now it)	_	
Section 2. The Work Under Co	onsideration for Publi	cation	
Did you or your institution at any time recei any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, da		
Section 3. Relevant financial	activities outside the	submitted work.	
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep Are there any relevant conflicts of intere	bed in the instructions. Use port relationships that we	se one line for each entity; ad	d as many lines as you need by
Section 4. Intellectual Proper	ty Patents & Copyri	ghts	
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Patent? Pendii	ng? Issued? Licensed?	Royalties? Licensee?	Comments
WO2008014521A8		Inovio	

Yan 2



Section 5.	
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	ationships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements Irnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the ab below.	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
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Yan 3



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Morrow 1



Section 1.	Identifying Inform	ation				
1. Given Name (Fir Matthew	st Name)	2. Surname (Last Name Morrow)	3. Date 21-Ap	e ril-2020	
4. Are you the cor	responding author?	☐ Yes 🗸 No	Correspond Stephen D	ling Author's Name e Rosa		
5. Manuscript Title Robust antibody	and cellular responses	induced by DNA-only	vaccination for l	HIV		
6. Manuscript Ider 137079-INS-CME	ntifying Number (if you kn D-TR-2	ow it)				
Section 2.	The Work Under Co	onsideration for Pul	olication			
any aspect of the si statistical analysis, Are there any rele If yes, please fill of	evant conflicts of intere out the appropriate info oe removed by pressing	st? Yes Normation below. If you get the "X" button. Grant? Personal	data monitoring have more than	board, study design, ma	anuscript preparation, ADD" button to add a	
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Section 4.	Intellectual Proper	ty Patents & Copy	rights			
Do you have any	patents, whether planr	ned, pending or issued	broadly releva	nt to the work?	es 🗸 No	

Morrow 2



Section 5. Relationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
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Dr. Morrow reports other from Inovio Pharmaceuticals, during the conduct of the study.

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patent

Khan 1



Section 1.	Identifying Inform	ation					
1. Given Name (Fi Amir	rst Name)	2. Surname (La Khan	st Name)		3. Date 21-April-20	020	
4. Are you the cor	responding author?	Yes ✓		responding Authorhen De Rosa	or's Name		
5. Manuscript Title Robust antibody	e and cellular responses	induced by DN	A-only vaccinati	on for HIV			
6. Manuscript Ider 137079-INS-CME	ntifying Number (if you kn ED-TR-2	ow it)					
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Section 2.	The Work Under Co	onsideration 1	or Publicatio	n			
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Section 4.	Intellectual Proper	ty Patents 8	Copyrights				
Do you have any	patents, whether plans	ned, pending or	issued, broadly	relevant to the	work? Yes	✓ No	

Khan 2



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Dr. Khan reports funding from NIAID (HHS Contract) during the conduct of the study.

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Boyer 1



Section 1. Identifying Inform	ation	
1. Given Name (First Name) Jean	2. Surname (Last Name) Boyer	3. Date 25-April-2020
4. Are you the corresponding author?	☐ Yes 🗸 No	Corresponding Author's Name Stephen De Rosa
5. Manuscript Title Robust antibody and cellular responses	induced by DNA-only vac	cination for HIV
6. Manuscript Identifying Number (if you kn 137079-INS-CMED-TR-2	ow it)	_
Section 2. The Work Under Co	onsideration for Public	ation
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, daust? Yes No rmation below. If you hav	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation, etc.) e more than one entity press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal Fees? S	o-Financial Other? Comments
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Section 3. Relevant financial	activities outside the s	ubmitted work.
of compensation) with entities as descri	bed in the instructions. Us port relationships that wer est?	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e present during the 36 months prior to publication .
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Boyer 2



Section 4. Intellectual Property P	atents & Copyrights
Do you have any patents, whether planned, pe	ending or issued, broadly relevant to the work? Yes V No
Section 5. Relationships not cover	ed above
Are there other relationships or activities that potentially influencing, what you wrote in the	readers could perceive to have influenced, or that give the appearance of submitted work?
Yes, the following relationships/conditions	s/circumstances are present (explain below):
No other relationships/conditions/circums	tances that present a potential conflict of interest
	s will ask authors to confirm and, if necessary, update their disclosure statements. ose further information about reported relationships.
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She is an employee of Inovio Pharamaceutica	S.

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Boyer 3



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paten

Humeau 1



Section 1. Identifying Inform	ation		
1. Given Name (First Name) Laurent	2. Surname (Last Name) Humeau		3. Date 21-April-2020
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Na De Rosa	nme
5. Manuscript Title Robust antibody and cellular responses	induced by DNA-only vac	cination for HIV	
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Section 2			
Section 2. The Work Under Co	onsideration for Public	ation	以此位的 是一个人的
Did you or your institution at any time recei any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, da		
If yes, please fill out the appropriate info		e more than one entity pre	ess the "ADD" button to add a row
Excess rows can be removed by pressing		, i	
Name of Institution/Company	Grance	n-Financial other? Cou	mments
IIAID	✓		
Section 3. Belovent financial			
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Place a check in the appropriate boxes in of compensation) with entities as descri- clicking the "Add +" box. You should rep	bed in the instructions. Us	e one line for each entity;	add as many lines as you need by
Are there any relevant conflicts of intere	st? ✓ Yes No		
If yes, please fill out the appropriate info	rmation below.		
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Humeau



Section 4. Intellectual Promotor Patents & Commission
Intellectual Property Patents & Copyrights
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Other: Anything not covered under the previous three boxes

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Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

White 1



Section 1. Identifying Inform	nation	
Given Name (First Name) Scott	2. Surname (Last Name) White	3. Date 23-April-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Stephen De Rosa
5. Manuscript Title Robust antibody and cellular response:	s induced by DNA-only va	ccination for HIV
6. Manuscript Identifying Number (if you k 137079-INS-CMED-TR-2	now it)	
Section 2. The Work Under C	onsideration for Publi	cation
	g but not limited to grants, d	n a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
of compensation) with entities as descr	ribed in the instructions. Usport relations hips that we	nether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Section 4. Intellectual Prope	rty Patents & Copyri	ghts
Do you have any patents, whether plan	nned, pending or issued, b	roadly relevant to the work? Yes No

White 2



Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below): No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. White has nothing to disclose.

Evaluation and Feedback

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White

3



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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Pensiero 1



Section 1. Identifying Inform	nation							
1. Given Name (First Name) Michael	2. Surname (Last Name) Pensiero	3. Date 23-April-2020						
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Stephen C. De Rosa						
5. Manuscript Title Robust antibody and cellular responses induced by DNA-only vaccination for HIV								
6. Manuscript Identifying Number (if you k 137079-INS-CMED-TR-2	now it)	_						
Section 2. The Work Under C	Consideration for Public	cation						
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,						
Section 3. Relevant financial	activities outside the s	submitted work.						
of compensation) with entities as descr clicking the "Add +" box. You should re Are there any relevant conflicts of inter	ribed in the instructions. Useport relationships that we	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.						
Section 4. Intellectual Prope	rty Patents & Copyri	ghts						
Do you have any patents, whether plan	nned, pending or issued, br	roadly relevant to the work? Yes V No						

Pensiero 2



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
C. Vin C	
Section 6.	Disclosure Statement
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Dr. Pensiero has	nothing to disclose.

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Pensiero 3



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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Sardesai 1



Section 1. Identifying Inform	ation					
1. Given Name (First Name) Niranjan	2. Surname (Last Name) Sardesai		3. Date 24-April-2020			
4. Are you the corresponding author?	Yes ✓ No	Corresponding Autho	or's Name			
5. Manuscript Title Robust antibody and cellular responses	induced by DNA-only vac	accination for HIV				
6. Manuscript Identifying Number (if you know it) 137079-INS-CMED-TR-2						
Section 2. The Work Under Co	nsideration for Public	cation				
Did you or your institution at any time receivany aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere lf yes, please fill out the appropriate info Excess rows can be removed by pressing	but not limited to grants, da st?	ata monitoring board, st	udy design, manuscript preparation,			
Name of Institution/Company	Grant? Personal Nor	n-Financial Other?	Comments			
NIH/NIAID	✓		NIAID/HVDDT Contract for development of HIV vaccines. PI: Niranjan Y. Sardesai			
Section 3. Relevant financial a	activities outside the s	submitted work.				
Place a check in the appropriate boxes in of compensation) with entities as descril clicking the "Add +" box. You should rep Are there any relevant conflicts of intere	oed in the instructions. Us ort relationships that wer	se one line for each er	ntity; add as many lines as you need by			
Section 4. Intellectual Proper	ty Patents & Copyric	nhtc				
intellectual Proper	ty Patents & Copyri	gnts				
Do you have any patents, whether plann		•				
If yes, please fill out the appropriate info Excess rows can be removed by pressing		e more than one enti	ty press the "ADD" button to add a row.			

Sardesai 2



Patent?	Pending?	Issued?	Licensed ?	Royalties?	Licensee?	Comments	
(Multiple patents)	V	V			Inovio Pharmaceuticals	Multiple patents covering the broad area of DNA vaccines, DNA vaccines for HIV, and methods for delivering HIV vaccines using electroporation devices. These are assigned to or licensed by Inovio Pharmaceuticals.	
Section 5. Relationshi	ps not cov	ered abo	ove				
Are there other relationships or potentially influencing, what yo			•	eive to have	influenced, or th	at give the appearance of	
Yes, the following relationsh	ips/conditio	ns/circum	nstances are	e present (ex	plain below):		
✓ No other relationships/cond	itions/circun	nstances 1	that presen	t a potential	conflict of intere	st	
At the time of manuscript accep On occasion, journals may ask a							ents.
Section 6. Disclosure S	tatement						
Based on the above disclosures, below.	this form wi	ll automa	tically gene	erate a disclo	osure statement, v	which will appear in the box	
Dr. Sardesai reports grants from patents) issued to Inovio Pharm		during th	ne conduct	of the study	; In addition, Dr. S	Sardesai has a patent (Multip	ole

Evaluation and Feedback

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Sardesai 3



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Royalties: Funds are coming in to you or your institution due to your patent

Bagarazzi



Section 1. Identifying Info	rmation	
Given Name (First Name) MArk	Surname (Last Name Bagarazzi	3. Date 20-April-2020
4. Are you the corresponding author?	Yes No	Corresponding Author's Name Stephen De Rosa
 Manuscript Title Robust antibody and cellular respons 	ses induced by DNA-only	vaccination for HIV
 Manuscript Identifying Number (if you 137079-INS-CMED-TR-2 	know it)	
Section 2. The Work Under	Consideration for Pul	blication
any aspect of the submitted work (includi statistical analysis, etc.)? Are there any relevant conflicts of inte	ing but not limited to grants erest? Yes No nformation below. If you living the "X" button.	have more than one entity press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal I	Support? Other? Comments
novio		employee
Section 3. Relevant financia	al activities outside th	e submitted work.
of compensation) with entities as des	cribed in the instructions.	whether you have financial relationships (regardless of amount . Use one line for each entity; add as many lines as you need by were present during the 36 months prior to publication.
Are there any relevant conflicts of into		
If yes, please fill out the appropriate in	nformation below.	
Name of Entity	Grant? Personal 1	Support? Comments
novio		✓ stock ownership

Bagarazzi



Section 4. Let III and Day of Section 4.
Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work?
Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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Royalties: Funds are coming in to you or your institution due to your patent



Section 1. Ide	ntifying Informa	ition						
1. Given Name (First Nar David	ne)	2. Surnan Weiner	ne (Last Nam	ne)		3. Date 21-April-2020		
4. Are you the correspor	ding author?	Yes	es No Corresponding Author's Name De Rosa, Stephen					
5. Manuscript Title Robust antibody and c	ellular responses i	HIV						
6. Manuscript Identifying 137079-INS-CMED-TR-	•	w it)						
Section 2. The	Work Under Co	nsiderat	ion for Pu	ıblication				
any aspect of the submiti statistical analysis, etc.)? Are there any relevant	ed work (including k conflicts of interes e appropriate infor	t? \square\not lim	ited to grant es [] N	ts, data monitoring	board, st	ent, commercial, private foundation, etc.) for sudy design, manuscript preparation, ity press the "ADD" button to add a row.		
Name of Institution/C				Non-Financial Support?	Other?	Comments		
novio		√				SRA, Patents		
Section 3.								
Rele	vant financial a	ctivities	outside t	he submitted	work.			
of compensation) with	entities as describ	ed in the	instruction	s. Use one line fo	or each ei	cial relationships (regardless of amount ntity; add as many lines as you need by e 36 months prior to publication.		
Are there any relevant			ш	No				
If yes, please fill out the	e appropriate infor	mation b	elow.					
Name of Entity		Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments		
novio		√	✓	√		SRA, Board Service, Stock, Travel		
GeneOne		✓	✓	✓		SRA, Consulting, Travel		
AstraZenica			\checkmark	\checkmark		Consulting, Travel		



Name of Entity	Grant? F	Personal Fees	Non-Financial Support?	Other?	Comments	
Geneos	✓	√			SRA, Consulting	
Sanofi		✓			Consulting	
Section 4. Intellectual Prope	erty Paten	its & Copy	yrights			
Do you have any patents, whether pla If yes, please fill out the appropriate in Excess rows can be removed by pressi	formation be	low. If you	•			ow.
Patent? Pend	ling <mark>?</mark> Issued	License	d? Royalties?	License	ee? Comments	
DNA Vaccines	7 🗆			Inovio		
Section 5. Relationships no Are there other relationships or activit potentially influencing, what you wrote ☐ Yes, the following relationships/cooditions/ At the time of manuscript acceptance, On occasion, journals may ask authors Section 6. Disclosure Staten	ies that reade e in the subm nditions/circu circumstance journals will to disclose fu	ers could penitted work umstances es that pres	are present (ex ent a potential s to confirm and	plain belo conflict o	ow): f interest sary, update their disclosure stateme	ents.
Based on the above disclosures, this for below. Dr. Weiner reports grants from Inovio, from Inovio, grants, personal fees and	orm will auton during the c	onduct of	the study; gran rom GeneOne,	ts, person personal 1	al fees and non-financial support fees and non-financial support from	
AstraZenica, grants and personal fees Weiner has a patent DNA Vaccines pe		•	ees non sanoi	i, outside	: the submitted work, in addition, D	1.



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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Ferrari 1



Section 1.	Identifying Inform	nation						
1. Given Name (Fii Guido	rst Name)	2. Surname (Last Name) Ferrari	3. Date 20-April-2020					
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Stephen DeRosa					
5. Manuscript Title Robust antibody and cellular responses induced by DNA-only vaccination for HIV								
6. Manuscript Ider 137079-INS-CME	ntifying Number (if you kr :D-TR-2	now it)						
			-					
Section 2.	The Work Under Co	onsideration for Public	ation					
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,					
Section 3.	Relevant financial	activities outside the s	ubmitted work.					
of compensation clicking the "Add	n) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by e present during the 36 months prior to publication .					
Section 4.	Intellectual Proper	rty Patents & Copyric	jhts					
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No					

Ferrari 2



Relationships not covered above
relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
owing relationships/conditions/circumstances are present (explain below):
ationships/conditions/circumstances that present a potential conflict of interest
nanuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements Urnals may ask authors to disclose further information about reported relationships.
Disclosure Statement
ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box

Evaluation and Feedback

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Ferrari 3



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Royalties: Funds are coming in to you or your institution due to your patent

Tomaras 1



Section 1.	Identifying Inform	ation						
1. Given Name (Fi Georgia	rst Name)	2. Surname Tomaras	e (Last Name)			3. Date 23-April-2020		
4. Are you the cor	responding author?	Yes	√ No	Correspond Stephen D	ding Author's De Rosa	Name		
5. Manuscript Title Robust antibody	e and cellular responses	induced by	ccination for	HIV				
6. Manuscript Ider 137079-INS-CME	ntifying Number (if you kn D-TR-2	ow it)						
Section 2.	The Work Under Co	onsideratio	on for Publ	ication				
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No								
Section 3.	Relevant financial	activities o	outside the	submitted s	work.			
of compensation clicking the "Ado Are there any rel) with entities as descri	bed in the ir port relation st?	nstructions. Uships that we ns \tag No	lse one line fo	or each entity	relationships (regardless of amou y; add as many lines as you need b 5 months prior to publication.		
Name of Entity		Grant?		n-Financial Support	Other?	Comments		
NIH		✓						
BMGF		✓						
SSK		✓						
Section 4.	Intellectual Proper	tv Paten	ts & Copyri	ahts				
-	•					12		
Do you have any	patents, whether planr	ned, pendin	g or issued, b	roadly releva	nt to the wo	rk? 🗸 Yes 🔝 No		

Tomaras 2



If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Patent?	Pending?	Issued?	Licensed	Royalties?	Licensee?	Comments	
HIV Incidence and vaccines	√	✓					
Section 5. Relationsh	nips not cove	ered ab	ove				
Are there other relationships o potentially influencing, what y				eive to have	influenced, or tha	at give the appearance of	
Yes, the following relations	ships/conditio	ns/circun	nstances ar	e present (ex	plain below):		
✓ No other relationships/con	ditions/circun	nstances	that preser	t a potential	conflict of interes	st	
At the time of manuscript acce On occasion, journals may ask							nents.
Section 6. Disclosure	Statement						
Based on the above disclosure below.	s, this form wi	ll automa	atically gen	erate a disclo	osure statement, v	which will appear in the box	(
Dr. Tomaras reports grants fro Tomaras has a patent HIV Incid				rom GSK, ou	itside the submit	ted work; In addition, Dr.	

Evaluation and Feedback

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Tomaras 3



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3. Relevant financial activities outside the submitted work.

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Royalties: Funds are coming in to you or your institution due to your patent

Montefiori 1



Section 1.	Identifying Inform	nation			
1. Given Name (First Name) David		2. Surname (Last Name) Montefiori	3. Date 22-April-2020		
4. Are you the corresponding author?		☐ Yes ✓ No	Corresponding Author's Name Stephen De Rosa		
5. Manuscript Title Robust antibody		induced by DNA-only vac	cination for HIV		
6. Manuscript Ider 137079-INS-CME	ntifying Number (if you kr :D-TR-2	now it)			
			-		
Section 2.	The Work Under Co	onsideration for Public	ation		
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes V					
Section 3.	Relevant financial	activities outside the s	ubmitted work.		
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Section 4.	Intellectual Proper	ty Patents & Copyric	jhts		
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo					

Montefiori 2



Section 5.				
Section 5.	Relationships not covered above			
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?				
Yes, the follo	Yes, the following relationships/conditions/circumstances are present (explain below):			
✓ No other relationships/conditions/circumstances that present a potential conflict of interest				
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.			
C. Vin C				
Section 6.	Disclosure Statement			
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box			
Dr. Montefiori h	as nothing to disclose.			

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.

Montefiori 3



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Corey 1



Section 1.	Identifying Information				
1. Given Name (First Name) Lawrence		2. Surname (Last Name) Corey		3. Date 22-April-2020	
4. Are you the corresponding author?		Yes ✓ N	No	Corresponding Author's Name	
5. Manuscript Title Robust antibody	e and cellular responses	induced by DNA	\-only vacci	ination for HIV	
6. Manuscript Ider 137079-INS-CME	ntifying Number (if you kr :D-TR-2	now it)			
	ı				
Section 2.	The Work Under Co	onsideration fo	or Publica	ition	
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Section 3.	Relevant financial	activities outsi	ide the su	bmitted work.	
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Section 4.	Intellectual Proper	ty Patents &	Copyrigh	nts	
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo					

Corey 2



Section 5.				
Section 5.	Relationships not covered above			
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?			
Yes, the follo	Yes, the following relationships/conditions/circumstances are present (explain below):			
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest			
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Section 6.	Disclosure Statement			
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box			
Dr. Corey has no	othing to disclose.			

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Corey 3



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Royalties: Funds are coming in to you or your institution due to your patent

McElrath 1



Section 1.	Identifying Inform	nation				
1. Given Name (First Name) M Juliana		2. Surname (Last Name) McElrath	3. Date 28-April-2020			
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Author's Name Stephen De Rosa			
	5. Manuscript Title Robust antibody and cellular responses induced by DNA-only vaccination for HIV					
6. Manuscript Ider 137079-INS-CME	ntifying Number (if you kn :D-TR-2	now it)				
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•			have more than one entity press the "ADD" button to add a row.			
	be removed by pressing					
Name of Institut	ion/Company	Grant? Personal N	On-Financial Support? Comments			
NIH/NIAID		✓				
Bill and Melinda Gate	s Foundation					
Section 3.	Relevant financial	activities outside the	e submitted work.			
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Section 4.	Intellectual Proper	ty Patents & Copy	rights			
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo						

McElrath 2



Section 5. Relationships not severed above
Relationships not covered above
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Dr. McElrath reports grants from NIH/NIAID, grants from Bill and Melinda Gates Foundation, during the conduct of the study; .

Evaluation and Feedback

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McElrath 3

CONSORT 2010 checklist table for HVTN 098 immunogenicity manuscript Reference 137079-INS-CMED-TR-2

Section/Topic CONSORT 2010 Statement Checklist Item		Included in manuscript?			
Title and Abstract					
1a. Title	Identification as a randomised trial in the title.	No, due to limited number of words allowed. We are happy to change if the editor has suggestions.			
1b. Abstract	Structured summary of trial design, methods, results, and conclusions	Yes			
Introduction	Thethods, results, and conclusions	<u> </u>			
2a. Background	Scientific background and	Yes			
Za. Background	explanation of rationale	100			
2b. Objectives	Specific objectives or hypotheses	Yes			
Methods	, -p				
3a. Trial Design	Description of trial design (such as parallel, factorial) including allocation ratio	Yes			
3b. Changes to trial design	Important changes to methods after trial commencement (such as eligibility criteria), with reasons	N/A			
4a. Participants	Eligibility criteria for participants	Yes			
4b. Study settings	Settings and locations where the data were collected	Yes			
5. Interventions	The interventions for each group with sufficient details to allow replication, including how and when they were actually administered	Yes			
6a. Outcomes	Completely defined pre-specified primary and secondary outcome measures, including how and when they were assessed	Yes			
6b. Changes to outcomes	Any changes to trial outcomes after the trial commenced, with reasons	N/A			
7a. Sample size	How sample size was determined	Yes			
7b. Interim analyses and stopping guidelines	When applicable, explanation of any interim analyses and stopping guidelines	N/A			
8a. Randomisation	Method used to generate the random allocation sequence	Yes			
8b. Randomisation type	Type of randomisation; details of any restriction (such as blocking and block size)	Yes			
9. Randomisation: allocation concealment mechanism	Mechanism used to implement the random allocation sequence (such as sequentially numbered containers), describing any steps	Yes			

	T	T
	taken to conceal the sequence until	
	interventions were assigned	
10. Randomisation:	Who generated the allocation	Yes
implementation	sequence, who enrolled	
	participants, and who assigned	
	participants to interventions	
11a. Blinding	If done, who was blinded after	Yes
_	assignment to interventions (for	
	example, participants, care	
	providers, those assessing	
	outcomes) and how	
11b. Similarity of	If relevant, description of the	N/A
interventions	similarity of interventions	
12a. Statistical	Statistical methods used to	Yes
methods	compare groups for primary and	
	secondary outcomes	
12b. Additional	Methods for additional analyses,	Yes
analyses	such as subgroup analyses and	
	adjusted analyses	
Results		
13a. Participant flow	For each group, the numbers of	Yes
	participants who were randomly	
	assigned, received intended	
	treatment, and were analysed for	
	the primary outcome	
13b. Losses and	For each group, losses and	Yes
exclusions	exclusions after randomisation,	100
exciderer:	together with reasons	
14a. Recruitment	Dates defining the periods of	Yes
Tra. Regratifient	recruitment and follow-up	100
14b. Reason for	Why the trial ended or was stopped	N/A
stopped trial	Willy the that chaca of was stopped	
15. Baseline Data	A table showing baseline	No, included in a separate
To: Baseline Bata	demographic and clinical	publication (Edupuganti et al.,
	characteristics for each group	submitted)
16. Numbers analysed	For each group, number of	Yes
10. Numbers analysed	participants (denominator) included	103
	in each analysis and whether the	
	analysis was by original assigned	
	groups	
17a. Outcomes and	For each primary and secondary	Yes
estimation	outcome, results for each group,	163
estimation	and the estimated effect size and	
	its precision (such as 95%	
	confidence interval)	
17h Pinany autoomas		Yes
17b. Binary outcomes	For binary outcomes, presentation of both absolute and relative effect	162
10 Apoillant apolitics	sizes is recommended	Vac
18. Ancillary analyses	Results of any other analyses	Yes
	performed, including subgroup	

	analyses and adjusted analyses, distinguishing pre-specified from exploratory			
19. Harms	All important harms or unintended effects in each group	No, included in a separate publication (Edupuganti et al., submitted)		
Discussion				
20. Limitations	Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses	Yes		
21. Generalisability	Generalisability (external validity, applicability) of the trial findings	Yes		
22. Interpretation	Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence	Yes		
Other information				
23. Registration	Registration number and name of trial registry	Yes		
24. Protocol	Where the full trial protocol can be accessed, if available	Yes		
25. Funding	Sources of funding and other support (such as supply of drugs), role of funders	Yes		