

# Transcriptomic heterogeneity in multifocal prostate cancer

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BACKGROUND. Commercial gene expression assays are guiding clinical decision making in patients with prostate cancer, particularly when considering active surveillance. Given heterogeneity and multifocality of primary prostate cancer, such assays should ideally be robust to the coexistence of unsampled higher grade disease elsewhere in the prostate in order to have clinical utility. Herein, we comprehensively evaluated transcriptomic profiles of primary multifocal prostate cancer to assess robustness to clinically relevant multifocality.

METHODS. We designed a comprehensive, multiplexed targeted RNA-sequencing assay capable of assessing multiple transcriptional classes and deriving commercially available prognostic signatures, including the Myriad Prolaris Cell Cycle Progression score, the Oncotype DX Genomic Prostate Score, and the GenomeDX Decipher Genomic Classifier. We applied this assay to a retrospective, multi-institutional cohort of 156 prostate cancer samples. Derived commercial biomarker scores for 120 informative primary prostate cancer samples from 44 cases were determined and compared.

**RESULTS.** Derived expression scores were positively correlated with tumor grade ( $r_s = 0.53-0.73$ ; all P < 0.001), both within the same case and across the entire cohort. In cases of extreme grade-discordant multifocality (co-occurrence of grade group 1 [GG1] and  $\geq$ GG4 foci], gene expression scores were significantly lower in low- (GG1) versus high-grade ( $\geq$ GG4) foci (all P < 0.001). No significant differences in expression scores, however, were observed between GG1 foci from prostates with and without coexisting higher grade cancer (all P > 0.05).

**CONCLUSIONS.** Multifocal, low-grade and high-grade prostate cancer foci exhibit distinct prognostic expression signatures. These findings demonstrate that prognostic RNA expression assays performed on low-grade prostate cancer biopsy tissue may not provide meaningful information on the presence of coexisting unsampled aggressive disease.

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## Introduction

Widespread adoption of serum prostate-specific antigen (PSA) screening has led to the overdiagnosis and overtreatment of low-grade (Gleason score 6; grade group 1 [GG1]) prostate cancer (1–3). To better balance the harms and benefits of PSA screening, a number of active surveillance strategies have been introduced for low-grade favorable-risk prostate cancer. Active surveillance is composed of multimodal serial monitoring, with an increasing reliance on the utilization of a number of biopsy tissue-based prognostic gene expression tests performed in commercial laboratories (e.g., Myriad Prolaris Cell Cycle Progression [CCP] score, Oncotype DX Genomic Prostate Score [GPS], and GenomeDX Decipher Genomic Classifier [GC]) to provide information on active surveillance suitability versus definitive therapy (4–7). Tissue-based prostate cancer biomarkers, an expenditure in aggregate estimated to be more than \$250 million annually, have been aggressively marketed by industry and rapidly adopted by physicians. Additionally, these assays have been included in the 2018 National Comprehensive Cancer Network prostate cancer guidelines and received Centers for Medicare and Medicaid Services approval for use in risk stratification of prostate cancer. Of the estimated 180,000 men diagnosed with prostate cancer annually in the United States, approximately 70% with favorable-risk prostate cancer are thought to be potential candidates for these tests that cost up to \$4,500 each (8, 9).

The histologic, spatial, and temporal genomic heterogeneity of solid organ cancers continues to be an impediment for the development of single biopsy–based prognostic biomarkers as well as the implementation of precision medicine approaches that rely on tissue sampling (10, 11). While biopsy-based RNA expression signatures have shown clinical utility in several cancers, understanding the biology of all clinically important foci, within a given organ, can be challenging when the multiplicity as well as the histologic and genomic diversity of cancer foci is high. Prostate cancer is heterogeneous and is multifocal, with multiple, genomically independent tumors identified in up to 80% of men undergoing radical prostatectomy for clinically localized disease (12–15). Hence, there has been enormous interest in identifying prostate cancer prognostic biomarkers that are unaffected by tissue sampling bias. Critically, for maximum clinical utility, effective prognostic biomarkers must be able to determine which men with low-grade cancer on diagnostic biopsy have (a) an *undersampled* high-grade component of the same tumor focus and/or (b) an *unsampled*, spatially and genomically distinct high-grade tumor focus missed by the original biopsy.

To date, a comprehensive transcriptomic analysis of grade discordant primary multifocal prostate cancer has not been reported. Notably, the advent and refinement of technologies compatible with formalin-fixed, paraffin-embedded (FFPE) tissue samples, such as qRT-PCR, microarray-based expression profiling, NanoString, and RNA-based next-generation sequencing (NGS), has enabled the rapid clinical translation of transcriptomic biomarkers (16–19). Application of these methodologies to low-grade prostate cancer, however, is particularly challenging due to the minute volume of cancerous biopsy tissue often available for analysis (20). Importantly, of the above described prognostic expression tests, one directly claims to be robust to both undersampling of high-grade components of the same tumor focus as well as the presence of unsampled high-grade tumor foci elsewhere in the prostate (6). Although these assays have been analytically and clinically validated to predict a variety of clinically relevant endpoints, recent evidence supports transcriptional differences between multifocal low- and high-grade prostate cancer, challenging the potential robustness of these assays to tumor multifocality and genomic heterogeneity (21). In addition, it is important to note that CCP score, GPS, and GC have yet to be evaluated in a head-to-head manner.

Here, we developed a multiplex prostate cancer–specific RT-PCR–based RNA-sequencing (mxRNA-seq) assay compatible with minute FFPE specimens in order to directly interrogate and compare commercially available prognostic transcriptomic signatures and relevant individual candidate biomarkers in primary, clinically relevant multifocal prostate cancer.

## Results

Cohort composition. Our cohort included 193 FFPE tissue specimens chosen to represent the complete spectrum of prostate cancer progression (Supplemental Table 1; supplemental material available online with this article; https://doi.org/10.1172/jci.insight.123468DS1). The complete sample information, including tissue type (e.g., primary prostate cancer [n = 156]; hormonal therapy naive metastases [n = 18]; metastatic castration resistant prostate cancer [n = 7]; benign prostate tissue [n = 11]; and benign lymph node [n = 1]), specimen type, prior treatment, and previous molecular characterization is provided in Supplemental Table 1. Prior to exclusion of samples that did not pass stringent quality control (QC) metrics, as described in the Supplemental Methods, our FFPE tissue cohort included 156 primary prostate cancer samples from 50



cases, including 21 multifocal cases with at least 1 sample from a GG1 focus and at least 1 sample from a GG2–5 focus; 13 of these multifocal cases had at least 1 sample from a GG1 focus and at least 1 sample from a  $\geq$ GG4 focus, representing the extremes of GG difference in multifocality (Supplemental Table 1). For comparison, prior to exclusion of samples not passing QC metrics, our cohort also included 9 GG1 samples (from 6 cases) from prostates with exclusively GG1 prostate cancer.

After exclusion of samples that did not pass QC metrics, our informative cohort contained 120 primary prostate cancer samples from 44 cases. This cohort included 15 multifocal cases with at least 1 sample each from a GG1 focus and a higher grade (GG2–5) focus; 8 of these multifocal cases had at least 1 sample each from a GG1 focus and a  $\geq$ GG4 focus, representing the extremes of GG discordance in multifocal disease. For comparison, our cohort included 8 GG1 informative samples from 6 cases with organ-confined GG1 prostate cancer.

mxRNAseq assay assessment and validation. The details of our mxRNAseq assay assessment and validation are as described in the Supplemental Methods. Briefly, to detect and/or quantify multiple potentially relevant prostate cancer transcriptomic alterations, we designed a custom 306-amplicon mxRNAseq panel (Supplemental Table 2) that targeted genes required to derive the 3 commercially available prognostic signatures as well as diagnostic/subtyping relevant genes, transcriptional modules (e.g., AR signaling, activated T cell infiltrate, neuroendocrine gene expression), recurrent 5' and 3' gene fusion partners (e.g., ETS genes, BRAF and RAF1, and their common 5' partners), lncRNAs (e.g., SChLAP1), expressed recurrent somatic mutations (e.g., hotspot residues in SPOP, BRAF and IDH1), and expressed relevant germline variants (e.g., HOXB13 G84E) (Figure 1A). Raw read counts for all amplicons in all samples are provided in Supplemental Table 2, and normalized reads from amplicons/samples passing QC are provided in Supplemental Table 3.

Unsupervised hierarchical clustering of target gene/transcript expression across the 156-informative sample compendium of FFPE tissue and cell line samples meeting high-stringency QC parameters (see Supplemental Methods) is shown in Figure 1B. Multiple analyses support the validity of our approach and observed expression profiles, as described in the Supplemental Methods and Supplemental Figures 1 and 2. Importantly, single-coding genes and lncRNAs known to be dysregulated in prostate cancer displayed expected differential expression patterns, including PCA3, DLX1, and TMPRSS2:ERG, as biomarkers of prostate cancer versus benign prostate tissue (Figure 1C). Similarly, SChLAP1, a lncRNA consistently identified as one of the most prognostic single transcripts across prostate cancer profiling studies (22, 23), was confirmed to be overexpressed in aggressive prostate cancer (Figure 1C). Furthermore, lncRNAs reported as prostate cancer specific (e.g., PRCAT122) (24) or prostate cancer specific but decreased in high-grade disease (e.g., PCAT-14 [also known as PRCAT104] (24, 25), showed expected tissue expression patterns (Figure 1C). Detection of prostate cancer relevant gene fusions, expressed somatic mutations, and germline risk variant profiles, and androgen receptor (AR) full-length and AR splice variants by mxRNAseq are as described in the Supplemental Methods and Supplemental Figures 3-5. Taken together, these findings support the ability of our mxRNAseq assay to characterize a diverse set of transcriptional events (essential coding genes and gene fusions, lncRNAs, somatic mutations, germline variants, and splice variants) important for prostate cancer molecular subtyping, multiclonality assessment, risk stratification, and potential treatment guidance.

Assessment of single-gene and clinically available prognostic biomarkers. We designed our assay to interrogate both single-transcript prognostic biomarkers, such as SCHLAP1 and PRCAT104, as well as the target genes/ transcripts used to derive the commercially available CCP, GPS, and GC scores. Hence, as described in detail in the Supplemental Methods, we derived CCP (mxCCP), GPS (mxGPS), and GC (mxGC) scores, and as expected, mxCCP ( $r_s = 0.50$ ,  $P = 7.38 \times 10^{-9}$ ), mxGPS ( $r_s = 0.74$ ,  $P = 2.2 \times 10^{-16}$ ), and mxGC ( $r_s = 0.66$ ,  $P = 2.58 \times 10^{-16}$ ) scores significantly increased across prostate cancer GGs (Figure 2A) and showed expected expression patterns between benign prostate tissue and metastatic samples (Supplemental Figure 6A). Supporting these derived scores, cell cycle/proliferation–related genes form one of the most correlated transcriptional modules in our assay (Figure 1B and Supplemental Figure 2), regardless of their inclusion in CCP (all target genes), GPS (TPX2), or GC (e.g., UBE2C and NUSAP1). Likewise, the individual components of the mxGPS score were evaluated and showed expected expression across GGs and in benign prostate tissue and metastatic samples (Supplemental Figure 6B). Finally, clustering of GC target gene/transcript expression from our mxRNAseq data resulted in clustered expression patterns across prostate cancer progression (Supplemental Figure 6C). Hence, despite the obvious limitations inherent in deriving



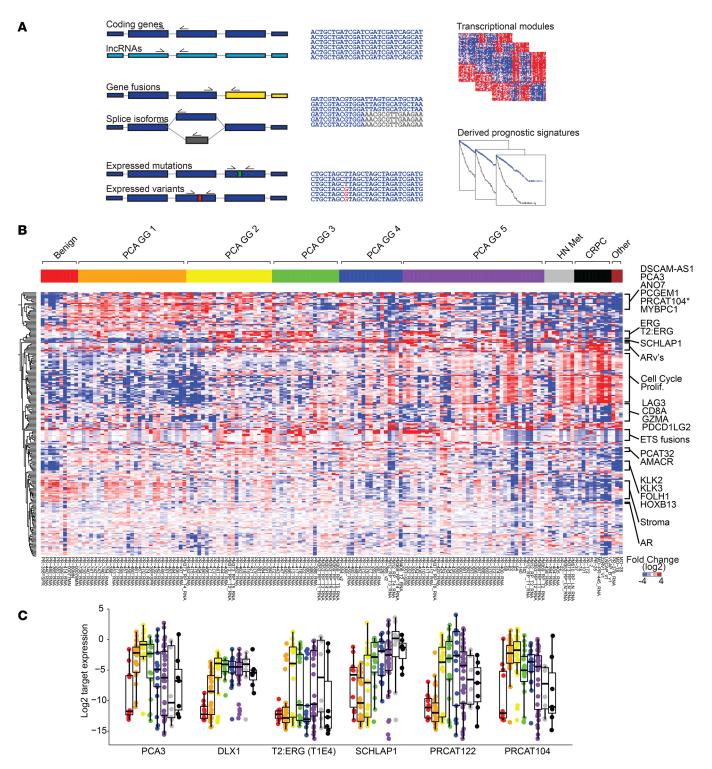


Figure 1. Targeted multiplexed RNA sequencing enables robust transcriptional profiling of prostate cancer. (A) Prostate cancer multiplexed RNA-sequencing (mxRNAseq) panel. To interrogate multiple classes of prostate cancer relevant transcriptional biomarkers, we designed a custom 306-amplicon mxRNAseq assay targeting coding genes, IncRNAs, gene fusions (e.g., *TMPRSS2:ERG* [*T2:ERG*]), splice isoforms (e.g., *AR* variants), expressed somatic mutations (e.g., *SPOP* hotspot mutations), and expressed germline risk variants (e.g., *HOXB13* p.G84E). Targets to derive commercially available prognostic assays, including Prolaris Cell Cycle Progression (CCP) score, Oncotype Dx Genomic Prostate Score (GPS), and Decipher Genomic Classifier (GC) score were also included. (B) mxRNAseq confirms expected transcriptional deregulation across prostate cancer progression. Unsupervised, centroid linkage hierarchical clustering of log<sub>2</sub>-normalized expression for 223 informative amplicons from our cohort of FFPE samples representing the full spectrum of prostate cancer progression (*n* = 156) is shown. Samples are sorted from benign prostate tissue, ascending grade group (GG) of localized prostate cancer (GG1–5, equivalent to Gleason scores 6, 3+4 = 7, 4+3 = 7, 8, and >8), hormone therapy naive metastases (HN Met), castration-resistant prostate cancer (CRPC), and nonprostate cancer specimens included as assay controls (far right). Individual transcripts and modules (e.g., cell cycle/proliferation [Prolif.], stroma, etc.) are indicated to the right. (C) Transcriptional deregulation of individual prostate



cancer transcriptional biomarker. Log<sub>2</sub>-normalized expression for prostate cancer-specific markers (*PCA3*, *DLX1*, *T2:ERG* [isoform *T1E4*]), overexpressed in aggressive prostate cancer-specific markers (*SCHLaP1* and *PRCAT122*) and underexpressed in aggressive prostate cancer-specific markers (*PRCAT104*), is shown across prostate cancer progression (same order/color legend as in **B**).

prognostic signatures developed on other platforms (particularly for GC given the included target transcripts and analytical approach), these results support the ability and internal validity of our mxRNAseq assay to derive multiple commercially available, expression-based prognostic biomarkers.

Assessment of prognostic biomarkers in the context of tumor multifocality. For maximum clinical utility, prognostic prostate cancer biomarkers used at biopsy should be robust to both undersampling of high-grade cancer in the same tumor focus as well as to an unsampled spatially distinct high-grade focus (Figure 2B). Thus, we comprehensively assessed the robustness of individual candidate prognostic transcripts and mxR-NAseq-derived signatures to clinically relevant tumor multifocality using a multistep approach on prostatectomy specimens.

First, we hypothesized that if prognostic signatures in low-grade tumors could predict unsampled high-grade tumor foci elsewhere in the prostate, such signatures should differ between GG1 foci from prostates with only organ-confined GG1 tumor foci (no metastatic potential) (26) and prostates with GG1 and concomitant higher-grade (GG2–5) foci. As shown in Figure 2C, no significant difference in mxCCP (2-sample unpaired t test; P = 0.27), mxGPS (P = 0.63), or mxGC scores (P = 0.93) was observed between GG1 samples from GG1-only prostates (n = 8 samples from 6 cases) and GG1 samples with coexisting multifocal GG2–5 foci (n = 21 samples from 15 cases). Likewise, PRCAT104 showed no significant difference between GG1 samples from GG1-only and GG1 with multifocal GG2–5 foci (P = 0.78; Supplemental Figure 7A), while SChLAP1 showed significantly lower expression in GG1 with multifocal GG2–5 foci versus GG1 only (P = 0.006; Supplemental Figure 7A).

Next, limiting the cohort to grade-discordant multifocal cases only (n=15 cases), we determined whether commercial prognostic signatures were equivalent in GG1 samples (n=21) versus all coexisting GG2–5 samples (n=34) from the same set of cases, as would be expected if such biomarkers were truly robust to multifocality. As shown in Figure 2D and Supplemental Figure 7C, in these patients, mxCCP (1-sided ANOVA for mxCCP score by GG, P=0.002), mxGPS ( $P=1.2\times10^{-10}$ ), and mxGC scores ( $P=7.9\times10^{-7}$ ), as well as SCHLAP1 expression ( $P=4.9\times10^{-4}$ ), were significantly different across GG, with significant direct correlations observed between SChLAP1 ( $r_s=0.52$ ,  $P=4.87\times10^{-5}$ ) and all prognostic scores (mxCCP [ $r_s=0.53$ ,  $P=3.11\times10^{-5}$ ], mxGPS [ $r_s=0.73$ ,  $P=2.55\times10^{-10}$ ], mxGC ( $r_s=0.64$ ,  $P=1.86\times10^{-7}$ ]) with increasing GG. Likewise, PRCAT104 expression was significantly inversely correlated with GG ( $r_s=-0.34$ , P=0.011). Taken together, these results do not support equivalent prognostic expression profiles in low-grade foci and concomitant multifocal high-grade foci.

The most concerning false-negative potential for prognostic tests given multifocality is when only a GG1 focus is sampled by diagnostic biopsy, yet an unsampled, very aggressive prostate cancer focus ( $\geq$ GG4) is present elsewhere in the prostate (Figure 2B). Hence, our cohort specifically included 8 informative cases with at least 1 sample from a GG1 focus and at least 1 sample from a  $\geq$ GG4 focus, to represent extremes of histologic aggressiveness in multifocality (MF cases 1–8; Figure 3A and Figure 4A). In addition to appearing multifocal by histopathology, molecular subtyping by our mxRNAseq assay (gene fusion and *SPOP* mutation status) supports clear multiclonality between the GG1 and  $\geq$ GG4 foci in 6 of 8 cases (MF cases 1, 2, 4–6, and 8), as shown in the integrative heatmap in Figure 3A. For example, in MF cases 4–6, samples from the GG1 tumor foci were T2:ERG-negative/ $SPOP^{\text{int}}$ , while samples from the GG4 foci (including samples from GG2 and GG3 morphology) were all T2:ERG-positive/ $SPOP^{\text{int}}$ . In the other 2 cases (MF cases 3 and 7), although samples from both the GG1 and  $\geq$ GG4 foci were T2:ERG-positive/ $SPOP^{\text{int}}$ , unique T2:ERG fusion isoforms were expressed exclusively in the low- or high-grade foci, consistent with multiclonality, with MF 3 showing definitive multiclonality by global genomic profiling (see below).

Next, we assessed whether derived prognostic signatures and single-gene prognostic biomarkers were robust to extreme grade-discordant multifocality. Histology from MF case 1 (overall GG5, pT3a) is shown in Figure 3B. In this case, posterior capsule sections in the same block showed tumor with distinct morphology from two separate foci. Two sampled areas of GG4 morphology (samples PR-354 and PR-355) were taken from the larger GG5 index tumor focus, along with a sample from a distinct focus of GG1 (PR-356). Of note, the GG1 sample harbored a *SPOP* p.F133V mutation detected by both mxRNAseq and mxDNAseq, while the GG4 samples were *SPOP*<sup>xt</sup>, consistent with multiclonality despite the spatial proximity of



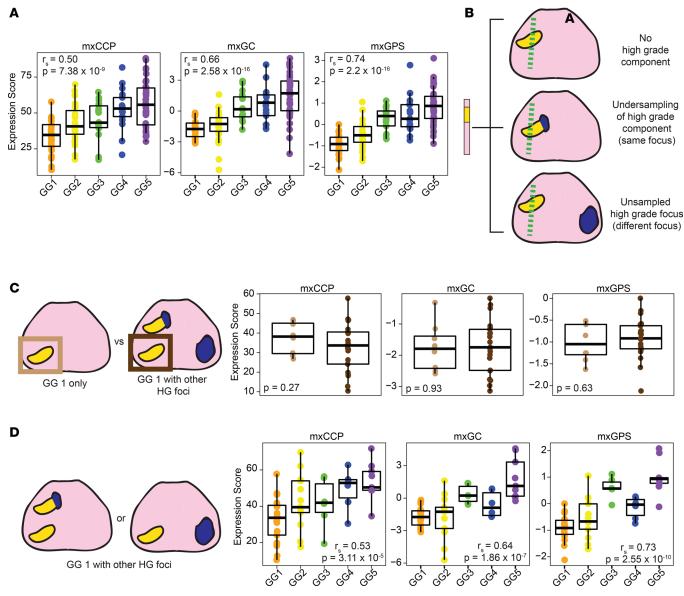
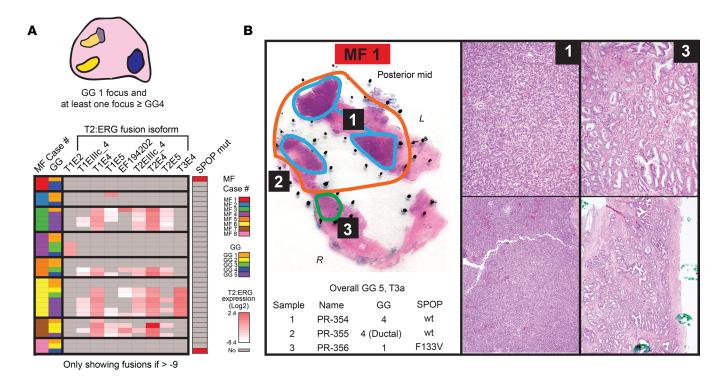


Figure 2. Derivation and assessment of clinically available prognostic signatures. (A) mxRNAseq-derived CCP (mxCCP), Decipher Genomic Classifier (mxGC), and Oncotype Dx GPS (mxGPS) scores are plotted stratified by grade group (GG1–5) for 125 FFPE primary prostate cancer tissue samples. Derived scores significantly increase with ascending GG (Spearman rank [r<sub>s</sub>] correlation, and 1-sided ANOVA *P* values are shown). (B) Due to both intratumoral grade heterogeneity as well as true multifocality, diagnostic prostate biopsy sampling of only low-grade prostate cancer (GG1, yellow) may reflect a lack of high-grade (HG) tumor component (top), undersampling of a high-grade (blue) component (GG2 or GG3 tumor focus, middle), or unsampling of a separate high-grade prostate cancer focus (bottom). As the most concerning scenario for a patient considering active surveillance (AS) is the latter, we tested the robustness of derived prognostic scores from GG1 to multifocality through several analyses. (C) Derived prognostic scores do not differ between GG1 prostate cancer when present in isolation or when other HG tumor foci are present. Derived prognostic mxCCP, mxGC, and mxGPS scores were plotted from samples of pT2 GG1 prostate cancer without HG foci (clinically indolent, light brown, n = 8 from 6 cases) versus scores from GG1 prostate cancer foci (n = 21 from 15 cases) where other HG foci were present. No significant differences between the 2 groups for any derived prognostic score were observed (2-sample, unpaired 2-sided t test *P* values are shown). (D) Derived prognostic scores differ between GG1 prostate cancer foci and concurrent HG foci. Derived prognostic scores were plotted for all samples from the 15 cases from C where samples were taken from both GG1 prostate cancer foci as well as other concurrent higher grade foci. Samples were stratified by the GG of the profiled component. Spearman rank (r<sub>s</sub>) correlation and 1-sided ANOVA *P* values for scores stratified by GG are shown, demonstrating significantly increased

the tumor foci. In this case of extreme multifocality, we would expect that if transcriptional biomarkers and signatures were robust to multifocality, the multifocal GG1 and GG4 samples should show similar profiles. However, as shown in Figure 3C, the GG1 and GG4 samples from this case display distinct derived prognostic signatures and individual transcript biomarker expression more in keeping with their morphology, based on comparison to all other samples in our compendium.





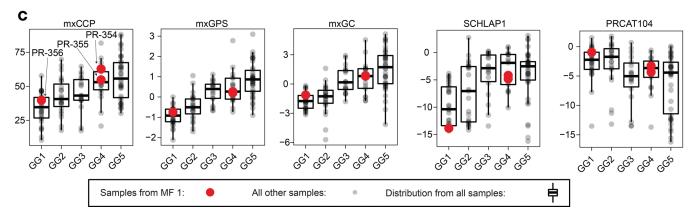


Figure 3. Derived prognostic signatures in the context of prostate cancer multifocality with extreme grade differences. (A) Our cohort included 8 informative multifocal cases (MF cases 1–8) with "extreme" grade differences (e.g., at least 1 low-grade [□G1] focus and at least 1 spatially independent high-grade [□G2] focus), as shown in the diagram on top. An integrative heatmap summarizes mxRNAseq profiling data supporting multifocality of these cases below. Individual *T2:ERG* fusion isoform expression and *SPOP* mutation status are shown for the 35 samples from these cases (case and GG of each sample shown according to the legend). *T2:ERG/SPOP* status (and unique *T2:ERG* isoform expression) support multifocality in these cases as described in the text). (B) Histology and mxRNAseq support extreme multifocality in case MF1, which had a large pT3a GG5 index tumor focus (orange). The posterior capsule section additionally contained a small, nearby but spatially distinct GG1 focus (green). Two samples were taken from the GG5 index focus (cyan 1 and 2), and 1 sample was taken from the GG1 focus (green, 3). Sample name, profiled morphologic GG, and *SPOP* mutation status is shown, along with high- and low-power histopathology of the indicated samples (original magnification, ×10 [top]; ×4 [bottom]). The morphology and *SPOP* p.F133V mutation only in the GG1 focus support clear multifocality. (C) Derived prognostic scores (mxCCP, mxGPS, mxGC), as well as expression levels of candidate prognostic long noncoding RNA (IncRNA) biomarkers (*SCHLAP1* and *PRCAT104*) from MF1 samples, are indicated as red points (stratified by profiled GG) overlying the distribution of all 125 localized prostate cancer samples from our cohort (see Figure 2A).

MF case 3 (overall GG5, pT3a, N1), showed a GG5 index focus involving nearly the entire prostate (Figure 4B, cyan), with a minute GG1 focus (with HGPIN-like morphology) that was separate from the index focus on all levels (Figure 4B, focus 5, green). mxDNAseq demonstrated a *TP53* p.*C238Y* somatic mutation and chr 9p deletion in all 4 samples from the index GG 5 focus (orange) and 2 samples from the lymph node metastasis (data not shown) but not in the GG1 focus (focus 5, green). By mxRNAseq, although the GG5 and GG1 samples expressed multiple *T2:ERG* gene fusions at similar levels, the GG1



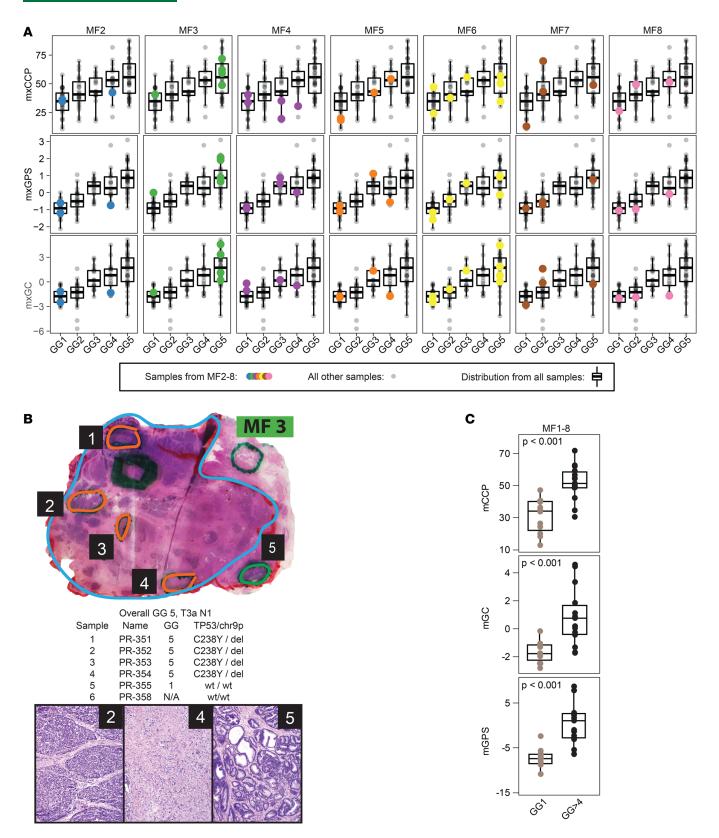


Figure 4. Derived prognostic scores are not robust to multifocal prostate cancer with extreme grade differences. (A) Derived prognostic scores (mxCCP, mxGPS, mxGC) from all profiled multifocal cases (MF2−8; MF1 in Figure 3) with extreme grade differences (i.e., at least 1 sample each from GG1 and ≥GG4 tumor foci). For each case, profiled samples are indicated by colored points overlying the overall cohort distribution, as in Figure 3C. (B) Histology and mxRNAseq support extreme multifocality in case MF3, which had a large pT3a GG5 index tumor focus (cyan) and a spatially distinct small GG1 focus (focus 5, green). Informative samples from the GG5 (orange) and GG1 (focus 5, green) foci are indicated (as well as a sampled area of normal prostate stroma in gray), with the chart showing sample name, profiled morphologic GG, and TP53 mutation/chromosome [chr] 9p deletion status, along with



histopathology of the indicated samples (original magnification, ×4). The morphology and distinct TP53 p.C238Y/9p deletion status support clear multifocality, in addition to the unique *T2:ERG* isoform expression seen only in the GG1 focus (Figure 3A). (C) Derived prognostic scores are not robust to multifocal prostate cancer with extreme grade differences. Derived prognostic scores from all GG1 samples versus ≥GG4 tumor foci from the 8 multifocal cases with extreme grade group differences shown in Figure 3, A and C, are plotted (2-sample, unpaired 2-sided *t* test *P* values are shown).

focus uniquely expressed the *T2:ERG*.EF194202 isoform (Figure 3A). Collectively, these results demonstrate that the low- and high-grade tumor foci in this case represent true multifocality at the extremes of aggressiveness, making this case ideal to assess the robustness of transcriptomic biomarkers to multifocality.

Assessment of the transcriptional profile of other MF cases (see Supplemental Results and Supplemental Figures 8A and 9 for additional case descriptions) consistently demonstrated similar findings in nearly all cases (Figure 4C and Supplemental Figure 8B). Across the 8 extreme grade-discordant MF cases, we observed a significantly higher mxGPS score ( $P = 6.2 \times 10^{-6}$ ), mxCCP score ( $P = 3.5 \times 10^{-5}$ ), mxGC score (P = 0.0004), and *SCHLAP1* expression ( $P = 4.2 \times 10^{-5}$ ) in  $\geq$ GG4 versus GG1 samples ( $P = 1.2 \times 10^{-5}$ ) expression ( $P = 1.2 \times 10^{-5}$ ). Likewise, *PRCAT104* was significantly lower in the  $\geq$ GG4 versus GG1 samples (P = 0.008; Supplemental Figure 8B). Taken together, our data demonstrate that, in the context of true multifocality, derived prognostic expression signatures and single-gene biomarkers are not robust across concomitant tumor foci with extremes of histologic grade.

## **Discussion**

We developed a targeted mxRNAseq assay to enable comprehensive profiling of prostate cancer–specific transcriptomic events, including derived commercially available prognostic tests, across routine prostate cancer FFPE specimens. Applying this assay to prostate cancer tissue samples — including cases of extreme grade discordant multifocality (distinct foci of GG1 and ≥GG4) — we demonstrate that derived commercially available prognostic signatures and candidate single-transcript biomarkers obtained from a low-grade cancer focus do not predict the presence of coexisting high-grade foci.

An important feature of localized prostate cancer is the nearly ubiquitous nature of multifocal disease, with most men having more than one genomically distinct tumor focus in the prostate at radical prostatectomy. Such multifocality has the potential to profoundly influence multiple aspects of prostate cancer clinical management, particularly a recommendation to pursue active surveillance. A common question faced by physicians and patients alike when confronted with a new diagnosis of apparent low-grade/low-risk prostate cancer is could there be more aggressive disease elsewhere in the prostate? To be sure, inter/intrafocal genomic heterogeneity is not unique to prostate cancer; it has been reported in several other malignancies, including kidney cancer, lung cancer, and medulloblastoma, and has been shown to affect cancer outcomes (10, 27, 28). Notably, in a recent genomic characterization of localized prostate cancer, multiple subclones of cancer were found in 59% of patients(29). The authors reported that multiclonality was associated with a higher risk of relapse among cases of localized prostate cancer, suggesting that biomarkers that can capture tumor heterogeneity should be developed for low-risk tumors in patients thought to be suitable for active surveillance (29).

Current tissue-based prognostic assays applicable to patients considering active surveillance after biopsy, such as Prolaris, Oncotype DX, and Decipher, were constructed on the premise that risk stratification can be significantly improved through molecular assessment of the biopsy detected prostate cancer (4-7). In validation studies, these tests were shown to improve on the performance of multivariate clinicopathological models for predicting adverse surgical pathology as well as longer term oncologic outcomes (6, 30-32). However, in many of these studies, the initial development and validation was performed using prostatectomy tissue, enabling a priori capture of the index/highest grade lesion (6, 30–32). Importantly, one test directly claims that its signature is capable of capturing the biological profile of all prostate cancer foci within a given prostate, even if the biopsy missed the higher grade focus (6). Tests with such robustness to multifocality would be of tremendous clinical value, especially when one considers the large numbers of men diagnosed with low-risk prostate cancer every year in the United States. Although these tests were not validated on low-grade cancers in the context of multifocality, the 2018 National Comprehensive Cancer Network guidelines include Oncotype Dx, Decipher, and Prolaris as methods of molecular risk stratification for men with very-low- and low-risk disease on biopsy. Additionally, while these tests are not recommended by the American Urological Association and the European Association of Urology for use in this setting, Oncotype Dx and Prolaris have received positive coverage decisions from Centers for Medicare and Medicaid Services and many urologists may be using these tests in this manner.



Without accurate assessment, patients who initially have an unsampled higher grade focus may appear to "rapidly progress" on active surveillance when subsequent biopsy samples identify a preexisting high-grade focus or unexpected aggressive pathology at radical prostatectomy in those who elect definitive management (33). Our study, which also included profiling of coisolated DNA to inform on true multifocality, clearly demonstrates that the molecular profile of a single cancer focus does not capture the multifocal genomic and transcriptomic landscape of primary prostate cancer, particularly when the sampled focus is low grade. These findings are supported by smaller scale studies of multifocal prostate cancer that found significant interfocal heterogeneity (21, 34). Notably, Wei et al. also observed discordant RNA expression profiles among distinct foci from 4 radical prostatectomy samples; however, this study did not use precisely isolated FFPE samples and no correlation between GG and prognostic scores was determined (21). Likewise, Radtke et al. demonstrated that although GC scores were generally consistent between MRI/ultrasound fusion-guided biopsies containing the highest grade prostate cancer and the index foci at prostatectomy in a cohort of 7 patients, 1 patient showed discordant GC profiles in high- and low-grade biopsy cores (34). Additional large-scale studies are needed to examine the gene expression profiles associated with cancers identified by MRI-guided biopsy.

Our study has several limitations. First, we did not precisely assess for robustness to intrafocal tumor heterogeneity. Several tissue-based prognostic tests have directly assessed this, and orthogonal protein and genomic data support this concept (6, 35). Second, we did not use the actual commercially available tests (Oncotype Dx, Prolaris, or Decipher, including their direct analytical methods) or perform our assay in a CLIA-accredited laboratory environment. Specific to the challenges of deriving scores on an orthogonal platform, we were only able to design amplicons for 18 of the 22 included transcripts in the GC score, and we used the housekeeping genes of GPS to normalize CCP scores. Nevertheless, numerous lines of evidence described in the Supplemental Methods support the validity of our results and observed expression profiling. It is unlikely that the commercial assays would perform any differently in assessing multifocal disease, given that our results demonstrated clear differences in the overall gene expression profile of lowand high-grade prostate cancer. Third, we utilized prostatectomy tissue samples for multifocality robustness assessment instead of biopsy tissue samples as would be typically assessed by these commercially available assays. This approach was necessary to ensure profiling of true multifocal disease. Studies are ongoing to perform a similar assessment on biopsy tissues (e.g., assessing concordance of signatures from biopsies with small volume, GG1 prostate cancer on one side of the prostate versus biopsies with ≥GG4 prostate cancer on the contralateral side).

Both tumor multifocality and heterogeneity represent significant challenges in the evaluation and management of men with primary prostate cancer. In aggregate, our findings suggest that without clear validation in patients with extremes of GG discordant multifocal disease, caution should be exercised when using expression-based genomic tests obtained from a single low-grade cancer focus to make treatment decisions. Development of blood, urine, tissue, and imaging-based biomarkers that can overcome obstacles imposed by prostate cancer multifocality and heterogeneity are urgently needed to better inform initial management in the thousands of men with apparent low-grade prostate cancer considering active surveillance each year.

### Methods

Patients and specimens. We assembled a retrospective, multi-institution, nonconsecutive cohort of 193 FFPE tissue specimens chosen to represent the complete spectrum of prostate cancer progression (Supplemental Table 1). Additionally, we included melanoma samples (n = 2) and FFPE prostate cancer cell line pellets (n = 3; 22RV1, LNCAP, and VCAP) as controls for specific amplicons. Relevant demographic, clinical, and pathologic data were extracted from each patient's medical chart. All profiled prostate cancer samples were evaluated for multifocality, assigned a Gleason score and GG, and outlined for isolation by a board certified anatomic pathologist with experience in prostate and molecular pathology (36). We classified samples from regions of Gleason score 4+3 cancer with tertiary pattern 5 as GG4 given equivalently aggressive behavior (37).

mxRNAseq assay design. Relevant prostate cancer transcripts and prognostic signatures were identified from internal and external comprehensive expression and mutational profiling efforts, clinically available prognostic tests, and potentially useful biomarkers. Using the Ampliseq White Glove service, we designed a custom 306-amplicon multiplex Ampliseq RNA-sequencing (mxRNAseq) panel targeting multiple classes of relevant transcriptional alterations (Supplemental Table 2). Amplicons were included to assess 3 previously



assessed candidate housekeeping genes (19), all housekeeping (n = 5) and target genes (n = 12) from Oncotype Dx GPS (6), and all target genes (n = 31) from Myriad's Prolaris assay [CCP score] (4). We were able to design amplicons for 18 of the 22 included transcripts in GenomeDX's Decipher prostate cancer test (which generates a GC score, with 3 distinct amplicons each targeted against different regions of the *MYBPC1*, *ANO7*, and *UBE2C* loci [for a total of 24 amplicons]) (7).

RNA and DNA NGS and bioinformatics methods. RNA and DNA isolation and NGS were performed as detailed in the Supplemental Methods and as previously described (18, 38, 39). Detailed bioinformatics methods, fusion isoform- and partner-level analyses, AR and AR splice variant analysis, and DNA variant calls and copy number analyses are as previously reported and described in the Supplemental Methods (18, 38, 40).

Derivation of commercially available prognostic scores. For each sample, we derived CCP, GPS, and GC (mxCCP, mxGPS and mxGC scores, respectively) based on the normalized expression of the specific target genes included in each respective assay as described in detail in the Supplemental Methods (5, 7, 20). Briefly, for mxCCP scores, we used the previously published formula for calculating CCP scores for nonreplicate experiments using GPS housekeeping genes (5). For mxGPS, scores were derived by adding log<sub>2</sub>-normalized gene expression values for each score module and adding the weighted modules as previously published (20). Given that the Decipher GC score was built using a random forest-based classifier and not all target transcript locations have been published, we calculated mxGC scores as previously reported (7) and as follows: (average of the log<sub>2</sub>-normalized values of overexpressed in prostate cancer progression target genes) – (average of the log<sub>2</sub>-normalized values of underexpressed in prostate cancer progression target genes).

Statistics. One-way ANOVA tests were used to compare differences in mean score among GG1–5 for derived prognostic scores (mxCCP, mxGPS, and mxGC) and normalized expression of single candidate prognostic targets. Spearman rank-order correlations ( $r_s$ ) were determined for derived prognostic scores and normalized expression of single candidate prognostic targets versus GG. Two-sample, unpaired, two-tailed t tests were performed to compare derived prognostic scores and single prognostic targets between groups of samples. All statistical analyses were performed using R. P < 0.05 was considered statistically significant.

Study approval. The study was approved by the institutional review boards of the University of Michigan Medical School, Office of Research, University of Michigan Medical School. This is a retrospective assessment of archived specimen with minimal risks, thus informed consent was not required for the conduct of this study.

#### **Author contributions**

SSS, SFS, SAT, and GSP designed research studies. SSS, KRP, and LLDLV conducted experiments. SSS, DHH, JBK, RM, AMU, NEC, ML, KRP, LL, MS, NRL, JC, MAR, SFS, and SAT acquired data. SSS, DHH, JBK, and SAT analyzed data. SSS, DHH, JBK, SAT, and GSP wrote the first draft of manuscript. SSS, DHH, JBK, RM, AMU, NEC, ML, KRP, LLDLV, MS, NRL, DES, TMM, MSD, AMC, JC, MAR, SFS, SAT, and GSP critically reviewed the manuscript.

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