

Epstein-Barr virus-specific T cell therapy for progressive multiple sclerosis

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BACKGROUND. Increasing evidence indicates a role for EBV in the pathogenesis of multiple sclerosis (MS). EBV-infected autoreactive B cells might accumulate in the CNS because of defective cytotoxic CD8* T cell immunity. We sought to determine the feasibility and safety of treating progressive MS patients with autologous EBV-specific T cell therapy.

METHODS. An open-label phase I trial was designed to treat 5 patients with secondary progressive MS and 5 patients with primary progressive MS with 4 escalating doses of in vitro-expanded autologous EBV-specific T cells targeting EBV nuclear antigen 1, latent membrane protein 1 (LMP1), and LMP2A. Following adoptive immunotherapy, we monitored the patients for safety and clinical responses.

RESULTS. Of the 13 recruited participants, 10 received the full course of T cell therapy. There were no serious adverse events. Seven patients showed improvement, with 6 experiencing both symptomatic and objective neurological improvement, together with a reduction in fatigue, improved quality of life, and, in 3 patients, reduced intrathecal IgG production. All 6 patients receiving T cells with strong EBV reactivity showed clinical improvement, whereas only 1 of the 4 patients receiving T cells with weak EBV reactivity showed improvement (P = 0.033, Fisher's exact test).

CONCLUSION. EBV-specific adoptive T cell therapy was well tolerated. Clinical improvement following treatment was associated with the potency of EBV-specific reactivity of the administered T cells. Further clinical trials are warranted to determine the efficacy of EBV-specific T cell therapy in MS

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Introduction

Multiple sclerosis (MS) is a chronic inflammatory demyelinating disease of the CNS causing progressive disability. Genetic and environmental factors both contribute to the risk of developing MS. Over the last 40 years there has been steadily increasing evidence that infection with EBV has a role in the development of MS (1, 2). Multiple independent studies have confirmed the universality of EBV infection in MS (3) and the presence of high titers of anti-EBV antibodies in the sera both during the clinical course of MS (4) and before the clinical onset of MS (5).



EBV is unique among the viruses infecting humans in its ability to infect, activate, and clonally expand B lymphocytes and then persist as a latent infection within these cells. It infects ≈90% of the adult population worldwide and persists for the lifetime of the infected individual, with latency in B cells and reactivation as a lytic infection in plasma cells and tonsillar epithelial cells, whence it is shed into saliva for transmission to new hosts. In health, the number of EBV-infected cells is kept under stringent immune control, particularly by EBV-specific cytotoxic CD8+ T cells, which kill proliferating and lytically infected cells (6). The vital role of immune surveillance in the control of EBV infection is demonstrated by the occurrence of EBV-positive B cell lymphoproliferative disorders in the immunosuppressed. In 2003, we proposed the hypothesis that a defect in the CD8+ T cell control of EBV predisposes to MS by allowing EBV-infected autoreactive B cells and plasma cells to accumulate in the CNS (7). This hypothesis is supported by reports of an accumulation of EBVinfected B cells and plasma cells in the brain in MS (8-11); however, other studies have failed to detect evidence of EBV infection in the brain (12, 13). A crucial prediction derived from this hypothesis is that EBV-specific T cell therapy should kill EBV-infected B cells in the CNS and thereby prevent disease progression and lead to clinical improvement (7, 14). We have shown that, despite the quantitative deficiency of EBV-specific CD8+T cells in MS patients (15, 16), it is possible to generate EBV-specific CD8+ T cell lines from these patients by in vitro stimulation with EBV antigens (15), suggesting that EBV-specific T cell therapy might be feasible.

AdE1-LMPpoly is a novel adenoviral vector encoding CD8+ T cell epitopes from EBV nuclear antigen 1 (EBNA1), latent membrane protein 1 (LMP1), and LMP2A, which has been used to stimulate and expand EBV-specific CD8+ T cells in patients with nasopharyngeal carcinoma, where the cancer cells express these 3 EBV proteins (17, 18). Because EBV-infected B cells in the brain in MS express the same 3 EBV proteins (8, 19), we considered that T cells expanded with AdE1-LMPpoly might kill EBV-infected B cells in the CNS and thereby benefit patients with MS. In 2013, we first treated an MS patient with EBV-specific T cell therapy through the Special Access Scheme of the Australian Government Therapeutic Goods Administration (20). The patient was a 42-year-old man with secondary progressive MS (SPMS) and an Expanded Disability Status Scale (EDSS) score (21) of 8.0. Treatment with 4 escalating doses of autologous EBV-specific T cells was followed by clinical improvement and decreased cerebrospinal fluid (CSF) IgG production, both of which were sustained for 3.5 years after T cell therapy.

In this investigator-led phase I clinical trial, we sought to expand on this single-patient finding to determine whether autologous LMP/EBNA1-specific T cells can be generated to clinical scale from the blood of patients with progressive MS and to assess the safety and tolerability of adoptive transfer of LMP/EBNA1-specific T cells into patients with progressive MS. Our plan was to treat 10 patients, 5 with SPMS and 5 with primary progressive MS (PPMS).

Results

Adoptive T cell therapy characterization and clinical safety. Thirteen participants were enrolled (Figure 1 and Table 1). The mean duration of disease progression was 11.8 ± 7.7 years. For 11 of the 13 participants, EBV-specific T cells were successfully produced to clinical scale from their peripheral blood mononuclear cells (PBMCs) following in vitro expansion (Figure 2), with a median specificity of 6.3% (range, 0.34%–45.45%) of CD8+ T cells in patients with detectable antigen-specific T cells. The HLA restriction and antigen specificity of the patient-specific T cell responses are provided in Table 2. The failure to generate T cells in 2 participants (participants 10 and 11) is likely due to a lack of HLA matching of the patients with T cell epitopes encoded in the vector. Ten patients (5 with SPMS and 5 with PPMS) each received 4 escalating doses of T cells in accordance with the protocol, including 1 SPMS patient (participant 9) who had been treated 4 years earlier through the Special Access Scheme (20). The remaining 3 patients (participants 7, 10, and 11) were withdrawn prior to receiving cell therapy: 1 for an unrelated diagnosis of malignancy and 2 owing to an inability to generate EBV-specific T cells (Figure 1).

Autologous EBV-specific T cells were well tolerated, and there were no serious adverse events (AEs) (Table 3). Only one patient experienced a definitely or probably treatment-related AE; this was a definitely related transient grade 1 "altered taste," judged to be due to dimethyl sulfoxide, which was used as a cryoprotectant. There were no grade 4 or 5 AEs. Throughout the trial, there were no protocol violations affecting participant safety or data integrity. Minor protocol deviations occurred and can be grouped into the following types: postinfusion observations (e.g., blood pressure, heart rate) missed or recorded outside of the required time window; and lumbar punctures missed or not performed as required (e.g., volume of CSF lower than that required).



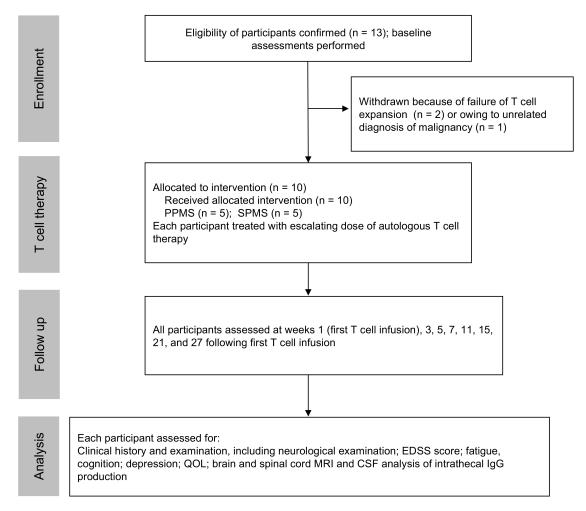


Figure 1. CONSORT diagram showing enrollment of participants, adoptive immunotherapy, and clinical follow-up.

Clinical outcomes following T cell therapy. Seven participants (participants 1, 3, 4, 5, 9, 12, and 13) showed clinical improvement. Six (participants 1, 4, 5, 9, 12, and 13) experienced both symptomatic and objective neurological improvement, which commenced 2-14 weeks after the first infusion (Table 4) and which was accompanied by a reduction in EDSS score in 3 patients (participants 5, 12, and 13). Another patient (participant 3) also had symptomatic improvement but without objective neurological improvement. Of the 3 patients not showing improvement, 2 (participants 2 and 6) remained stable throughout the observation period and 1 (participant 8) had initial symptomatic improvement but deterioration with an increase in EDSS score at the end of the monitoring period in the setting of heightened psychosocial stressors. The median Fatigue Severity Scale score (22) for the combined group of 10 treated patients was lower at week 27 than at week 1 (P = 0.0547; Wilcoxon matched-pairs signed-rank test) (Figure 3A). This score was also lower at week 7 and at week 15 compared with week 1, although not significantly so (P = 0.0742 and P = 0.1797, respectively). Reduction in fatigue was a prominent feature in 5 of the patients experiencing neurological improvement (participants 1, 4, 5, 9, and 12) (Figure 3B). Furthermore, the patients showing improvement also reported improved quality of life (QOL) (23). The Montreal Cognitive Assessment score (24) was not helpful in monitoring the response to treatment because it increased after the first two iterations of the assessment at weeks 1 and 7, likely indicative of item-specific practice effects related to participant familiarity with the test material.

Figure 3C shows the results of the 15 components of the comprehensive neuropsychological assessment (25) before and after T cell therapy. Importantly, the performances after T cell therapy were highly comparable to those observed at baseline, suggesting that T cell therapy did not lead to cognitive deterioration. Statistically significant, or nearly significant, increases in attainment were observed at week 27 across two measures, the Controlled Oral Word Association Test (COWAT, a verbal fluency test) and the



Table 1. Characteristics of patients at enrollment in this study

| Participant | Sex | Age (yr) | Course of MS | Duration of MS (yr) | Duration of progression (yr) ^A | EDSS |
|-----------------|--------|----------|--------------|---------------------|---|------|
| 1 | Female | 49 | SPMS | 19 | 3 | 6.5 |
| 2 | Female | 54 | SPMS | 21 | 12 | 6.5 |
| 3 | Male | 61 | SPMS | 27 | 9 | 6.5 |
| 4 | Female | 49 | PPMS | 15 | 15 | 8.0 |
| 5 | Female | 60 | SPMS | 25 | 20 | 6.5 |
| 6 | Male | 53 | PPMS | 19 | 19 | 6.0 |
| 7 ^B | Female | 61 | PPMS | 24 | 24 | 8.0 |
| 8 | Female | 42 | PPMS | 4 | 4 | 6.5 |
| 9 ^c | Male | 46 | SPMS | 23 | 13 | 8.0 |
| 10 ^B | Female | 59 | PPMS | 7 | 7 | 7.0 |
| 11 ^B | Female | 73 | PPMS | 22 | 22 | 6.5 |
| 12 | Male | 60 | PPMS | 3 | 3 | 5.0 |
| 13 | Female | 55 | PPMS | 3 | 3 | 5.0 |
| | | | | | | |

ADuration of progressive phase of MS. BThese participants did not receive EBV-specific T cell therapy. Treated with EBV-specific T cell therapy 4 years previously. SPMS, secondary progressive MS; PPMS, primary progressive MS; EDSS, Expanded Disability Status Scale score.

Paced Auditory Serial Addition Test (PASAT3, a working memory/processing speed task) (P = 0.074 and P = 0.0356 respectively, paired 2-tailed t test); however, after applying the Bonferroni correction for multiple comparisons, these P values no longer reached significance. Finally, 2 participants (participants 2 and 8) exhibited an increase in depressive symptomatology, both on formal psychometric testing with the Beck Depression Inventory (26) and the 2 screening questions for depression (27), arising in the context of heightened psychosocial stressors.

The MRI brain scan findings are presented in Table 5. Five of the ten patients receiving T cell therapy (participants 1, 4, 6, 8, and 9) had 1 gadolinium-enhancing MRI brain lesion at baseline. In participant 6, the enhancing lesion did not change throughout the observation period and was thought to be unrelated to MS. In addition to participant 6, 4 patients (participants 1, 4, 9, and 12) had 1–4 enhancing lesions at week 15. Compared with baseline, the number of enhancing lesions at week 15 increased in 3 participants (participants 4, 9, and 12) and decreased in 1 participant (participant 8); each of the 3 participants with increased enhancing lesions showed neurological improvement. Two patients (participants 4 and 9) had 1–2 enhancing lesions at week 27.

CSF IgG analysis was performed before and after T cell therapy in 9 patients; 1 patient declined follow-up lumbar puncture (Table 6). The CSF IgG index and intrathecal IgG synthesis had decreased at week 27 compared with baseline in 4 of the 9 patients (participants 1, 6, 9, and 13), 3 of whom showed neurological improvement (participants 1, 9, and 13). There was no detectable change in the pattern of CSF oligoclonal IgG bands after T cell therapy. Notably, in participant 9, whom we first treated with EBV-specific T cell therapy in 2013 and treated again in 2017 in this trial, the CSF IgG index and intrathecal IgG synthesis decreased after the first course of treatment in association with clinical improvement (20), increased 3.5 years after treatment in association with worsening symptoms, and again decreased after retreatment, in association with clinical improvement (Figure 4).

Relationship between adoptive T cell therapy and clinical response. To assess the role of T cell specificity in disease control, we assessed the frequency and polyfunctional activity of EBV-specific T cells generated for adoptive immunotherapy. Neither the patients nor the examining neurologists were aware of the level of EBV reactivity within the T cell product, thus minimizing the potential for bias. Clinical improvement was associated with a higher frequency of EBV-specific CD8⁺ T cells in the administered product (Figure 5A). All 6 patients receiving T cells with strong EBV reactivity (frequency >5% of CD8⁺ T cells) showed clinical improvement, with 3 patients showing an improvement in EDSS score, whereas only 1 of the 4 patients receiving T cells with weak EBV reactivity (frequency <5% of CD8⁺ T cells) showed improvement and no change in EDSS score (P = 0.033 for CD107a, IFN- γ , and TNF- α ; P = 0.167 for IL-2, Fisher's exact test). QOL significantly improved in patients receiving T cells with strong EBV reactivity compared with those receiving T cells with weak EBV reactivity (P = 0.0381,



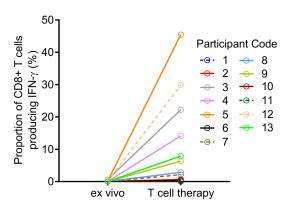


Figure 2. Expansion of EBV-specific T cells from MS patients. PBMCs (ex vivo) or T cells stimulated with AdE1-LMPpoly (T cell therapy) were assessed for the intracellular production of IFN-γ following recall with a peptide pool of CD8* T cell epitopes encoded by EBNA1, LMP1, and LMP2A. Data represent the proportions of CD8* T lymphocytes producing IFN-γ.

Mann–Whitney test). These observations were supported by the presence of a higher proportion of EBV-specific polyfunctional T cells (expressing CD107a, IFN- γ , TNF- α , and IL-2) in the T cell therapy administered to participants showing clinical improvement than in the therapy administered to participants not showing clinical improvement (Figure 5B) (P < 0.0001, multiple 2-tailed t test with the Holm-Sidak correction for multiple comparisons). This polyfunctional T cell profile, particularly the coexpression of IL-2, is associated with improved viral control in other settings.

Discussion

This is the first report to our knowledge of a prospective clinical trial of autologous EBV-specific T cell therapy in MS. Autologous T cell therapy for MS patients in this study was safe and well tolerated, with no serious AEs, and the only AE definitely related to treatment was transient dysgeusia in 1 participant. Despite the fact that all the treated participants had progressive MS, only 1 patient had neurological deterioration during the study, and this occurred at the end of the observation period in the setting of psychosocial stress. Seven patients experienced clinical improvement. Although this was an uncontrolled study, our finding of a substantial relationship between clinical response and EBV reactivity and polyfunctionality of the T cell product, of which both the patients and examining neurologists were unaware, suggests that the clinical benefit might be due to the T cell therapy. All 6 participants receiving T cells with strong EBV reactivity experienced clinical improvement, with 3 patients showing an improvement in EDSS score, whereas only 1 of the 4 participants receiving T cells with weak EBV reactivity showed improvement and no change in EDSS score. The clinical improvements occurred in patients who had experienced progressive neurological deterioration for a mean of 10.1 ± 6.7 years prior to T cell therapy and did not represent resolution of acute MS relapses.

| Proportion of CD8 * T cells producing IFN- γ (%) | Antigen specificity of T cell therapy | HLA restriction of T cell therapy |
|--|--|--|
| 0.4 | EBNA1 | N.A. |
| 0.72 | LMP2A | B*14:02 |
| 22.25 | LMP2A | A*25:01 |
| 14.16 | EBNA1, LMP2A | B*07:02, B*27:05 |
| 45.45 | EBNA1, LMP1, LMP2A | A*02:01, B*57:01 |
| 0.34 | LMP2A | A*24:02 |
| 2.15 | EBNA1, LMP1 | B*57:01 |
| 2.87 | LMP2A | A*02:01 |
| 6.34 | LMP1, LMP2A | A*02:01 |
| 0.01 | N.A. | N.A. |
| 0.06 | N.A. | N.A. |
| 29.85 | EBNA1 | B*07:02, B*35:01 |
| 7.90 | EBNA1, LMP1, LMP2A | A*02:01, B*07:02 |
| | (%) 0.4 0.72 22.25 14.16 45.45 0.34 2.15 2.87 6.34 0.01 0.06 29.85 | (%) 0.4 EBNA1 0.72 LMP2A 22.25 LMP2A 14.16 EBNA1, LMP2A 45.45 EBNA1, LMP1, LMP2A 0.34 LMP2A 2.15 EBNA1, LMP1 2.87 LMP2A 6.34 LMP2A 0.01 N.A. 0.06 N.A. 29.85 EBNA1 |

N.A., not available



Table 3. Treatment-related adverse events

| Adverse event | Causality | | Total no. of SAEs | | | | |
|---------------|-----------|-----------------------|-------------------|---|---|---|---|
| | | 1 | 2 | 3 | 4 | 5 | |
| Altered taste | Definite | 3 ^A | | | | | 0 |
| Nausea | Possible | 1 ^A | 1 | | | | 0 |
| Dizziness | Possible | 1 ^A | | | | | 0 |
| Insomnia | Possible | 1 ^A | | | | | 0 |

This table includes all adverse events judged to be possibly related, probably related, or definitely related to T cell therapy. All these events occurred in the same participant (participant 1). CTCAE, Common Terminology Criteria for Adverse Events version 4.03 (1, mild; 2, moderate; 3, severe; 4, life-threatening; 5, death); SAEs, serious adverse events.

Reduction in fatigue, although clearly subjective, was a consistent and prominent feature in patients showing neurological improvement. Fatigue is the most frequent and disabling symptom of MS and is refractory to current disease-modifying therapies. The fact that fatigue may herald the onset of MS, often by years (28), and that it is also a frequent and disabling symptom of acute infectious mononucleosis due to primary EBV infection suggest that fatigue in MS is directly related to poorly controlled EBV infection, which is supported by our observation of a reduction in fatigue following EBV-specific T cell therapy. Although the improvements in the COWAT and PASAT3 components of the neuropsychological test battery might be explained by practice effects, these components warrant further scrutiny in a controlled trial.

Clinical improvement occurred in patients with PPMS as well as in patients with SPMS; 3 of the 5 participants with PPMS and 4 of the 5 participants with SPMS showed improvement. We postulate that clinical improvement results from the killing of EBV-infected B cells in the CNS by the adoptively transferred CD8⁺ T cells, thereby preventing further autoimmune attack on the CNS and allowing

Table 4. Clinical response to T cell therapy

| Participant | Clinical outcome after T cell therapy |
|-------------|---|
| 1 | Reduced fatigue. Improved ability to garden, paint, and perform daily activities. Improved balance, with Romberg's sign changing from positive to negative. |
| 2 | No change. |
| 3 | Improved energy levels and productivity. Improved endurance in swimming and gardening. Improvement in power of left hip and knee flexion but deterioration in left ankle plantarflexion. |
| 4 | Reduced fatigue. Better able to converse and concentrate on conversation. Resolution of vertigo. Reduction in diplopia. Increased manual dexterity with utensils. Reduced lower limb spasms. Resolution of symptomatic lower limb clonus. Reduced urinary urgency and 75% reduction in nocturia. Visual acuity improved from 6/9 (20/30) bilaterally to 6/6 (20/20) bilaterally. Color vision (Ishihara plates) improved bilaterally, from recognition of 12 plates to 20 of the total 21 plates for the right eye and from recognition of 15 plates to 19 plates for the left eye. |
| 5 | Reduced fatigue. Increased productivity in all activities. Improved manual dexterity – insertion of earrings for first time in years. Improved walking ability from 100 to 1,500 meters with wheeled walker and to 100 meters with unilateral assistance. 80% reduction in nocturia, and improved sleep. Near complete resolution of lower limb spasticity, normalization of lower limb power and knee jerks for first time in 16 years, transient normalization of plantar reflexes from extensor to flexor, normalization of coordination in upper and lower limbs, and resolution of impaired light touch and vibration sense in lower limbs. Improvement in EDSS score from 6.5 to 6.0. |
| 6 | No change. |
| 8 | Initial improvement in concentration, mental clarity (with lifting of mental fog), and productivity. At week 27 there was an increase in right lower limb pain, spasms, and weakness, with EDDS increasing from 6.5 at week 21 to 7.0 at week 27 and decreased mood related to social circumstances. |
| 9 | Reduced fatigue. Improved cognition and word-finding. Increased productivity. Increased voluntary movements in toes and at left ankle. |
| 12 | Reduced fatigue. Improved concentration and mental clarity (lifting of mental fog). Improved speech and ability to follow complex conversation. Increased productivity, 60% reduction in nocturia, Increased walking distance from 200 to 500 meters without aid or |

Improved sleep quality. Improved mood. Improved handwriting. Increased walking distance from 200 to 440 meters without aid or rest. Romberg's sign changed from positive to negative. Improvement in EDSS score from 5.0 to 4.5.

rest. Improvement in EDSS score from 5.0 to 3.5.



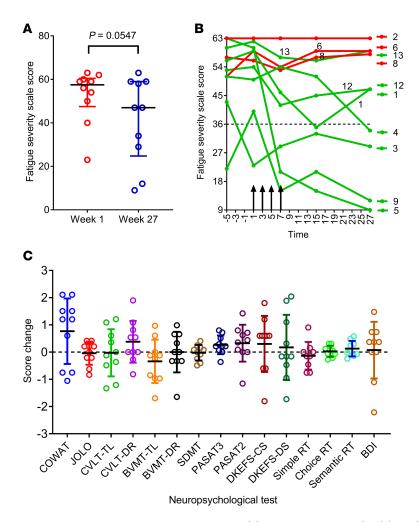


Figure 3. Fatigue score and cognitive function after T cell therapy. (A) Fatigue Severity Scale (FSS) (ref. 22) score at week 1, immediately before the first T cell infusion, and at week 27 (n = 10). A total score of 36 (indicated by dotted horizontal line in B) or more suggests that a person is suffering from fatigue. The maximum score is 63 and the minimum score is 9. Horizontal bars indicate the medians and interguartile range. P = 0.0547, Wilcoxon matched-pairs signed-rank test. (B) FSS score over time in each of the treated patients. Vertical arrows indicate successive T cell infusions of 5×10^6 , 1×10^7 , 1.5×10^7 , and 2×10^7 cells. Red lines indicate patients showing no symptomatic improvement (participants 2, 6, and 8) and green lines indicate patients showing symptomatic improvement (participants 1, 3, 4, 5, 9, 12, and 13). The participant showing the greatest reduction in fatigue (participant 5) received T cells with the highest degree of EBV reactivity (45.45% of CD8+T cells). (C) Standardized change in scores (means with standard deviations indicated by horizontal bars) (n = 10) for the individual components of the comprehensive neuropsychological test battery (week 27 minus venesection visit) after T cell therapy. For each component, standardization was performed by dividing the change in test score from week 1 to week 27 by the standard deviation of the week 1 group mean. For example, a score of 1 indicates that the week 27 score is 1 standard deviation higher than the week 1 score. The obtained Z-scores for 4 variables were inverted (CTIP Reaction Time variables and BDI) to ensure consistency in the direction of change across all plots so that positive Z-scores indicate improved performance and negative Z-scores indicate decreased performance. COWAT, Controlled Oral Word Association Test; JOLO, Judgement of Line Orientation; CVLT-TL, California Verbal Learning Test - Total Learning; CVLT-DR, California Verbal Learning Test - Delayed Recall; BVMT-TL, Brief Visual Memory Test - Total Learning; BVMT-DR, Brief Visual Memory Test - Delayed Recall; SDMT, Symbol Digit Modalities Test; PASAT, Paced Auditory Serial Addition Test; DKEFS-CS, Delis-Kaplan Executive Function System - Correct Sorts; DKEFS-DS, Delis-Kaplan Executive Function System - Description Score; RT, Reaction Time; BDI, Beck Depression Inventory - Fast Screen.

neurological recovery through mechanisms, such as remyelination, dendritic and axonal sprouting, and synaptic remodeling. However, it is uncertain whether these recovery mechanisms could have occurred quickly enough to account for the neurological improvement we observed commencing 2–14 weeks after the first T cell infusion. Killing of EBV-infected B cells in lymphoid tissue, including the cervical lymph nodes, where EBV-infected B cells also accumulate in MS (29), might also contribute to the beneficial effect of T cell therapy. The patients experiencing the greatest degree of clinical improvement



Table 5. Brain MRI scan findings after T cell therapy

| Participant | No. of enhancing T1 lesions at baseline | No. of enhancing T1 lesions at week 15 | No. of new T2 lesions at week 15 compared with baseline | No. of enhancing T1 lesions at week 27 | No. of new T2 lesions at week 27 compared with baseline |
|-------------|--|---|---|---|---|
| 1 | 1 | 1 ^A | 0 | 0 | 1 |
| 2 | 0 | 0 | 0 | 0 | 0 |
| 3 | 0 | 0 | 0 | 0 | 0 |
| 4 | 1 | 2 | 2 | 2 | 4 |
| 5 | 0 | 0 | 0 | 0 | 0 |
| 6 | 1 ^B | 1 ^B | 0 | 1 ^B | 0 |
| 8 | 1 | 0 | 0 | 0 | 0 |
| 9 | 1 | 4 | 3 | 1 | 4 |
| 12 | 0 | 1 | 1 | 0 | 2 |
| 13 | 0 | 0 | 1 | 0 | 1 |

Anewly enhancing lesion in previously existing T2 lesion. This lesion was unchanged throughout the trial and was thought to be unrelated to MS and most likely a capillary telangiectasia.

(participants 5, 12, and 13), as indicated by reduction in EDSS score, had a baseline EDSS of \leq 6.5 and received T cells with strong EBV reactivity. In contrast, the patients who received T cells with strong EBV reactivity and had an EDSS score of 8.0 (participants 4 and 9) experienced clinical improvement without a decrease in the EDSS score. This suggests that, in patients with severe neurological disability, irreversible axonal degeneration and neuronal loss limit the capacity for neurological improvement, even if further autoimmune attack on the CNS can be prevented by EBV-specific T cell therapy. Clinical improvement after T cell therapy was also associated with a decrease in intrathecal IgG production in 3 of 6 patients, which can be explained by the killing of EBV-infected B cells and possibly plasma cells in the CNS by the adoptively transferred CD8 $^+$ T cells.

Our data add to the mounting evidence for a pathogenic role of EBV infection in MS. Because T cells access all CNS compartments, T cell therapy targeting only EBV-infected B cells is a treatment modality that could offer favorable safety and durable efficacy. Our work sets the stage for further clinical trials with autologous and allogeneic EBV-targeted T cell therapy in MS.

Methods

Study design and participant recruitment. This was an open-label phase I clinical trial. The objectives were (a) to determine if autologous LMP/EBNA1-specific T cells can be generated to clinical scale from the blood of patients with progressive MS and (b) to assess the safety and tolerability of adoptive transfer of LMP/EBNA1-specific T cells into patients with progressive MS. Participants were recruited through the MS Clinic at the Royal Brisbane and Women's Hospital. Recruitment commenced on 27 November 2015.

The inclusion criteria were as follows: primary progressive or SPMS as defined by the revised McDonald Criteria (30); progressive neurological deterioration due to MS for at least 2 years; positive EBV serology; age 18 years or above; provision of informed consent; EDSS score of 5.0–8.0 (21); and life expectancy of at least 6 months. The exclusion criteria were as follows: serology or nucleic acid testing, indicating infection with human immunodeficiency virus, hepatitis B or C virus, syphilis, or human T cell lymphotropic virus; significant other disease; uncontrolled psychosis, uncontrolled depression, substance dependence, or any other psychiatric condition that might compromise the ability to participate in the trial; inability to provide informed consent; clinically significant abnormalities of full blood count, renal function, or hepatic function; any contraindication to MRI; prior cancers, except those diagnosed >5 years ago with no evidence of disease recurrence and with a clinical expectation of recurrence of <5%, or successfully treated nonmelanoma skin cancer, or carcinoma in situ of the cervix; immunomodulatory therapy (apart from short courses of corticosteroids) within the past year; and pregnancy, lactation, or unwillingness to use adequate contraception. Supportive and symptomatic care was continued during the trial.

Manufacturing and infusion of adoptive T cell therapy. Following the confirmation of eligibility, 400 ml blood was collected from each participant by venesection (venesection visit) to generate the investigational



Table 6. CSF IgG analysis and leukocyte count after T cell therapy

| Participant | Time of sample (collection | CSF IgG index (reference range <0.70) | IgG(loc) ^A | IGGPROD (mg/l) ^B | Leukocyte count (×10°/l |
|-------------|--------------------------------|---|-----------------------|-----------------------------|-------------------------|
| 1 | Baseline | 0.69 | 1.6 | 7.8 | 1 |
| | Week 15 | 0.72 | 3.0 | 9.7 | <1 |
| | Week 27 | 0.61 | -2.3 | 5.2 | <1 |
| 2 | Baseline | 0.90 | 20.9 | 32.8 | 2 |
| | Week 15 | 0.92 | 18.7 | 28.5 | 2 |
| | Week 27 | 0.88 | 19.1 | 31.3 | 4 |
| 3 | Baseline | 0.60 | -8.5 | 9.5 | <1 |
| | | Failed lumbar puncture Patient did not consent | | | |
| 4 | Baseline | 0.89 | 17.6 | 28.6 | 1 |
| • | Week 15 | 1.19 | 32.7 | 41.2 | <1 |
| | Week 27 | 1.04 | 25.8 | 35.2 | 8 |
| 5 | Baseline | 0.48 | -14.8 | -2.5 | <1 |
| | | Blood-stained sample ^c | | | |
| | Week 27 | 0.48 | -13.1 | -2.2 | <1 |
| 6 | Baseline | 0.59 | -5.5 | 5.7 | <1 |
| | Week 15 | 0.56 | -8.3 | 4.4 | 1 |
| | Week 27 | 0.50 | -15.0 | -1.2 | 3 |
| 8 | Baseline | 0.93 | 17.1 | 25.9 | 8 |
| | Week 15 | 0.88 | 14.9 | 24.7 | 9 |
| | Week 27 | 1.08 | 25.1 | 33.7 | 2 |
| 9 | Baseline | 0.68 | 2.1 | 13.2 | <1 |
| | Week 15 | 0.72 | 6.7 | 25.7 | 1 |
| | Week 27 | 0.63 | -23.8 | -8.5 | <1 |
| 12 | Baseline | 0.55 | -9.2 | 3.3 | 2 |
| | Week 15 | 0.59 | -5.0 | 7.0 | 6 |
| | Week 27 | 0.57 | -8.3 | 6.2 | 2 |
| 13 | Baseline | 1.03 | 19.4 | 26.7 | 2 |
| | Week 15 | 1.07 | 19.8 | 26.8 | 4 |
| | Week 27 | 0.70 | 5.7 | 15.7 | 3 |

Alntrathecal IgG production [IgG(loc)] was calculated by the formula of Reiber and Felgenhauer (31): IgG(loc) (mg/I) = {(CSF IgG \div serum IgG) – [0.8 × ($\sqrt{((CSF \text{ albumin} \div \text{ serum albumin})^2 + 15))}] + 1.8} \times \text{serum IgG}$. BIntrathecal IgG production (IGGPROD) was calculated by the formula of Blennow and colleagues (32): CSF-IgG – [(0.51× CSF albumin × serum-IgG)/serum albumin]. The results of this sample were disregarded because the CSF was contaminated with proteins and cells from the blood.

product (Figure 1). This product consisted of autologous LMP- and EBNA1-specific T cells in saline and was produced in the Q-Gen Cell Therapeutics facility at QIMR Berghofer Medical Research Institute, utilizing the recombinant adenoviral vector AdE1-LMPpoly. This vector contains multiple LMP1- and LMP2A-encoded CD8⁺ T cell epitopes fused to the EBNA1 gene with the glycine-alanine repeat deleted (17). To generate the T cell product, PBMCs were cocultured with autologous PBMCs (irradiated at 25 gray) infected with AdE1-LMPpoly (multiplicity of infection of 10:1) at a responder-to-stimulator ratio of 2:1, in RPMI 1640 containing 10% fetal bovine serum (growth medium; Thermo Fisher Scientific). On days 2, 5, 8, and 11, the cultures were supplemented with growth medium containing recombinant IL-2 (Proleukin, Novartis Vaccines and Diagnostics Inc.). On day 14, these T cell cultures were assessed for cell yield, viability, and T cell frequency. Specifications for release of the investigational product were (a) favorable sterility and adenovirus test results (analyzed at time of cryopreservation); (b) sufficient cells for 4 infusions; (c) cell viability ≥50%; and (d) proportion of CD3⁺ T cells ≥50%. Cryovials of the investigational product (1-ml aliquots) were stored in vapor-phase nitrogen.

Immediately prior to adoptive transfer, a single aliquot of cells was thawed rapidly using a 37°C water bath and then diluted in 19 ml 0.9% sodium chloride for intravenous infusion (Baxter Healthcare). The diluted cells were drawn into a 20-ml syringe and administered intravenously by a research nurse to the



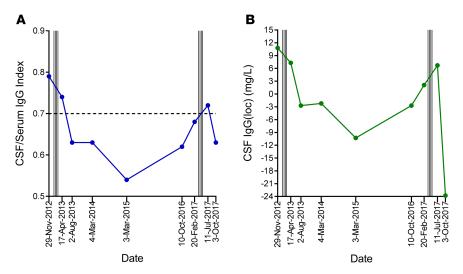


Figure 4. CSF IgG analysis before and after treatment in participant 9. CSF before and after the first course of autologous EBV-specific T cell therapy in participant 9 in 2013 (via the Special Access Scheme) and after retreatment in 2017 in the current trial. (**A**) CSF IgG index, with dotted horizontal line indicating upper limit of normal range. (**B**) Intrathecal IgG production [IgG(loc)] was calculated by the formula of Reiber and Felgenhauer (31). Vertical lines indicate successive T cell infusions of 5×10^6 , 1×10^7 , 1.5×10^7 , and 2×10^7 cells, commencing in January 2013 and again in April 2017.

patient over 10-15 minutes within 4 hours of thawing. After the infusion of T cells was completed, a 20-ml saline flush was administered to minimize the number of cells remaining in the intravenous line. To reduce the risk of aggravating CNS inflammation, we chose an initial dose of 5×10^6 T cells, which was 25% of the median dose used to treat nasopharyngeal carcinoma (18), and escalated the dose gradually over the following 3 infusions to 1×10^7 , 1.5×10^7 , and 2×10^7 cells administered at 2-week intervals (weeks 1, 3, 5, and 7), as in the original patient we treated through the Special Access Scheme (20). The patients were admitted to the Royal Brisbane and Women's Hospital and closely monitored for 24 hours after each infusion. We treated participants consecutively to allow time to detect treatment-related serious AEs. The stopping rule for the trial was as follows: If more than 1 grade IV or V AE or more than 1 EDSS deterioration ≥ 2.0 occurs in the first 4 patients that is directly related to the adoptive transfer of autologous T cells, the trial will be ceased. There was no interim analysis. We monitored the participants for 26 weeks after the first T cell infusion. Neither the patients nor the examining neurologists were aware of the level of EBV reactivity within the T cell product, thus minimizing the potential for bias. The follow-up of the last participant was completed on 17 January 2018.

Clinical assessments before and after T cell therapy. We assessed the patients at the venesection visit and at weeks 1, 3, 5, 7, 11, 15, 21, and 27. At the venesection visit we performed the following baseline assessments: clinical history and physical examination, including neurological examination and assessment of EDSS score; cognitive assessment; fatigue assessment; screening for depression; QOL assessment; blood testing; brain and spinal cord MRI acquired at 3 Tesla before and after the intravenous injection of gadolinium-containing contrast material (including 3-dimensional T1 and 3-dimensional T2 fluid-attenuated inversion recovery sequences for assessment of enhancing lesions and T2 lesions); and lumbar puncture for CSF analysis of intrathecal IgG production. Immediately prior to each adoptive transfer of T cells, O_2 saturation, heart rate, blood pressure, temperature, and respiratory rate were recorded. These observations were repeated every 5 minutes for the duration of the infusion, then hourly for 4 hours, and then every 4 hours until discharge, 24 hours after infusion.

Patients were asked about neurological symptoms in a face-to-face interview with a neurologist at all visits following enrollment. At these visits, the neurologist performed a clinical examination, including a detailed neurological examination, and assessed the EDSS score. To evaluate cognitive function, a clinical neuropsychologist used a comprehensive neuropsychological test battery to assess the patients at the venesection visit and at week 27. The main component of this test battery was the Minimal Assessment of Cognitive Function in MS, a reliable and validated approach for neuropsychological assessment of MS patients (25). The clinical neuropsychologist also administered the Computerized Test of Information



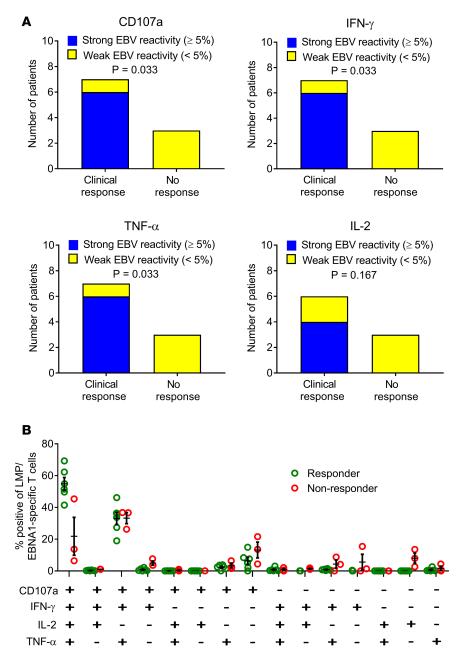


Figure 5. Relationship between EBV-specific CD8+T cell reactivity of T cell product and clinical response to T cell therapy. (A) Relationship between clinical response and the frequency of LMP/EBNA1-specific CD8+T cells in the T cell product. Participants were grouped into those receiving T cell therapy with >5% of CD8* T cells expressing a given individual cytokine (CD107a, IFN- α , or IL-2) after recall with a peptide pool of CD8+T cell epitopes encoded by EBNA1, LMP1, and LMP2A (strong reactivity) and those receiving T cell therapy with <5% reactivity (weak reactivity). A clinical response to T cell therapy was defined as clinical improvement at week 27 (the last clinical assessment in the study) compared with week 1 (immediately prior to the first T cell infusion). IL-2 expression was not assessed in the cells for participant 12. P values were calculated with Fisher's exact test; n = 10 for CD107a, IFN- γ , and TNF- α : n = 9 for IL-2. (B) Proportion of LMP/EBNA1-specific CD8+T cells expressing all the different combinations of the 4 cytokines (CD107a, IFN- γ , TNF- α , and IL-2) in the participants showing clinical improvement (Responder) compared with the participants not showing clinical improvement (Non-responder). This analysis was not able to be performed on the cells for participant 1 (n = 9). There was a higher proportion of EBV-specific polyfunctional T cells (expressing CD107a, IFN-γ, TNF-α, and IL-2) in the CD8* T cells within the therapy administered to participants showing clinical improvement than in the therapy administered to participants not showing clinical improvement (P < 0.0001, multiple 2-tailed t test with the Holm-Sidak correction for multiple comparisons). Horizontal bars represent the mean and standard error of the mean.



Processing, which precisely measures reaction time. Because mood can affect cognition, the clinical neuropsychologist assessed depression with the Beck Depression Inventory – Fast Screen for Medical Patients questionnaire (26). In addition, we used the much briefer Montreal Cognitive Assessment (24) to measure cognitive function at the venesection visit and at weeks 1, 7, 15, and 27. To assess fatigue at the same time points, we utilized the Fatigue Severity Scale (22), a self-report scale commonly used to measure fatigue in MS, because it is relatively short, has good psychometric properties, and is sensitive to changes in fatigue. At all visits following enrollment we screened for depression by asking the patients the 2 questions used by Mohr et al. (27), namely, (a) "During the past 2 weeks, have you often been bothered by feeling down, depressed, or hopeless?" and (b) "During the past 2 weeks, have you often been bothered by little interest or pleasure in doing things?" We assessed QOL at the venesection visit and at weeks 1, 7, 15, and 27 with a single question from the MS QOL Instrument; this question asks "Overall, how would you rate your own quality of life?" on a scale from 0 (worst — as bad or worse than being dead) to 10 (best), as used by Cosio et al. (23). MRI of the brain and spinal cord before and after gadolinium and CSF analysis were repeated at the week 15 and week 27 follow-up visits.

The MRI scans were assessed for the number of gadolinium-enhancing lesions and for the development of new T2 lesions compared with baseline. Blood was collected for full blood count and serum biochemistry at all visits. This trial is registered with the Australian New Zealand Clinical Trials Registry (ACTRN12615000422527).

Statistics. All statistical analyses were performed using GraphPad Prism version 7.04. Differences were considered significant at a level of P < 0.05. Changes in the Fatigue Severity Scale score after T cell therapy were statistically analyzed by the Wilcoxon matched-pairs signed-rank test to compare scores before and after T cell therapy (Figure 3A). To analyze changes in the scores for the individual components of the comprehensive neuropsychological test battery after T cell therapy, the scores for each component before and after T cell therapy were compared using either the paired 2-tailed t test or Wilcoxon matched-pairs signedrank test, according to formal testing of data distribution using the D'Agostino and Pearson normality test. P values were then corrected for multiple comparisons using the Bonferroni correction. To display the changes in scores for all 15 components in the one graph (Figure 3C), the change in test score from week 1 to week 27 for each component was standardized by dividing the change in test score by the standard deviation of the week 1 group mean. This enabled the change to be expressed in standard deviations. To analyze the relationship between the clinical response to T cell therapy and the frequency of LMP/EBNA1-specific CD8+ T cells for each of the 4 cytokines (CD107a, IFN- γ , TNF- α , or IL-2) in the administered T cell product (Figure 5A) we used Fisher's exact test. To assess the relationship between the clinical response to T cell therapy and the polyfunctionality of LMP/EBNA1-specific CD8+ T cells in the administered T cell product (Figure 5B) a multiple 2-tailed t test with the Holm-Sidak correction for multiple comparisons was used.

Study approval. The study was approved by the QIMR Berghofer Human Research Ethics Committee, the Royal Brisbane and Women's Hospital Human Research Ethics Committee, and The University of Queensland Medical Research Ethics Committee. Participants provided written informed consent.

Author contributions

MPP, MAN, RK, SB, KDH, KKM, and SRB designed the study. MPP, KAG, and KDH recruited the participants. MPP wrote the first draft of the manuscript. TJH and NLD collected the blood to generate the T cell product. CS, LB, SR, and RK prepared the T cell products. PAC thawed the T cell product prior to administration and helped analyze the results. NLD coordinated the patient visits and assessments and administered the T cell products. MAN, NLD, and KKM provided oversight of the safety monitoring. ZAI, AS, and KAG obtained the clinical histories and performed the neurological examinations. KMT performed and analyzed the neuropsychological assessments. AC analyzed the MRI brain scans. CS, RK, PC, and BTA analyzed the T cell product. All authors contributed to the conduct of the study and read and approved the final manuscript.

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